# ANNALS OF MATHEMATICS

# Multiplicity one theorems

By Avraham Aizenbud, Dmitry Gourevitch, Stephen Rallis, and Gérard Schiffmann



SECOND SERIES, VOL. 172, NO. 2 September, 2010

ANMAAH

# **Multiplicity one theorems**

By AVRAHAM AIZENBUD, DMITRY GOUREVITCH, STEPHEN RALLIS, and GÉRARD SCHIFFMANN

## Abstract

In the local, characteristic 0, non-Archimedean case, we consider distributions on GL(n + 1) which are invariant under the adjoint action of GL(n). We prove that such distributions are invariant by transposition. This implies multiplicity at most one for restrictions from GL(n + 1) to GL(n). Similar theorems are obtained for orthogonal or unitary groups.

#### Introduction

Let  $\mathbb{F}$  be a local field non-Archimedean and of characteristic 0. Let W be a vector space over  $\mathbb{F}$  of finite dimension  $n + 1 \ge 1$ , and let  $W = V \oplus U$  be a direct sum decomposition with dim V = n. Then we have an imbedding of GL(V) into GL(W). Our goal is to prove the following theorem:

THEOREM 1. If  $\pi$  (resp.  $\rho$ ) is an irreducible admissible representation of GL(W) (resp. of GL(V)), then

$$\dim \left( \operatorname{Hom}_{\operatorname{GL}(V)}(\pi_{|\operatorname{GL}(V)}, \rho) \right) \leq 1.$$

We choose a basis of V and a nonzero vector in U thus getting a basis of W. We can identify GL(W) with  $GL(n + 1, \mathbb{F})$  and GL(V) with  $GL(n, \mathbb{F})$ . The transposition map is an involutive anti-automorphism of  $GL(n + 1, \mathbb{F})$  which leaves  $GL(n, \mathbb{F})$  stable. It acts on the space of distributions on  $GL(n + 1, \mathbb{F})$ .

Theorem 1 is a corollary of:

THEOREM 2. A distribution on GL(W) which is invariant under the adjoint action of GL(V) is invariant by transposition.

One can raise a similar question for orthogonal and unitary groups. Let  $\mathbb{D}$  be either  $\mathbb{F}$  or a quadratic extension of  $\mathbb{F}$ . If  $x \in \mathbb{D}$ , then  $\overline{x}$  is the conjugate of x if  $\mathbb{D} \neq \mathbb{F}$ , and is equal to x if  $\mathbb{D} = \mathbb{F}$ .

Let *W* be a vector space over  $\mathbb{D}$  of finite dimension  $n + 1 \ge 1$ . Let  $\langle ., . \rangle$  be a nondegenerate hermitian form on *W*. This form is bi-additive and

$$\langle dw, d'w' \rangle = d \ \overline{d'} \langle w, w' \rangle, \quad \langle w', w \rangle = \overline{\langle w, w' \rangle}.$$

Given a  $\mathbb{D}$ -linear map u from W into itself, its adjoint  $u^*$  is defined by the usual formula

$$\langle u(w), w' \rangle = \langle w, u^*(w') \rangle.$$

Choose a vector e in W such that  $\langle e, e \rangle \neq 0$ ; let  $U = \mathbb{D}e$  and  $V = U^{\perp}$  the orthogonal complement. Then V has dimension n and the restriction of the hermitian form to V is nondegenerate.

Let *M* be the unitary group of *W* that is to say the group of all  $\mathbb{D}$ -linear maps *m* of *W* into itself which preserve the hermitian form or equivalently such that  $mm^* = 1$ . Let *G* be the unitary group of *V*. With the *p*-adic topology both groups are of type lctd (locally compact, totally disconnected) and countable at infinity. They are reductive groups of classical type.

The group G is naturally imbedded into M.

THEOREM 1'. If  $\pi$  (resp  $\rho$ ) is an irreducible admissible representation of M (resp of G), then

$$\dim \left( \operatorname{Hom}_{G}(\pi_{|G}, \rho) \right) \leq 1.$$

Choose a basis  $e_1, \ldots e_n$  of V such that  $\langle e_i, e_j \rangle \in \mathbb{F}$ . For

$$w = x_0 e + \sum_{1}^{n} x_i e_i,$$

put

$$\overline{w} = \overline{x}_0 e + \sum_{1}^{n} \overline{x_i} e_i.$$

If u is a  $\mathbb{D}$ -linear map from W into itself, then let  $\overline{u}$  be defined by

$$\overline{u}(w) = u(\overline{w}).$$

Let  $\sigma$  be the anti-involution  $\sigma(m) = \overline{m}^{-1}$  of M; Theorem 1' is a consequence of

THEOREM 2'. A distribution on M which is invariant under the adjoint action of G is invariant under  $\sigma$ .

Let us describe briefly our proof. In Section 1 we recall why Theorem 2(2') implies Theorem 1(1').

For the proofs of Theorems 2 and 2' we systematically use two classical results: Bernstein's localization principle and a variant of Frobenius reciprocity which we call Frobenius descent. For the convenience of the reader they are both recalled in Section 2.

Then we proceed with GL(n). The proof is by induction on n; the case n = 0 is trivial. In general we first linearize the problem by replacing the action of G on GL(W) by the action on the Lie algebra of GL(W). As a G-module this Lie algebra is isomorphic to a direct sum  $\mathfrak{g} \oplus V \oplus V^* \oplus \mathbb{F}$  with  $\mathfrak{g}$  the Lie algebra of G and  $V^*$  the dual space of V. The group G = GL(V) acts trivially on  $\mathbb{F}$ , by the adjoint action on its Lie algebra and the natural actions on V and  $V^*$ . The component  $\mathbb{F}$  plays no role. Let u be a linear bijection of V onto  $V^*$  which transforms some basis of V into its dual basis. The involution may be taken as

$$(X, v, v^*) \mapsto (u^{-1} \, {}^t X \, u, u^{-1}(v^*), u(v)).$$

We have to show that a distribution T on  $\mathfrak{g} \oplus V \oplus V^*$  which is invariant under G and skew relative to the involution is 0.

In Section 3 we prove that the support of such a distribution must be contained in a certain singular set. On the g side, using Harish-Chandra descent we get that the support of T must be contained in  $(\mathfrak{z} + \mathcal{N}) \times (V \oplus V^*)$ , where  $\mathfrak{z}$  is the center of g and  $\mathcal{N}$  the cone of nilpotent elements in g. On the  $V \oplus V^*$  side we show that the support must be contained in  $\mathfrak{g} \times \Gamma$ , where  $\Gamma$  is the cone  $\langle v, v^* \rangle = 0$  in  $V \oplus V^*$ . On  $\mathfrak{z}$  the action is trivial so we are reduced to the case of a distribution on  $\mathcal{N} \times \Gamma$ .

In Section 4 we consider such distributions. The end of the proof is based on two remarks. First, viewing the distribution as a distribution on  $\mathcal{N} \times (V \oplus V^*)$ , its partial Fourier transform relative to  $V \oplus V^*$  has the same invariance properties and hence must also be supported on  $\mathcal{N} \times \Gamma$ . This implies, in particular, a homogeneity condition on  $V \oplus V^*$ . The idea of using Fourier transform in this kind of situation goes back at least to Harish-Chandra ([HC99]) and it is conveniently expressed using a particular case of the Weil or oscillator representation.

For  $(v, v^*) \in \Gamma$ , let  $X_{v,v^*}$  be the map  $x \mapsto \langle x, v^* \rangle v$  of V into itself. The second remark is that the one parameter group of transformations

$$(X, v, v^*) \mapsto (X + \lambda X_{v,v^*}, v, v^*)$$

is a group of (nonlinear) homeomorphisms of  $[\mathfrak{g}, \mathfrak{g}] \times \Gamma$  which commute with *G* and the involution. It follows that the image of the support of our distribution must also be singular. This allows us to replace the condition  $\langle v, v^* \rangle = 0$  by the stricter condition  $X_{v,v^*} \in \text{Im ad} X$ .

Using the stratification of  $\mathcal{N}$  we proceed one nilpotent orbit at a time, transferring the problem to  $V \oplus V^*$  and a fixed nilpotent matrix X. The support condition turns out to be compatible with direct sum so that it is enough to consider the case of a principal nilpotent element. In this last situation the key is the homogeneity condition coupled with an easy induction.

The orthogonal and unitary cases are proved roughly in the same way. In Section 5 we reduce the support to the singular set. Here the main difference is that we use Harish-Chandra descent directly on the group. Note that some subgroups that occur in the Harish-Chandra descent have components of type GL so that Theorem 2 has to be used. Finally, in Section 6, we consider the case of a distribution supported in the singular set; the proof follows the same line as in Section 4.

As for the archimedean case, partial analogs of the results of this paper were obtained in [AGS08], [AGS09], and [vD09]. Recently, the full analogs were obtained in [AG09] and [SZ].

Recently, it was shown that Theorem 1 implies an analogous theorem for general linear groups over local fields of positive characteristic (see [AAG]).

Let us add some comments on the theorems themselves. First note that Theorem 2 gives an independent proof of a well-known theorem of Bernstein: choose a basis  $e_1, \ldots, e_n$  of V, add some vector  $e_0$  of W to obtain a basis of W, and let P be the isotropy of  $e_0$  in GL(W). Then Theorem B of [Ber84] says that a distribution on GL(W) which is invariant under the action of P is invariant under the action of GL(W). Now, by Theorem 2 such a distribution is invariant under the adjoint action of the transpose of P and the group of inner automorphisms is generated by the images of P and its transpose. We thus get an independent proof of Kirillov conjecture in the characteristic 0, non-Archimedean case.

The occurrence of involutions in multiplicity at most one problems is of course nothing new. The situation is fairly simple when all the orbits are stable by the involution thanks to Bernstein's localization principle and constructivity theorem ([BZ76], [GK75]). In our case this is not true: only generic orbits are stable. Non-stable orbits may carry invariant measures but they do not extend to the ambient space (a similar situation is already present in [Ber84]).

An illustrative example is the case n = 1 for GL. It reduces to  $\mathbb{F}^*$  acting on  $\mathbb{F}^2$  as  $(x, y) \mapsto (tx, t^{-1}y)$ . On the *x* axis the measure  $d^*x = dx/|x|$  is invariant but does not extend invariantly. However the symmetric measure

$$f \mapsto \int_{\mathbb{F}^*} f(x,0) d^*x + \int_{\mathbb{F}^*} f(0,y) d^*y$$

does extend.

As in similar cases (for example [JR96]) our proof does not give a simple explanation of why all invariant distributions are symmetric. The situation would be much better if we had some kind of density theorem. For example, in the GL case, let us say that an element  $(X, v, v^*)$  of  $\mathfrak{g} \oplus V \oplus V^*$  is regular if  $(v, Xv, \dots, X^{n-1}v)$  is a basis of V and  $(v^*, \dots, {}^t X^{n-1}v^*)$  is basis of V<sup>\*</sup>. The set of regular elements is a nonempty Zariski open subset; regular elements have trivial isotropy subgroups. The regular orbits are the orbits of the regular elements; they are closed, separated by the invariant polynomials, and stable by the involution (see [RS]). In particular they carry invariant measures which, the orbits being closed, do extend and are invariant by the involution. It is tempting to conjecture that the subspace of the space of invariant distributions generated by these measures is weakly dense. This

would provide a better understanding of Theorem 2. Unfortunately, if true at all, such a density theorem is likely to be much harder to prove.

Assuming multiplicity at most one, a more difficult question is to find when it is one. Some partial results are known. For the orthogonal group (in fact the special orthogonal group) this question has been studied by B. Gross and D. Prasad ([GP92], [Pra93]) who formulated a precise conjecture. An up to date account is given by B. Gross and M. Reeder ([GR06]). For an even more recent account see [GGP], [MW], [Wala], [Walb].

In a different setup, in their work on "Shintani" functions, A. Murase and T. Sugano obtained complete results for GL(n) and the split orthogonal case but only for spherical representations ([KMS03], [MS96]). Finally, we should mention that Hakim's publication [Hak03], which, at least for the discrete series, could perhaps lead to a different kind of proof.

Recently, Waldspurger showed how to adapt our proofs of Theorems 1' and 2' to include the case of special orthogonal groups (see [Walc]). Also, [GGP] and [Sun] showed that our results imply the uniqueness of general Bessel and Fourier-Jacobi models over *p*-adic local fields in almost all cases (see [GGP, Cors. 15.2, 16.2, and 16.3]).

"Multiplicity at most one" theorems have important applications to the relative trace formula, to automorphic descent, to local and global liftings of automorphic representations, and to determinations of *L*-functions. In particular, multiplicity at most one is used as a hypothesis in the work [GPSR97] on the study of automorphic *L*-functions on classical groups. At least for the last two authors, the original motivation for this work came in fact from [GPSR97].

## 1. Theorem 2(2') implies Theorem 1(1')

A group of type lctd is a locally compact, totally disconnected group which is countable at infinity. We consider smooth representations of such groups. If  $(\pi, E_{\pi})$  is such a representation, then  $(\pi^*, E_{\pi}^*)$  is the smooth contragredient. We denote smooth induction by Ind and compact induction by ind. For any topological space T of type lctd,  $\mathcal{G}(T)$  is the space of functions locally constant, complex valued, defined on T, and with compact support. The space  $\mathcal{G}'(T)$  of distributions on T is the dual space to  $\mathcal{G}(T)$ .

PROPOSITION 1.1. Let M be a lctd group and N a closed subgroup, both unimodular. Suppose that there exists an involutive anti-automorphism  $\sigma$  of Msuch that  $\sigma(N) = N$  and such that any distribution on M, biinvariant under N, is fixed by  $\sigma$ . Then, for any irreducible admissible representation  $\pi$  of M

 $\dim\left(\operatorname{Hom}_{M}\left(\operatorname{ind}_{N}^{M}(1),\pi\right)\right)\times\dim\left(\operatorname{Hom}_{M}\left(\operatorname{ind}_{N}^{M}(1),\pi^{*}\right)\right)\leq1.$ 

This is well known (see for example [Pra90]).

Remark. There is a variant for the nonunimodular case; we will not need it.

COROLLARY 1.1. Let M be a lctd group and N a closed subgroup, both unimodular. Suppose that there exists an involutive anti-automorphism  $\sigma$  of M such that  $\sigma(N) = N$  and such that any distribution on M, invariant under the adjoint action of N, is fixed by  $\sigma$ . Then, for any irreducible admissible representation  $\pi$ of M and any irreducible admissible representation  $\rho$  of N

$$\dim \left( \operatorname{Hom}_{N}(\pi_{|N}, \rho^{*}) \right) \times \dim \left( \operatorname{Hom}_{N}((\pi^{*})_{|N}, \rho) \right) \leq 1.$$

*Proof.* Let  $M' = M \times N$  and N' be the closed subgroup of M' which is the image of the homomorphism  $n \mapsto (n, n)$  of N into M. The map  $(m, n) \mapsto mn^{-1}$  of M' onto M defines a homeomorphism of M'/N' onto M. The inverse map is  $m \mapsto (m, 1)N'$ . On M'/N' left translations by N' correspond to the adjoint action of N on M. We have a bijection between the space of distributions T on M invariant under the adjoint action of N and the space of distributions S on M' which are biinvariant under N'. Explicitly,

$$\langle S, f(m,n) \rangle = \langle T, \int_N f(mn,n)dn \rangle.$$

Suppose that *T* is invariant under  $\sigma$  and consider the involutive anti-automorphism  $\sigma'$  of *M'* given by  $\sigma'(m, n) = (\sigma(m), \sigma(n))$ . Then

$$\langle S, f \circ \sigma' \rangle = \langle T, \int_N f(\sigma(n)\sigma(m), \sigma(n))dn \rangle.$$

Using the invariance under  $\sigma$  and for the adjoint action of N, we get

$$\left\langle T, \int_{N} f(\sigma(n)\sigma(m), \sigma(n))dn \right\rangle = \left\langle T, \int_{N} f(\sigma(n)m, \sigma(n))dn \right\rangle$$
$$= \left\langle T, \int_{N} f(mn, n)dn \right\rangle$$
$$= \left\langle S, f \right\rangle.$$

Hence S is invariant under  $\sigma'$ . Conversely, if S is invariant under  $\sigma'$ , then the same computation shows that T is invariant under  $\sigma$ . Under the assumption of the corollary we can now apply Proposition 1.1 and we obtain the inequality

$$\dim\left(\operatorname{Hom}_{M'}(\operatorname{ind}_{N'}^{M'}(1), \pi \otimes \rho\right) \times \dim\left(\operatorname{Hom}_{M'}(\operatorname{ind}_{N'}^{M'}(1), \pi^* \otimes \rho^*\right) \le 1.$$

We know that  $\operatorname{Ind}_{N'}^{M'}(1)$  is the smooth contragredient representation of  $\operatorname{ind}_{N'}^{M'}(1)$ ; hence

$$\operatorname{Hom}_{M'}(\operatorname{ind}_{N'}^{M'}(1), \pi^* \otimes \rho^*) \approx \operatorname{Hom}_{M'}(\pi \otimes \rho, \operatorname{Ind}_{N'}^{M'}(1))$$

Frobenius reciprocity tells us that

$$\operatorname{Hom}_{M'}(\pi \otimes \rho, \operatorname{Ind}_{N'}^{M'}(1)) \approx \operatorname{Hom}_{N'}((\pi \otimes \rho)_{|N'}, 1).$$

Clearly,

$$\operatorname{Hom}_{N'}((\pi \otimes \rho)_{|N'}, 1) \approx \operatorname{Hom}_N(\rho, (\pi_{|N})^*) \approx \operatorname{Hom}_N(\pi_{|N}, \rho^*).$$

Using again Frobenius reciprocity we get

$$\operatorname{Hom}_N(\rho,(\pi_{|N})^*) \approx \operatorname{Hom}_M(\operatorname{ind}_N^M(\rho),\pi^*).$$

In the above computations we may replace  $\rho$  by  $\rho^*$  and  $\pi$  by  $\pi^*$ . Finally,

$$\operatorname{Hom}_{M'}(\operatorname{ind}_{N'}^{M'}(1), \pi^* \otimes \rho^*) \approx \operatorname{Hom}_N(\rho, (\pi_{|N})^*)$$
  

$$\approx \operatorname{Hom}_N(\pi_{|N}, \rho^*)$$
  

$$\approx \operatorname{Hom}_M(\operatorname{ind}_N^M(\rho), \pi^*),$$
  

$$\operatorname{Hom}_{M'}(\operatorname{ind}_{N'}^{M'}(1), \pi \otimes \rho) \approx \operatorname{Hom}_N(\rho^*, ((\pi^*)_{|N})^*)$$
  

$$\approx \operatorname{Hom}_N((\pi^*)_{|N}, \rho)$$
  

$$\approx \operatorname{Hom}_M(\operatorname{ind}_N^M(\rho^*), \pi).$$

Going back to our situation and keeping the notation of the introduction, we consider first the case of the general linear group. We take M = GL(W) and N = GL(V). Let  $E_{\pi}$  be the space of the representation  $\pi$  and let  $E_{\pi}^{*}$  be the smooth dual (relative to the action of GL(W)). Let  $E_{\rho}$  be the space of  $\rho$  and  $E_{\rho}^{*}$  be the smooth dual for the action of GL(V). We know ([BZ76, §7]) that the contragredient representation  $\pi^{*}$  in  $E_{\pi}^{*}$  is isomorphic to the representation  $g \mapsto \pi({}^{t}g^{-1})$  in  $E_{\pi}$ . The same is true for  $\rho^{*}$ . Therefore an element of  $Hom_{N}(\pi_{|N}, \rho^{*})$  may be described as a linear map A from  $E_{\pi}$  into  $E_{\rho}$  such that, for  $g \in N$ ,

$$A\pi(g) = \rho({}^t g^{-1})A$$

An element of  $\operatorname{Hom}_N((\pi^*)_{|N}, \rho)$  may be described as a linear map A' from  $E_{\pi}$  into  $E_{\rho}$  such that, for  $g \in N$ ,

$$A'\pi({}^tg^{-1}) = \rho(g)A'.$$

We have obtained the same set of linear maps:

$$\operatorname{Hom}_N\left(\left(\pi^*\right)_{|N},\rho\right) \approx \operatorname{Hom}_N(\pi_{|N},\rho^*).$$

We are left with two possibilities: either both spaces have dimension 0 or they both have dimension 1 which is exactly what we want.

From now on we forget Theorem 1 and prove Theorem 2.

Consider the orthogonal/unitary case, with the notation of the introduction. In Chapter 4 of [MVW87] the following result is proved. Choose  $\delta \in GL_{\mathbb{F}}(W)$  such that  $\langle \delta w, \delta w' \rangle = \langle w', w \rangle$ . If  $\pi$  is an irreducible admissible representation of M, let  $\pi^*$  be its smooth contragredient and define  $\pi^{\delta}$  by

$$\pi^{\delta}(x) = \pi(\delta x \delta^{-1}).$$

Then  $\pi^{\delta}$  and  $\pi^*$  are equivalent. We choose  $\delta = 1$  in the orthogonal case  $\mathbb{D} = \mathbb{F}$ . In the unitary case, fix an orthogonal basis of W, say  $e_1, \ldots, e_{n+1}$ , such that  $e_2, \ldots, e_{n+1}$  is a basis of V; put  $\langle e_i, e_i \rangle = a_i$ . Then

$$\left\langle \sum x_i e_i, \sum y_j e_j \right\rangle = \sum a_i x_i \overline{y_i}.$$

Define  $\delta$  by

$$\delta\left(\sum x_i e_i\right) = \sum \overline{x_i} e_i.$$

Note that  $\delta^2 = 1$ .

Let  $E_{\pi}$  be the space of  $\pi$ . Then, up to equivalence,  $\pi^*$  is the representation  $m \mapsto \pi(\delta m \delta^{-1})$ . If  $\rho$  is an admissible irreducible representation of G in a vector space  $E_{\rho}$ , then an element A of Hom $(\pi^*_{|G}, \rho)$  is a linear map from  $E_{\pi}$  into  $E_{\rho}$  such that

$$A\pi(\delta g \delta^{-1}) = \pi(g)A, \quad g \in G.$$

In turn the contragredient  $\rho^*$  of  $\rho$  is equivalent to the representation  $g \mapsto \rho(\delta g \delta^{-1})$ in  $E_{\rho}$ . Then an element *B* of Hom  $(\pi_{|G}, \rho^*)$  is a linear map from  $E_{\pi}$  into  $E_{\rho}$  such that

$$B\pi(g) = \rho(\delta g \delta^{-1})B, \quad g \in G.$$

As  $\delta^2 = 1$  the conditions on A and B are the same:

Hom 
$$\left(\pi_{|G}^*, \rho\right) \approx$$
 Hom  $\left(\pi_{|G}, \rho^*\right)$ .

However, assuming Theorem 2', by Corollary 1.1, we have

$$\dim\left(\operatorname{Hom}\left(\pi_{|G}^{*},\rho\right)\right)\times\dim\left(\operatorname{Hom}\left(\pi_{|G},\rho^{*}\right)\right)\leq 1,$$

so that both dimensions are 0 or 1. Replacing  $\rho$  by  $\rho^*$  we get Theorem 1'. From now on we forget about Theorem 1'.

#### 2. Some tools

We shall state two theorems which are systematically used in our proof. If X is a Hausdorff totally disconnected locally compact topological space (lctd space in short) we denote by  $\mathcal{G}(X)$  the vector space of locally constant functions with compact support of X into the field of complex numbers  $\mathbb{C}$ . The dual space  $\mathcal{G}'(X)$  of  $\mathcal{G}(X)$  is the space of distributions on X. All the lctd spaces that we introduce are countable at infinity.

If an letd topological group G acts continuously on a letd space X, then it acts on  $\mathcal{G}(X)$  by

$$(gf)(x) = f(g^{-1}x)$$

and on distributions by

$$(gT)(f) = T(g^{-1}f).$$

The space of invariant distributions is denoted by  $\mathscr{G}'(X)^G$ . More generally, if  $\chi$  is a character of *G* we denote by  $\mathscr{G}'(X)^{G,\chi}$  the space of distributions *T* which transform according to  $\chi$  that is to say  $gT = \chi(g)T$ .

The following result is due to Bernstein [Ber84, §1.4].

THEOREM 2.1 (Localization principle). Let  $q: Z \to T$  be a continuous map between two topological spaces of type lctd. Denote  $Z_t := q^{-1}(t)$ . Consider  $\mathscr{G}'(Z)$  as  $\mathscr{G}(T)$ -module. Let M be a closed subspace of  $\mathscr{G}'(Z)$  which is an  $\mathscr{G}(T)$ submodule. Then  $M = \bigoplus_{t \in T} (M \cap \mathscr{G}'(Z_t))$ .

COROLLARY 2.1. Let  $q: Z \to T$  be a continuous map between topological spaces of type lctd. Let an lctd group H act on Z preserving the fibers of q. Let  $\mu$  be a character of H. Suppose that for any  $t \in T$ ,  $\mathscr{G}'(q^{-1}(t))^{H,\mu} = 0$ . Then  $\mathscr{G}'(Z)^{H,\mu} = 0$ .

The second theorem is a variant of Frobenius reciprocity ([Ber84, §1.5] and [BZ76, §§2.21–2.36]).

THEOREM 2.2 (Frobenius descent). Let a unimodular lctd topological group H act transitively on an lctd topological space Z. Let  $\varphi : E \to Z$  be an H-equivariant map of lctd topological spaces. Let  $x \in Z$ . Suppose that its stabilizer Stab<sub>H</sub>(x) is unimodular. Let W be the fiber of x. Let  $\chi$  be a character of H. Then

- (i) there exists a canonical isomorphism  $\operatorname{Fr}: \mathscr{G}'(E)^{H,\chi} \to \mathscr{G}'(W)^{\operatorname{Stab}_H(\chi),\chi}$ .
- (ii) for any distribution  $\xi \in \mathcal{G}'(E)^{H,\chi}$ ,  $\operatorname{Supp}(\operatorname{Fr}(\xi)) = \operatorname{Supp}(\xi) \cap W$ .

Using the explicit formulas for Fr (see the above references) one checks that Frobenius descent commutes with Fourier transform.

Namely, keeping our notation, let W be a finite-dimensional linear space over  $\mathbb{F}$  with a nondegenerate bilinear form B. Let H act on W linearly preserving B and let  $\mathcal{F}_B$  be the Fourier transform on W.

PROPOSITION 2.1. For any  $\xi \in \mathcal{G}'(Z \times W)^{H,\chi}$ , we have  $\mathcal{F}_B(Fr(\xi)) = Fr(\mathcal{F}_B(\xi))$ where Fr is taken with respect to the projection  $Z \times W \to Z$ .

This last proposition will be used in Sections 4 and 6.

Finally, as  $\mathbb{F}$  is non-Archimedean, a distribution which is 0 on some open set may be identified with a distribution on the (closed) complement. This will be used throughout this work.

# 3. Reduction to the singular set: the GL(n) case

Consider the case of the general linear group. From the decomposition  $W = V \oplus \mathbb{F}e$  we get, with obvious identifications

$$\operatorname{End}(W) = \operatorname{End}(V) \oplus V \oplus V^* \oplus \mathbb{F}.$$

Note that End(V) is the Lie algebra  $\mathfrak{g}$  of G. The group G acts on End(W) by  $g(X, v, v^*, t) = (gXg^{-1}, gv, {}^tg^{-1}v^*, t)$ . As before choose a basis  $(e_1, \ldots, e_n)$  of V and let  $(e_1^*, \ldots, e_n^*)$  be the dual basis of V\*. Define an isomorphism u of V onto V\* by  $u(e_i) = e_i^*$ . On GL(W) the involution  $\sigma$  is  $h \mapsto u^{-1t}h^{-1}u$ . It depends

upon the choice of the basis but the action on the space of invariant distributions does not depend upon this choice.

It will be convenient to introduce an extension  $\tilde{G}$  of G. Let  $Iso(V, V^*)$  be the set of isomorphisms of V onto  $V^*$ . We define  $\tilde{G} = G \cup Iso(V, V^*)$ . The group law for  $g, g' \in G$  and  $u, u' \in Iso(V, V^*)$  is

$$g \times g' = gg', \ u \times g = ug, \ g \times u = {}^t g^{-1}u, \ u \times u' = {}^t u^{-1}u'.$$

Now from  $W = V \oplus \mathbb{F}e$  we obtain an identification of the dual space  $W^*$  with  $V^* \oplus \mathbb{F}e^*$ , with  $\langle e^*, V \rangle = (0)$  and  $\langle e^*, e \rangle = 1$ . Any *u* as above extends to an isomorphism of *W* onto  $W^*$  by defining  $u(e) = e^*$ . The group  $\tilde{G}$  acts on GL(*W*):

$$h \mapsto ghg^{-1}, h \mapsto^t (uhu^{-1}), g \in G, h \in GL(W), u \in Iso(V, V^*)$$

and also on End(W) with the same formulas.

Let  $\chi$  be the character of  $\tilde{G}$  which is 1 on G and -1 on  $Iso(V, V^*)$ . Our goal is to prove that  $\mathcal{G}'(GL(W))^{\tilde{G},\chi} = (0)$ .

PROPOSITION 3.1. If  $\mathscr{G}'(\mathfrak{g} \oplus V \oplus V^*)^{\widetilde{G},\chi} = (0)$ , then  $\mathscr{G}'(\mathrm{GL}(W))^{\widetilde{G},\chi} = (0)$ .

*Proof.* We have  $\operatorname{End}(W) = (\operatorname{End}(V) \oplus V \oplus V^*) \oplus \mathbb{F}$  and the action of  $\widetilde{G}$  on  $\mathbb{F}$  is trivial. Thus  $\mathscr{G}'(\mathfrak{g} \oplus V \oplus V^*)^{\widetilde{G},\chi} = (0)$  implies that  $\mathscr{G}'(\operatorname{End}(W))^{\widetilde{G},\chi} = (0)$ . Let  $T \in \mathscr{G}'(\operatorname{GL}(W))^{\widetilde{G},\chi}$ . Let  $h \in \operatorname{GL}(W)$  and choose a compact open neighborhood K of Det h such that  $0 \notin K$ . For  $x \in \operatorname{End}(W)$  define  $\varphi(x) = 1$  if  $\operatorname{Det} x \in K$  and  $\varphi(x) = 0$  otherwise. Then  $\varphi$  is a locally constant function. The distribution  $(\varphi_{|\operatorname{GL}(W)})T$  has a support which is closed in  $\operatorname{End}(W)$  hence may be viewed as a distribution on  $\operatorname{End}(W)$ . This distribution belongs to  $\mathscr{G}'(\operatorname{End}(W))^{\widetilde{G},\chi}$  so it must be equal to 0. It follows that T is 0 in the neighborhood of h. As h is arbitrary we conclude that T = 0.

Our task is now to prove that  $\mathscr{G}'(\mathfrak{g} \oplus V \oplus V^*)^{\widetilde{G},\chi} = (0)$ . We shall use induction on the dimension *n* of *V*. The action of  $\widetilde{G}$  is, for  $X \in \mathfrak{g}, v \in V, v^* \in V^*, g \in G$ , and  $u \in \mathrm{Iso}(V, V^*)$ ,

$$(X, v, v^*) \mapsto (gXg^{-1}, gv, {}^tg^{-1}v^*), \ (X, v, v^*) \mapsto ({}^t(uXu^{-1}), {}^tu^{-1}v^*, uv).$$

The case n = 0 is trivial.

We suppose that V is of dimension  $n \ge 1$ , assuming the result up to dimension n-1 and for all  $\mathbb{F}$ . If  $T \in \mathcal{G}'(\mathfrak{g} \oplus V \oplus V^*)^{\overline{\tilde{G}}, \chi}$  we are going to show that its support is contained in the "singular set". This will be done in two stages.

On  $V \oplus V^*$  let  $\Gamma$  be the cone  $\langle v^*, v \rangle = 0$ . It is stable under  $\tilde{G}$ .

LEMMA 3.1. The support of T is contained in  $\mathfrak{g} \times \Gamma$ .

*Proof.* For  $(X, v, v^*) \in \mathfrak{g} \oplus V \oplus V^*$  put  $q(X, v, v^*) = \langle v^*, v \rangle$ . Let  $\Omega$  be the open subset  $q \neq 0$ . We have to show that  $\mathcal{G}'(\Omega)^{\tilde{G},\chi} = (0)$ . By Bernstein's localization principle (Corollary 2.1) it is enough to prove that, for any fiber  $\Omega_t = q^{-1}(t), t \neq 0$ , one has  $\mathcal{G}'(\Omega_t)^{\tilde{G},\chi} = (0)$ .

*G* acts transitively on the quadric  $\langle v^*, v \rangle = t$ . Fix a decomposition  $V = \mathbb{F}\varepsilon \oplus V_1$  and identify  $V^* = \mathbb{F}\varepsilon^* \oplus V_1^*$  with  $\langle \varepsilon^*, \varepsilon \rangle = 1$ . Then  $(X, \varepsilon, t\varepsilon^*) \in \Omega_t$  and the isotropy subgroup of  $(\varepsilon, t\varepsilon^*)$  in  $\tilde{G}$  is, with an obvious notation,  $\tilde{G}_{n-1}$ . By Frobenius descent (Theorem 2.2) there is a linear bijection between  $\mathscr{G}'(\Omega_t)^{\tilde{G},\chi}$  and the space  $\mathscr{G}'(\mathfrak{g})^{\tilde{G}_1,\chi_1}$  and this last space is (0) by induction.

Let  $\mathfrak{z}$  be the center of  $\mathfrak{g}$  that is to say the space of scalar matrices. Let  $\mathcal{N} \subset [\mathfrak{g}, \mathfrak{g}]$  be the nilpotent cone in  $\mathfrak{g}$ .

PROPOSITION 3.2. If  $T \in \mathcal{G}'(\mathfrak{g} \oplus V \oplus V^*)^{\widetilde{G},\chi}$ , then the support of T is contained in  $(\mathfrak{z} + \mathcal{N}) \times \Gamma$ . If  $\mathcal{G}'(\mathcal{N} \times \Gamma)^{\widetilde{G},\chi} = (0)$ , then  $\mathcal{G}'(\mathfrak{g} \oplus V \oplus V^*)^{\widetilde{G},\chi} = (0)$ .

*Proof.* Let us prove that the support of such a distribution T is contained in  $(\mathfrak{z} + \mathcal{N}) \times (V \oplus V^*)$ . We use Harish-Chandra's descent method. For  $X \in \mathfrak{g}$  let  $X = X_s + X_n$  be the Jordan decomposition of X with  $X_s$  semi-simple and  $X_n$  nilpotent. This decomposition commutes with the action of  $\tilde{G}$ . The centralizer  $Z_G(X)$  of an element  $X \in \mathfrak{g}$  is unimodular ([SS70, p. 235]) and there exists an isomorphism u of V onto  $V^*$  such that  ${}^tX = uXu^{-1}$  (any matrix is conjugate to its transpose). It follows that the centralizer  $Z_{\tilde{G}}(X)$  of X in  $\tilde{G}$ , which is a semi-direct product of  $Z_G(X)$  and  $S_2$ , is also unimodular.

Let *E* be the space of monic polynomials of degree *n* with coefficients in  $\mathbb{F}$ . For  $p \in E$ , let  $\mathfrak{g}_p$  be the set of all  $X \in \mathfrak{g}$  with characteristic polynomial *p*. Note that  $\mathfrak{g}_p$  is fixed by  $\widetilde{G}$ . By the Bernstein localization principle (Theorem 2.1) it is enough to prove that if *p* is not  $(T - \lambda)^n$  for some  $\lambda$ , then  $\mathscr{G}(\mathfrak{g}_p \times V \times V^*)^{\widetilde{G}, \chi} = (0)$ .

Fix p. We claim that the map  $X \mapsto X_s$  restricted to  $\mathfrak{g}_p$  is continuous. Indeed let  $\tilde{\mathbb{F}}$  be a finite Galois extension of  $\mathbb{F}$  containing all the roots of p. Let

$$p(\xi) = \prod_{1}^{s} (\xi - \lambda_i)^{n_i}$$

be the decomposition of p. Recall that if  $X \in \mathfrak{g}_p$  and  $V_i = \operatorname{Ker}(X - \lambda_I)^{n_i}$ , then  $V = \bigoplus V_i$  and the restriction of  $X_s$  to  $V_i$  is the multiplication by  $\lambda_i$ . Then choose a polynomial R with coefficients in  $\widetilde{\mathbb{F}}$  such that for all i, R is congruent to  $\lambda_i$  modulo  $(\xi - \lambda_i)^{n_i}$  and R(0) = 0. Clearly  $X_s = R(X)$ . As the Galois group of  $\widetilde{\mathbb{F}}$  over  $\mathbb{F}$  permutes the  $\lambda_i$ , we may even choose  $R \in \mathbb{F}[\xi]$ . This implies the required continuity.

There is only one semi-simple orbit  $\gamma_p$  in  $\mathfrak{g}_p$  and it is closed. We use Frobenius descent (Theorem 2.2) for the map  $(X, v, v^*) \mapsto X_s$  from  $\mathfrak{g}_p \times V \times V^*$  to  $\gamma_p$ .

Fix  $a \in \gamma_p$ ; its fiber is the product of  $V \oplus V^*$  by the set of nilpotent elements which commute with a. It is a closed subset of the centralizer  $\mathfrak{m} = \mathfrak{Z}_{\mathfrak{g}}(a)$  of a in  $\mathfrak{g}$ . Let  $M = Z_G(a)$  and  $\widetilde{M} = Z_{\widetilde{G}}(a)$ .

Following [SS70], let us describe these centralizers. Let P be the minimal polynomial of a; all its roots are simple. Let  $P = P_1 \dots P_r$  be the decomposition of P into irreducible factors over  $\mathbb{F}$ . Then the  $P_i$  are two-by-two relatively prime. If  $V_i = \text{Ker} P_i(a)$ , then  $V = \bigoplus V_i$  and  $V^* = \bigoplus V_i^*$ . An element x of G which

commutes with *a* is given by a family  $\{x_1, \ldots, x_r\}$  where each  $x_i$  is a linear map from  $V_i$  to  $V_i$ , commuting with the restriction of *a* to  $V_i$ . Now  $\mathbb{F}[\xi]$  acts on  $V_i$ , by specializing  $\xi$  to  $a_{|V_i}$ , and  $P_i$  acts trivially so that, if  $\mathbb{F}_i = \mathbb{F}[\xi]/(P_i)$ , then  $V_i$ becomes a vector space over  $\mathbb{F}_i$ . The  $\mathbb{F}$ -linear map  $x_i$  commutes with *a* if and only if it is  $\mathbb{F}_i$ -linear.

Fix *i*. Let  $\ell$  be a nonzero  $\mathbb{F}$ -linear form on  $\mathbb{F}_i$ . If  $v_i \in V_i$  and  $v'_i \in V'^*_i$ , then  $\lambda \mapsto \langle \lambda v_i, v'_i \rangle$  is an  $\mathbb{F}$ -linear form on  $\mathbb{F}_i$ ; hence there exists a unique element  $S(v_i, v'_i)$  of  $\mathbb{F}_i$  such that  $\langle \lambda v_i, v'_i \rangle = \ell (\lambda S(v_i, v'_i))$ . One checks trivially that *S* is  $\mathbb{F}_i$ -linear with respect to each variable and defines a nondegenerate duality, over  $\mathbb{F}_i$ between  $V_i$  and  $V^*_i$ . Here  $\mathbb{F}_i$  acts on  $V^*_i$  by transposition, relative to the  $\mathbb{F}$ -duality  $\langle ., . \rangle$  of the action on  $V_i$ . Finally, if  $x_i \in \text{End}_{\mathbb{F}_i} V_i$ , then its transpose, relative to the duality S(., .), is the same as its transpose relative to the duality  $\langle ., . \rangle$ .

Thus M is a product of linear groups and the situation  $(M, V, V^*)$  is a composite case, each component being a linear case (over various extensions of  $\mathbb{F}$ ).

Let *u* be an isomorphism of *V* onto  $V^*$  such that  ${}^t a = uau^{-1}$  and that, for each *i*,  $u(V_i) = V_i^*$ . Then  $u \in \widetilde{M}$  and  $\widetilde{M} = M \cup uM$ .

Suppose that *a* does not belong to the center of  $\mathfrak{g}$ . Then each  $V_i$  has dimension strictly smaller than *n* and we can use the inductive assumption. Therefore

$$\mathscr{S}'(\mathfrak{m} \oplus V \oplus V^*)^{M,\chi} = (0).$$

However the nilpotent cone  $\mathcal{N}_{\mathfrak{m}}$  in  $\mathfrak{m}$  is a closed subset so

$$\mathscr{G}'(\mathcal{N}_{\mathfrak{m}} \times V \times V^*)^{\boldsymbol{M},\boldsymbol{\chi}} = (0)$$

Together with Lemma 3.1 this proves the first assertion of the proposition.

If *a* belongs to the center, then  $\widetilde{M} = \widetilde{G}$  and the fiber is  $(a + \mathcal{N}) \times V \times V^*$ . This implies the second assertion.

*Remark.* Strictly speaking the singular set is defined as the set of all  $(X, v, v^*)$  such that for any polynomial P invariant under  $\tilde{G}$  one has  $P(X, v, v^*) = P(0)$ . So we should take care of the invariants  $P(X, v, v^*) = \langle v^*, X^p v \rangle$  for all p and not only for p = 0. It can be proved, *a priori*, that the support of the distribution T has to satisfy these extra conditions. As this is not needed in the sequel we omit the proof.

# 4. End of the proof for GL(*n*)

In this section we consider a distribution  $T \in \mathscr{G}'(\mathcal{N} \times \Gamma)^{\tilde{G},\chi}$  and prove that T = 0. The following observation will play a crucial role. Choose a nontrivial additive character  $\psi$  of  $\mathbb{F}$ . On  $V \oplus V^*$  we have the bilinear form

$$\left((v_1, v_1^*), (v_2, v_2^*)\right) \mapsto \langle v_1^*, v_2 \rangle + \langle v_2^*, v_1 \rangle$$

Define the Fourier transform by

$$\hat{\varphi}(v_2, v_2^*) = \int_{V \oplus V^*} \varphi(v_1, v_1^*) \,\psi(\langle v_1^*, v_2 \rangle + \langle v_2^*, v_1 \rangle) \,dv_1 dv_1^*,$$

with  $dv_1 dv_1^*$  normalized so that there is no constant factor appearing in the inversion formula.

This Fourier transform commutes with the action of  $\tilde{G}$ ; hence the (partial) Fourier transform  $\hat{T}$  of our distribution T has the same invariance properties and the same support conditions as T itself.

Let  $\mathcal{N}_i$  be the union of nilpotent orbits of dimension at most *i*. We will prove, by descending induction on *i*, that the support of any  $(\tilde{G}, \chi)$ -equivariant distribution on  $[\mathfrak{g}, \mathfrak{g}] \times \Gamma$  must be contained in  $\mathcal{N}_i \times \Gamma$ . Suppose we already know that, for some *i*, the support must be contained in  $\mathcal{N}_i \times \Gamma$ . We must show that, for any nilpotent orbit  $\mathbb{O}$  of dimension *i*, the restriction of the distribution to  $\mathbb{O} \times \Gamma$  is 0.

If  $v \in V$  and  $v^* \in V^*$ , then we call  $X_{v,v^*}$  the rank one map  $x \mapsto \langle v^*, x \rangle v$ . Let

$$\nu_{\lambda}(X, v, v^*) = (X + \lambda X_{v, v^*}, v, v^*), \quad (X, v, v^*) \in \mathfrak{g} \times \Gamma, \ \lambda \in \mathbb{F}.$$

Then  $\nu_{\lambda}$  is a one parameter group of homeomorphisms of  $\mathfrak{g} \times \Gamma$ , and note that  $[\mathfrak{g}, \mathfrak{g}] \times \Gamma$  is invariant. The key observation is that  $\nu_{\lambda}$  commutes with the action of  $\widetilde{G}$ . Therefore the image of T by  $\nu_{\lambda}$  transforms according to the character  $\chi$  of  $\widetilde{G}$ . Its support is contained in  $[\mathfrak{g}, \mathfrak{g}] \times \Gamma$  and hence must be contained in  $\mathcal{N} \times \Gamma$  and in fact in  $\mathcal{N}_i \times \Gamma$ . This means that if  $(X, v, v^*)$  belongs to the support of T, then, for all  $\lambda$ ,  $(X + \lambda X_{v,v^*}, v, v^*)$  must belong to  $\mathcal{N}_i \times \Gamma$ .

The orbit  $\mathbb{O}$  is open in  $\mathcal{N}_i$ . Thus if  $X \in \mathbb{O}$  the condition  $X + \lambda X_{v,v^*} \in \mathcal{N}_i$ implies that, at least for  $|\lambda|$  small enough,  $X + \lambda X_{v,v^*} \in \mathbb{O}$ . It follows that  $X_{v,v^*}$ belongs to the tangent space to  $\mathbb{O}$  at the point X; this tangent space is the image of adX.

Define Q(X) to be the set of all pairs  $(v, v^*)$  such  $X_{v,v^*} \in \text{Im ad} X$ . Therefore it is enough to prove the following lemma:

LEMMA 4.1. Let  $T \in \mathcal{G}'(\mathbb{O} \times V \times V^*)^{\tilde{G},\chi}$ . Suppose that the support of T and of  $\tilde{T}$  are contained in the set of triplets  $(X, v, v^*)$  such that  $(v, v^*) \in Q(X)$ . Then T = 0.

Note that the trace of  $X_{v,v^*}$  is  $\langle v^*, v \rangle$  and that  $X_{v,v^*} \in \text{Im ad } X$  implies that its trace is 0. Therefore Q(X) is contained in  $\Gamma$ .

We proceed in three steps. First we transfer the problem to  $V \oplus V^*$  and a fixed nilpotent endomorphism X. Then we show that if Lemma 4.1 is true for  $(V_1, X_1)$ and  $(V_2, X_2)$  then it is true for the direct sum  $(V_1 \oplus V_2, X_1 \oplus, X_2)$ . Finally, using the decomposition of X in Jordan blocks, we are left with the case of a principal nilpotent element for which we give a direct proof, using Weil representation.

Consider the map  $(X, v, v^*) \mapsto X$  from  $\mathbb{O} \times V \times V^*$  onto  $\mathbb{O}$ . Choose  $X \in \mathbb{O}$  and let *C* (resp  $\tilde{C}$ ) be the stabilizer in *G* (resp. in  $\tilde{G}$ ) of an element *X* of  $\mathbb{O}$ ; both groups are unimodular; hence we may use Frobenius descent (Theorem 2.2).

Now we have to deal with a distribution, which we still call T, which belongs to  $\mathscr{G}'(V \oplus V^*)^{\widetilde{C},\chi}$  such that both T and its Fourier transform are supported by

1420

Q(X) (Proposition 2.1). Let us say that X is nice if the only such distribution is 0. We want to prove that all nilpotent endomorphisms are nice.

LEMMA 4.2. Suppose that we have a decomposition  $V = V_1 \oplus V_2$  such that  $X(V_i) \subset V_i$ . Let  $X_i$  be the restriction of X to  $V_i$ . Then if  $X_1$  and  $X_2$  are nice, so is X.

*Proof.* Let  $(v, v^*) \in Q(X)$  and choose  $A \in \mathfrak{g}$  such that  $X_{v,v^*} = [A, X]$ . Decompose  $v = v_1 + v_2$  and  $v^* = v_1^* + v_2^*$ , and put

$$A = \begin{pmatrix} A_{1,1} & A_{1,2} \\ A_{2,1} & A_{2,2} \end{pmatrix}.$$

Writing  $X_{v,v^*}$  as a two-by-two matrix and looking at the diagonal blocks one gets that  $X_{v_i,v^*_i} = [A_{i,i}, X_i]$ . This means that

$$Q(X) \subset Q(X_1) \times Q(X_2).$$

For i = 1, 2 let  $C_i$  be the centralizer of  $X_i$  in  $GL(V_i)$  and  $\tilde{C}_i$  the corresponding extension by  $S_2$ . Let T be a distribution as above and let  $\varphi_2 \in \mathcal{G}(V_2 \oplus V_2^*)$ . Let  $T_1$  be the distribution on  $V_1 \oplus V_1^*$  defined by  $\varphi_1 \mapsto \langle T, \varphi_1 \otimes \varphi_2 \rangle$ . The support of  $T_1$  is contained in  $Q(X_1)$ , and  $T_1$  is invariant under the action of  $C_1$ . We have

$$\langle \widehat{T}_1, \varphi_1 \rangle = \langle T_1, \widehat{\varphi}_1 \rangle = \langle T, \widehat{\varphi}_1 \otimes \varphi_2 \rangle = \langle \widehat{T}, \widecheck{\varphi}_1 \otimes \widehat{\varphi}_2 \rangle.$$

Here  $\check{\varphi}_1(v_1, v_1^*) = \varphi_1(-v_1, -v_1^*)$ . By assumption the support of  $\widehat{T}$  is contained in Q(X) so that the support of  $\widehat{T}_1$  is supported in  $-Q(X_1) = Q(X_1)$ . Because  $(X_1)$  is nice this implies that  $T_1$  is invariant under  $\widetilde{C}_1$ .

Extend the action of  $\tilde{C}_1$  to  $V \oplus V^*$  trivially. We obtain that T is invariant with respect to  $\tilde{C}_1$ . Similarly it is invariant under  $\tilde{C}_2$ . Since the actions of  $\tilde{C}_1$  and  $\tilde{C}_2$  together with the action of C generate the action of  $\tilde{C}$  we obtain that T must be invariant under  $\tilde{C}$  and hence must be 0.

Decomposing X into Jordan blocks we still have to prove Lemma 4.1 for a principal nilpotent element. We need some preliminary results.

LEMMA 4.3. The distribution T satisfies the following homogeneity condition:

$$\langle T, f(tv, tv^*) \rangle = |t|^{-n} \langle T, f(v, v^*) \rangle.$$

*Proof.* We use a particular case of Weil or oscillator representation. Let E be a vector space over  $\mathbb{F}$  of finite-dimension m. To simplify assume that m is even. Let q be a nondegenerate quadratic form on E and let b be the bilinear form

$$b(e, e') = q(e + e') - q(e) - q(e').$$

Fix a continuous nontrivial additive character  $\psi$  of  $\mathbb{F}$ . We define the Fourier transform on *E* by

$$\hat{f}(e') = \int_{E} f(e)\psi(b(e, e'))de$$

where de is the self-dual Haar measure.

There exists ([RS]) a representation  $\pi$  of SL(2,  $\mathbb{F}$ ) in  $\mathcal{G}(E)$  such that:

$$\pi \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} f(e) = \psi(uq(e)) f(e),$$
  
$$\pi \begin{pmatrix} t & 0 \\ 0 & t^{-1} \end{pmatrix} f(e) = \frac{\gamma(q)}{\gamma(tq)} |t|^{m/2} f(te),$$
  
$$\pi \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} f(e) = \gamma(q) \hat{f}(e).$$

The  $\gamma(tq)$  are complex numbers of modulus 1. In particular if (E,q) is a sum of hyperbolic planes, these numbers are all equal to 1.

We have a contragredient action in the dual space  $\mathscr{G}'(E)$ . Suppose that T is a distribution on E such that T and  $\widehat{T}$  are supported on the isotropic cone q(e) = 0. This means that

$$\left\langle T, \pi \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} f \right\rangle = \langle T, f \rangle$$
 and  $\left\langle \widehat{T}, \pi \begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} f \right\rangle = \langle \widehat{T}, f \rangle.$ 

Using the relation

$$\langle \widehat{T}, \varphi \rangle = \left\langle T, \overline{\gamma(q)}\pi \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} f \right\rangle,$$

the second relation is equivalent to

$$\left\langle T, \pi \begin{pmatrix} 1 & 0 \\ -u & 1 \end{pmatrix} f \right\rangle = \langle T, f \rangle.$$

The matrices

$$\begin{pmatrix} 1 & u \\ 0 & 1 \end{pmatrix} \quad \text{and} \quad \begin{pmatrix} 1 & 0 \\ u & 1 \end{pmatrix} \quad u \in \mathbb{F}$$

generate the group  $SL(2, \mathbb{F})$ . Therefore the distribution *T* is invariant by  $SL(2, \mathbb{F})$ . In particular,

$$\langle T, f(te) \rangle = \frac{\gamma(tq)}{\gamma(q)} |t|^{-m/2} \langle T, f \rangle$$

and  $T = \gamma(q)\widehat{T}$ .

*Remark.* For even m,  $\gamma(tq)/\gamma(q)$  is a character and there do exist nonzero distributions invariant under SL(2,  $\mathbb{F}$ ). In odd dimensions we get a representation of the 2-fold covering of SL(2,  $\mathbb{F}$ ) and we obtain the same homogeneity condition. However  $\gamma(tq)/\gamma(q)$  is not a character; hence the distribution T must be 0.

In our situation we take  $E = V \oplus V^*$  and  $q(v, v^*) = \langle v^*, v \rangle$ . Then

$$b\Big((v_1, v_1^*), (v_2, v_2^*)\Big) = \langle v_1^*, v_2 \rangle + \langle v_2^*, v_1 \rangle.$$

The Fourier transform commutes with the action of  $\tilde{G}$ . Both T and  $\hat{T}$  are supported on Q(X) which is contained in  $\Gamma$ . As  $\gamma(tq) = 1$  this proves the lemma and also that  $T = \hat{T}$ .

*Remark.* The same type of argument could have been used for the quadratic form Tr(XY) on  $\mathfrak{sl}(V) = [\mathfrak{g}, \mathfrak{g}]$ . This would have given a short proof for even n and a homogeneity condition for odd n.

Now we find Q(X).

LEMMA 4.4. If X is principal, then Q(X) is the set of pairs  $(v, v^*)$  such that for  $0 \le k < n$ ,  $\langle v^*, X^k v \rangle = 0$ .

*Proof.* Choose a basis  $(e_1, \ldots, e_n)$  of V such that  $Xe_1 = 0$  and  $Xe_j = e_{j-1}$  for  $j \ge 2$ . Consider the map  $A \mapsto XA - AX$  from the space of n by n matrices into itself. This map is anti-symmetric with respect to the Killing form and hence its image is the orthogonal complement to its kernel. A simple computation shows that the kernel of this map, that is to say the Lie algebra c of the centralizer C, is the space of polynomials (of degree at most n - 1) in X. Therefore,

$$Q(X) = \{(v, v^*) | X_{v,v^*} \in \text{Im ad} X\} = \{(v, v^*) | \forall 0 \le k < n, \text{ tr}(X_{v,v^*} X^k) = 0\}$$
$$= \{(v, v^*) | \forall 0 \le k < n, \langle v^*, X^k v \rangle = 0\}.$$

End of the proof of Lemma 4.1. For principal X, we proceed by induction on n. Keep the above notation. The centralizer C of X is the space of polynomials (of degree at most n - 1) in X with nonzero constant term. In particular the orbit  $\Omega$  of  $e_n$  is the open subset  $x_n \neq 0$ . We shall prove that the restriction of T to  $\Omega \times V^*$  is 0. Note that the centralizer of  $e_n$  in C is trivial. By Frobenius descent (Theorem 2.2), to the restriction of T corresponds a distribution R on  $V^*$  with support in the set of  $v^*$  such that  $(e_n, v^*) \in Q(X)$ . By the last lemma this means that R is a multiple  $a\delta$  of the Dirac measure at the origin. The distribution T satisfies the two conditions

$$\langle T, f(v, v^*) \rangle = \langle T, f(tv, t^{-1}v^*) \rangle = |t|^n \langle T, f(tv, tv^*) \rangle$$

therefore,

$$\langle T, f(v, t^2 v^*) = |t|^{-n} \langle T, f(v, v^*) \rangle.$$

Now T is recovered from R by the formula

$$\langle T, f(v, v^*) \rangle = \int_C \langle R, f(ce_n, {}^t c^{-1} v^*) \rangle dc = a \int_C f(ce_n, 0) dc, \quad f \in \mathcal{G}(\Omega \times V^*).$$

Unless a = 0 this is not compatible with this last homogeneity condition.

Exactly in the same way one proves that T is 0 on  $V \times \Omega^*$ , where  $\Omega^*$  is the open orbit  $x_1^* \neq 0$  of C in  $V^*$ . The same argument is valid for  $\hat{T}$  (which is even equal to T...).

If n = 1, then T is obviously 0. If  $n \ge 2$ , then there exists a distribution T' on

$$\bigoplus_{1 < j < n} \mathbb{F}e_j \oplus \mathbb{F}e_j^*$$

such that

$$T = T' \otimes \delta_{x_n=0} \otimes dx_1 \otimes \delta_{x_1^*=0} \otimes dx_n^*.$$

Let *u* be the isomorphism of *V* onto *V*<sup>\*</sup> given by  $u(e_j) = e_{n+1-j}^*$ . Recall that it acts on  $\mathfrak{g} \times V \times V^*$  by  $(X, v, v^*) \mapsto ({}^t(uXu^{-1}), {}^tu^{-1}v^*, uv)$ . It belongs to  $\tilde{C}$  but not to *C* so it must transform *T* into -T.

The case n = 1 has just been settled. If n = 2 in the above formula T' should be replaced by a constant. The constant must be 0 if we want u(T) = -T. If n > 2, let

$$V' = \left( \oplus_1^{n-1} \mathbb{F} e_i \right) / \mathbb{F} e_1$$

and let X' be the nilpotent endomorphism of V' defined by X. We may consider T' as a distribution on  $V \oplus V'^*$  and one easily checks that, with obvious notation, it transforms according to the character  $\chi$  of the centralizer  $\tilde{C}'$  of X' in  $\tilde{G}'$ . By induction T' = 0; hence T = 0.

## 5. Reduction to the singular set: the orthogonal and unitary cases

We now turn our attention to the unitary case. We keep the notation of the introduction. In particular  $W = V \oplus \mathbb{D}e$  is a vector space over  $\mathbb{D}$  of dimension n + 1 with a nondegenerate hermitian form  $\langle ., . \rangle$  such that e is orthogonal to V. The unitary group G of V is imbedded into the unitary group M of W.

Let A be the set of all bijective maps u from V to V such that

$$u(v_1 + v_2) = u(v_1) + u(v_2), \ u(\lambda v) = \overline{\lambda}u(v), \text{ and } \langle u(v_1), u(v_2) \rangle = \overline{\langle v_1, v_2 \rangle}.$$

An example of such a map is obtained by choosing a basis  $e_1, \ldots, e_n$  of V such that  $\langle e_i, e_j \rangle \in \mathbb{F}$  and defining

$$u\Big(\sum x_i e_i\Big) = \sum \overline{x}_i e_i.$$

Any  $u \in A$  is extended to W by the rule  $u(v + \lambda e) = u(v) + \overline{\lambda}e$  and we define an action on GL(W) by  $m \mapsto um^{-1}u^{-1}$ . The group G acts on GL(W) by the adjoint action.

Let  $\tilde{G}$  be the group of bijections of GL(W) onto itself generated by the actions of G and A. It is a semi-direct product of G and  $S_2$ . We identify G to a subgroup of  $\tilde{G}$  and A to a subset. When a confusion is possible we denote the product in  $\tilde{G}$ with a  $\times$ .

We define a character  $\chi$  of  $\tilde{G}$  by  $\chi(g) = 1$  for  $g \in G$ , and  $\chi(u) = -1$  for  $u \in \tilde{G} \setminus G$ . Our overall goal is to prove that  $\mathscr{G}'(M)^{\tilde{G},\chi} = (0)$ .

Let  $\tilde{G}$  act on  $G \times V$  as follows:

$$g(x, v) = (gxg^{-1}, g(v)), u(x, v) = (ux^{-1}u^{-1}, -u(v)), \qquad g \in G, u \in A, x \in G, \text{ and } v \in V.$$

Our first step is to replace M by  $G \times V$ .

PROPOSITION 5.1. Suppose that  $\mathscr{G}'(G \times V)^{\widetilde{G},\chi} = (0)$  for any V and any hermitian form on V. Then we have  $\mathscr{G}'(M)^{\widetilde{G},\chi} = (0)$ .

*Proof.* We have in particular  $\mathscr{G}'(M \times W)^{\widetilde{M},\chi} = (0)$ . Let Y be the set of all (m, w) such that  $\langle w, w \rangle = \langle e, e \rangle$ ; it is a closed subset, invariant under  $\widetilde{M}$ , hence  $\mathscr{G}'(Y)^{\widetilde{M},\chi} = (0)$ . By Witt's theorem M acts transitively on  $\Gamma = \{w | \langle w, w \rangle = \langle e, e \rangle\}$ . We can apply Frobenius descent (Theorem 2.2) to the map  $(m, w) \mapsto w$  of Y onto  $\Gamma$ . The centralizer of e in  $\widetilde{M}$  is isomorphic to  $\widetilde{G}$  acting as before on the fiber  $M \times \{e\}$ . We have a linear bijection between  $\mathscr{G}'(M)^{\widetilde{G},\chi}$  and  $\mathscr{G}'(Y)^{\widetilde{M},\chi}$ ; therefore  $\mathscr{G}'(M)^{\widetilde{G},\chi} = (0)$ .

The proof that  $\mathscr{G}'(G \times V)^{\widetilde{G},\chi} = (0)$  is by induction on *n*. If  $\mathfrak{g}$  is the Lie algebra of *G*, we shall prove simultaneously that  $\mathscr{G}'(\mathfrak{g} \times V)^{\widetilde{G},\chi} = (0)$ . In this case *G* acts on its Lie algebra by the adjoint action, and for  $u \in \widetilde{G} \setminus G$  one puts, for  $X \in \mathfrak{g}$ ,  $u(X) = -uXu^{-1}$ .

The case n = 0 is trivial, so we may assume that  $n \ge 1$ . If  $T \in \mathcal{G}'(G \times V)^{\tilde{G},\chi}$  in this section, then we will prove that the support of T must be contained in the "singular set".

Let Z (resp.  $\mathfrak{z}$ ) be the center of G (resp.  $\mathfrak{g}$ ) and  $\mathfrak{U}$  (resp.  $\mathfrak{N}$ ) the (closed) set of all unipotent (resp. nilpotent) elements of G (resp.  $\mathfrak{g}$ ).

LEMMA 5.1. If  $T \in \mathcal{G}'(G \times V)^{\widetilde{G}, \chi}$  (resp.  $T \in \mathcal{G}'(\mathfrak{g} \times V)^{\widetilde{G}, \chi}$ ), then the support of T is contained in  $\mathbb{Z}\mathfrak{A} \times V$  (resp.  $(\mathfrak{z} + \mathcal{N}) \times V$ ).

This is Harish-Chandra's descent. We first review some facts about the centralizers of semi-simple elements, following [SS70].

Let  $a \in G$ , semi-simple; we want to describe its centralizer M (resp.  $\widetilde{M}$ ) in G (resp. in  $\widetilde{G}$ ) and to show that  $\mathscr{G}'(M \times V)^{\widetilde{M}, \chi} = (0)$ .

View *a* as a  $\mathbb{D}$ -linear endomorphism of *V* and call *P* its minimal polynomial. Then, as *a* is semi-simple, *P* decomposes into irreducible factors  $P = P_1 \dots P_r$ two-by-two relatively prime. Let  $V_i = \text{Ker } P_i(a)$  so that  $V = \bigoplus V_i$ . Any element *x* which commutes with *a* will satisfy  $xV_i \subset V_i$  for each *i*. For

$$R(\xi) = d_0 + \dots + d_m \xi^m, \quad d_0 d_m \neq 0$$

let

$$R^*(\xi) = \overline{d_0}\xi^m + \dots + \overline{d_m}.$$

Then, from  $aa^* = 1$  we obtain, if *m* is the degree of *P*,

$$\langle P(a)v, v' \rangle = \langle v, a^{-m} P^*(a)v' \rangle$$

(Note that the constant term of P cannot be 0 because a is invertible.) It follows that  $P^*(a) = 0$  so that  $P^*$  is proportional to P. Now  $P^* = P_1^* \dots P_r^*$ ; hence there exists a bijection  $\tau$  from  $\{1, 2, \dots, r\}$  onto itself such that  $P_i^*$  is proportional

to  $P_{\tau(i)}$ . Let  $m_i$  be the degree of  $P_i$ . Then, for some nonzero constant c

$$0 = \langle P_i(a)v_i, v_j \rangle = \langle v_i, a^{-m_i} P_i^*(a)v_j \rangle$$
  
=  $c \langle v_i, a^{-m_i} P_{\tau(i)}(a)v_j \rangle, \quad v_i \in V_i, v_j \in V_j.$ 

We have two possibilities.

*Case* 1:  $\tau(i) = i$ . The space  $V_i$  is orthogonal to  $V_j$  for  $j \neq i$ ; the restriction of the hermitian form to  $V_i$  is nondegenerate. Let  $\mathbb{D}_i = \mathbb{D}[\xi]/(P_i)$  and consider  $V_i$  as a vector space over  $\mathbb{D}_i$  through the action  $(R(\xi), v) \mapsto R(a)v$ . As  $a_{|V_i|}$  is invertible,  $\xi$  is invertible modulo  $(P_i)$ ; choose  $\eta$  such that  $\xi \eta = 1$  modulo  $(P_i)$ . Let  $\sigma_i$  be the semi-linear involution of  $\mathbb{D}_i$ , as an algebra over  $\mathbb{D}$ :

$$\sum d_j \xi^j \mapsto \sum \overline{d_j} \eta^j \mod(P_i).$$

Let  $\mathbb{F}_i$  be the subfield of fixed points for  $\sigma_i$ . It is a finite extension of  $\mathbb{F}$ , and  $\mathbb{D}_i$  is either a quadratic extension of  $\mathbb{F}_i$  or equal to  $\mathbb{F}_i$ . There exists a  $\mathbb{D}$ -linear form  $\ell \neq 0$  on  $\mathbb{D}_i$  such that  $\ell(\sigma_i(d)) = \overline{\ell(d)}$  for all  $d \in \mathbb{D}_i$ . Then any  $\mathbb{D}$ -linear form L on  $\mathbb{D}_i$  may be written as  $d \mapsto \ell(\lambda d)$  for some unique  $\lambda \in \mathbb{D}_i$ .

If  $v, v' \in V_i$ , then  $d \mapsto \langle d(a)v, v' \rangle$  is  $\mathbb{D}$ -linear map on  $\mathbb{D}_i$ ; hence there exists  $S(v, v') \in \mathbb{D}_i$  such that

$$\langle d(a)v, v' \rangle = \ell(dS(v, v')).$$

One checks that *S* is a nondegenerate hermitian form on  $V_i$  as a vector space over  $\mathbb{D}_i$ . Also a  $\mathbb{D}$ -linear map  $x_i$  from  $V_i$  into itself commutes with  $a_i$  if and only if it is  $\mathbb{D}_i$ -linear, and it is unitary with respect to our original hermitian form if and only if it is unitary with respect to *S*. So in this case we call  $G_i$  the unitary group of *S*. It does not depend upon the choice of  $\ell$ . As no confusion may arise, for  $\lambda \in \mathbb{D}_i$  we define  $\overline{\lambda} = \sigma_i(\lambda)$ .

We choose an  $\mathbb{F}_i$ -linear map  $u_i$  from  $V_i$  onto itself, such that  $u_i(\lambda v) = \overline{\lambda}u(v)$ and  $S(u_i(v), u_i(v')) = \overline{S(v, v')}$ . Then because of our original choice of  $\ell$  we also have  $\langle u_i(v), u_i(v') \rangle = \overline{\langle v, v' \rangle}$ . Note that  $u(a_{|V_i})^{-1}u^{-1} = a_{|V_i}$ .

*Case* 2. Suppose now that  $j = \tau(i) \neq i$ . Then  $V_i \oplus V_j$  is orthogonal to  $V_k$  for  $k \neq i, j$ , and the restriction of the hermitian form to  $V_i \oplus V_j$  is nondegenerate, both  $V_i$  and  $V_j$  being totally isotropic subspaces. Choose an inverse  $\eta$  of  $\xi$  modulo  $P_j$ . Then for any  $P \in \mathbb{D}[\xi]$ 

$$\langle P(a)v_i, v_j \rangle = \langle v_i, \overline{P}(\eta(a))v_j \rangle, \quad v_i \in V_i, \ v_j \in V_j,$$

where  $\overline{P}$  is the polynomial deduced from P by changing its coefficients into their conjugate. This defines a map, which we call  $\sigma_i$  from  $\mathbb{D}_i$  onto  $\mathbb{D}_j$ . In a similar way we have a map  $\sigma_j$  which is the inverse of  $\sigma_i$ . Then, for  $\lambda \in \mathbb{D}_i$  we have  $\langle \lambda v_i, v_j \rangle = \langle v_i, \sigma_i(\lambda) v_j \rangle$ .

View  $V_i$  as a vector space over  $\mathbb{D}_i$ . The action

$$(\lambda, v_i) \mapsto \sigma_i(\lambda) v_i$$

defines a structure of  $\mathbb{D}_i$ -vector space on  $V_j$ . However note that for  $\lambda \in \mathbb{D}$  we have  $\sigma_i(\lambda) = \overline{\lambda}$  so that  $\sigma_i(\lambda)v_j$  may be different from  $\lambda v_j$ . To avoid confusion we shall write, for  $\lambda \in \mathbb{D}_i$ 

$$\lambda v_i = \lambda * v_i$$
 and  $\sigma_i(\lambda) v_i = \lambda * v_i$ .

As in the first case choose a nonzero  $\mathbb{D}$ -linear form  $\ell$  on  $\mathbb{D}_i$ . For  $v_i \in V_i$  and  $v_j \in V_j$  the map  $\lambda \mapsto \langle \lambda * v_i, v_j \rangle$  is a  $\mathbb{D}$ -linear form on  $\mathbb{D}_i$ ; hence there exists a unique element  $S(v_i, v_j) \in \mathbb{D}_i$  such that, for all  $\lambda$ 

$$\langle \lambda * v_i, v_j \rangle = \ell(\lambda S(v_i, v_j)).$$

The form *S* is  $\mathbb{D}_i$ -bilinear and nondegenerate so that we can view  $V_j$  as the dual space over  $\mathbb{D}_i$  of the  $\mathbb{D}_i$ -vector space  $V_i$ .

Let  $(x_i, x_j) \in \text{End}_{\mathbb{D}}(V_i) \times \text{End}_{\mathbb{D}}(V_j)$ . They commute with  $(a_i, a_j)$  if and only if they are  $\mathbb{D}_i$ -linear. The original hermitian form will be preserved, if and only if  $S(x_iv_i, x_jv_j) = S(v_i, v_j)$  for all  $v_i, v_j$ . This means that  $x_j$  is the inverse of the transpose of  $x_i$ . In this situation we define  $G_i$  as the linear group of the  $\mathbb{D}_i$ -vector space  $V_i$ .

Let  $u_i$  be a  $\mathbb{D}_i$ -linear bijection of  $V_i$  onto  $V_j$ . Then  $u_i(av_i) = a^{-1}u_i(v_i)$  and  $u_i^{-1}(av_j) = a^{-1}u_i^{-1}(v_j)$ .

Recall that M is the centralizer of a in G. Then (M, V) decomposes as a "product", each "factor" being either of type  $(G_i, V_i)$  with  $G_i$  a unitary group (Case 1) or  $(G_i, V_i \times V_j)$  with  $G_i$  a general linear group (Case 2). Gluing together the  $u_i$  (Case 1) and the  $(u_i, u_i^{-1})$  (Case 2), we get an element  $u \in \widetilde{G} \setminus G$  such that  $ua^{-1}u^{-1} = a$  which means that it belongs to the centralizer of a in  $\widetilde{G}$ . Finally, if  $\widetilde{M}$ is the centralizer of a in  $\widetilde{G}$ , then  $(\widetilde{M}, V)$  is imbedded into a product each "factor" being either of type  $(\widetilde{G}_i, V_i)$  with  $G_i$  a unitary group (Case 1) or  $(\widetilde{G}_i, V_i \times V_j)$  with  $G_i$  a general linear group (Case 2).

If *a* is not central, then for each *i* the dimension of  $V_i$  is strictly smaller than *n* and from the result for the general linear group and the inductive assumption in the orthogonal or unitary case, we conclude that  $\mathcal{G}'(M \times V)^{\widetilde{M}, \chi} = (0)$ .

Proof of Lemma 5.1. In the group case, consider the map  $g \mapsto P_g$  where  $P_g$  is the characteristic polynomial of g. It is a continuous map from G into the set of polynomials of degree at most n. Each nonempty fiber  $\mathcal{F}$  is stable under G but also under  $\tilde{G} \setminus G$ . Bernstein's localization principle tells us that it is enough to prove that  $\mathcal{F}'(\mathcal{F} \times V)^{\tilde{G}, \chi} = (0)$ .

Now it follows from [SS70, Chap. IV] that  $\mathcal{F}$  contains only a finite number of semi-simple orbits; in particular the set of semi-simple elements  $\mathcal{F}_s$  in  $\mathcal{F}$  is closed. Let us use the multiplicative Jordan decomposition into a product of a semi-simple and a unipotent element. Consider the map  $\theta$  from  $\mathcal{F} \times V$  onto  $\mathcal{F}_s$ which associates to (g, v) the semi-simple part  $g_s$  of g. This map is continuous (see the corresponding proof for GL) and commutes with the action of  $\tilde{G}$ . In  $\mathcal{F}_s$  each

orbit  $\gamma$  is both open and closed therefore  $\theta^{-1}(\gamma)$  is open and closed and invariant under  $\tilde{G}$ . It is enough to prove that for each such orbit  $\mathscr{G}'(\theta^{-1}(\gamma))^{\tilde{G},\chi} = (0)$ . By Frobenius descent (Theorem 2.2), if  $a \in \gamma$  and is not central, this follows from the above considerations on the centralizer of such an a and the fact that  $\theta^{-1}(a)$ is a closed subset of the centralizer of a in  $\tilde{G}$ , the product of the set of unipotent element commuting with a by V. Now  $g_s$  is central if and only if g belongs to  $Z\mathcal{U}$ , hence the lemma. For the Lie algebra the proof is similar, using the additive Jordan decomposition.

Going back to the group, if *a* is central, then we see that it suffices to prove that  $\mathscr{G}'(\mathfrak{U} \times V)^{\tilde{G},\chi} = (0)$ , and, similarly for the Lie algebra, it is enough to prove that  $\mathscr{G}'(\mathfrak{N} \times V)^{\tilde{G},\chi} = (0)$ .

Now the exponential map (or the Cayley transform) is a homeomorphism of  $\mathcal{N}$  onto  $\mathcal{U}$  commuting with the action of  $\tilde{G}$ . Therefore it is enough to consider the Lie algebra case.

We now turn our attention to V. Let  $\Gamma = \{v \in V | \langle v, v \rangle = 0\}.$ 

PROPOSITION 5.2. If  $T \in \mathcal{G}'(\mathcal{N} \times V)^{\tilde{G},\chi}$ , then the support of T is contained in  $\mathcal{N} \times \Gamma$ .

Proof. Let

$$\Gamma_t = \{ v \in V \mid \langle v, v \rangle = 0 \}.$$

Each  $\Gamma_t$  is stable by  $\tilde{G}$ , hence, by Bernstein's localization principle, to prove that the support of T is contained in  $\mathcal{N} \times \Gamma_0$  it is enough to prove that, for  $t \neq 0$ ,  $\mathscr{G}'(\mathcal{N} \times \Gamma_t)^{\tilde{G},\chi} = (0)$ .

By Witt's theorem the group G acts transitively on  $\Gamma_t$ . We can apply Frobenius descent to the projection from  $\mathcal{N} \times \Gamma_t$  onto  $\Gamma_t$ . Fix a point  $v_0 \in \Gamma_t$ . The fiber is  $\mathcal{N} \times \{v_0\}$ . Let  $\tilde{G}_1$  be the centralizer of  $v_0$  in  $\tilde{G}$ . We have to show that  $\mathscr{G}'(\mathcal{N})^{\tilde{G}_1,\chi} = (0)$ , and it is enough to prove that  $\mathscr{G}'(\mathfrak{g})^{\tilde{G}_1,\chi} = (0)$ .

The vector  $v_0$  is not isotropic so we have an orthogonal decomposition

$$V = \mathbb{D}v_0 \oplus V_1$$

with  $V_1$  orthogonal to  $v_0$ . The restriction of the hermitian form to  $V_1$  is nondegenerate and  $G_1$  is identified with the unitary group of this restriction, and  $\tilde{G}_1$  is the expected semi-direct product with  $S_2$ . As a  $\tilde{G}_1$ -module the Lie algebra  $\mathfrak{g}$  is isomorphic to a direct sum

$$\mathfrak{g} \approx \mathfrak{g}_1 \oplus V_1 \oplus W$$

where  $\mathfrak{g}_1$  is the Lie algebra of  $G_1$  and W a vector space over  $\mathbb{F}$  of dimension 0 or 1 and on which the action of  $\tilde{G}_1$  is trivial. The action on  $\mathfrak{g}_1 \oplus V_1$  is the usual one so that, by induction, we know that  $\mathscr{G}'(\mathfrak{g}_1 \oplus V_1)^{\tilde{G}_1,\chi} = (0)$ . This readily implies that  $\mathscr{G}'(\mathfrak{g})^{\tilde{G}_1,\chi} = (0)$ .

To summarize: it remains to prove that  $\mathcal{G}'(\mathcal{N} \times \Gamma)^{\widetilde{G}, \chi} = (0)$ .

# 6. End of the proof in the orthogonal and unitary cases

We keep our general notation. We have to show that a distribution on  $\mathcal{N} \times \Gamma$ , which is invariant under G, is invariant under  $\tilde{G}$ . To some extent the proof will be similar to the one we gave for the general linear group.

In particular we will use the fact that if T is such a distribution, then its partial Fourier transform on V is also invariant under G. The Fourier transform on V is defined using the bilinear form

$$(v_1, v_2) \mapsto \langle v_1, v_2 \rangle + \langle v_2, v_1 \rangle$$

which is invariant under  $\tilde{G}$ .

For  $v \in V$  put

$$\varphi_v(x) = \langle x, v \rangle v, \quad x \in V.$$

It is a rank-one endomorphism of V and  $\langle \varphi_v(x), y \rangle = \langle x, \varphi_v(y) \rangle$ .

LEMMA 6.1. i) In the unitary case, for  $\lambda \in \mathbb{D}$  such that  $\lambda = -\overline{\lambda}$  the map

$$\nu_{\lambda}$$
:  $(X, v) \mapsto (X + \lambda \varphi_v, v)$ 

is a homeomorphism of  $[\mathfrak{g}, \mathfrak{g}] \times \Gamma$  onto itself which commutes with  $\widetilde{G}$ . ii) In the orthogonal case, for  $\lambda \in \mathbb{F}$  the map

 $\mu_{\lambda}: \quad (X, v) \mapsto (X + \lambda X \varphi_v + \lambda \varphi_v X, v)$ 

is a homeomorphism of  $[\mathfrak{g},\mathfrak{g}] \times \Gamma$  onto itself which commutes with  $\tilde{G}$ .

The proof is a trivial verification.

We now use the stratification of  $\mathcal{N}$ . Let us first check that an adjoint orbit is stable not only by G but by  $\tilde{G}$ .

Choose a basis  $e_1, \ldots, e_n$  of V such that  $\langle e_i, e_j \rangle \in \mathbb{F}$ ; this gives a conjugation  $u : v = \sum x_i e_i \mapsto \overline{v} = \sum \overline{x_i} e_i$  on V. If A is any endomorphism of V, then  $\overline{A}$  is the endomorphism  $v \mapsto \overline{A(\overline{v})}$ . The conjugation u is an element of  $\widetilde{G} \setminus G$  and, as such, it acts on  $\mathfrak{g} \times V$  by  $(X, v) \mapsto (-uXu^{-1}, -u(v)) = (-\overline{X}, -\overline{v})$ . In [MVW87, Chap. 4, Prop. 1.2] it is shown that for  $X \in \mathfrak{g}$  there exists an  $\mathbb{F}$ -linear automorphism a of V such that  $\langle a(x), a(y) \rangle = \overline{\langle x, y \rangle}$  (this implies that  $a(\lambda x) = \overline{\lambda}x$ ) and such that  $aXa^{-1} = -X$ . Then  $g = ua \in G$  and  $gXg^{-1} = -\overline{X}$  so that  $-\overline{X}$  belongs to the adjoint orbit of X. Note that  $a \in \widetilde{G} \setminus G$  and as such acts as a(X, v) = (X, -a(v)); it is an element of the centralizer of X in  $\widetilde{G} \setminus G$ .

*Remark.* We need to check this only for nilpotent orbits and this will be done later in an explicit way, using the canonical form of nilpotent matrices.

Let  $\mathcal{N}_i$  be the union of all nilpotent orbits of dimension at most *i*. We shall prove, by descending induction on *i*, that the support of a distribution  $T \in \mathcal{G}'(\mathcal{N} \times \Gamma)^{\tilde{G},\chi}$  must be contained in  $\mathcal{N}_i \times \Gamma$ .

So now assume that  $i \ge 0$  and that we already know that the support of any  $T \in \mathcal{G}'(\mathcal{N} \times \Gamma)^{\tilde{G},\chi}$  must be contained in  $\mathcal{N}_i \times \Gamma$ . Let  $\mathbb{O}$  be a nilpotent orbit of dimension *i*; we have to show that the restriction of *T* to  $\mathbb{O}$  is 0.

In the unitary case fix  $\lambda \in \mathbb{D}$  such that  $\lambda = -\overline{\lambda}$  and consider, for every  $t \in \mathbb{F}$ , the homeomorphism  $\nu_{t\lambda}$ ; the image of *T* belongs to  $\mathscr{G}'(\mathscr{N} \times \Gamma)^{\widetilde{G}, \chi}$  so that the image of the support of *T* must be contained in  $\mathscr{N}_i \times \Gamma$ . If (X, v) belongs to this support this means that  $X + t\lambda\varphi_v \in \mathscr{N}_i$ .

If i = 0 so that  $\mathcal{N}_i = \{0\}$ , then this implies that v = 0 so that T must be a multiple of the Dirac measure at the point (0, 0) and hence is invariant under  $\tilde{G}$ , so it must be 0.

If i > 0 and  $X \in \mathbb{O}$ , then as  $\mathbb{O}$  is open in  $\mathcal{N}_i$ , we get that, at least for |t| small enough,  $X + t\lambda\varphi_v \in \mathbb{O}$  and therefore  $\lambda\varphi_v$  belongs to the tangent space Im  $\operatorname{ad}(X)$  of  $\mathbb{O}$  at the point X. Define

$$Q(X) = \{v \in V | \varphi_v \in \text{Im ad}(X)\}, X \in \mathcal{N}, \text{ (unitary case)}.$$

Then we know that the support of the restriction of T to  $\mathbb{O}$  is contained in

$$\{(X,v)|X\in\mathbb{O}, v\in Q(X)\}$$

and the same is true for the partial Fourier transform of T on V.

In the orthogonal case for i = 0, the distribution T is the product of the Dirac measure at the origin of  $\mathfrak{g}$  by a distribution T' on V. The distribution T' is invariant under G but the image of  $\tilde{G}$  in End(V) is the same as the image of G so that T' is invariant under  $\tilde{G}$  hence must be 0.

If i > 0 we proceed as in the unitary case, using  $\mu_{\lambda}$ . We define

 $Q(X) = \{ v \in V | X\varphi_v + \varphi_v X \in \text{Im ad}(X) \}, \quad X \in \mathcal{N}, \text{ (orthogonal case)}$ 

and we have the same conclusion.

In both cases, for i > 0, fix  $X \in \mathbb{O}$ . We use Frobenius descent for the projection map  $(Y, v) \mapsto Y$  of  $\mathbb{O} \times V$  onto  $\mathbb{O}$ . Let C (resp.  $\tilde{C}$ ) be the stabilizer of X in G (resp.  $\tilde{G}$ ). We have a linear bijection of  $\mathscr{G}'(\mathbb{O} \times \Gamma)^{\tilde{G},\chi}$  onto  $\mathscr{G}'(V)^{\tilde{C},\chi}$ .

LEMMA 6.2. Let  $T \in \mathcal{G}'(V)^{\tilde{C},\chi}$ . If T and its Fourier transform are supported in Q(X), then T = 0.

Let us say that a nilpotent element X is nice if the above lemma is true. Suppose that we have a direct sum decomposition  $V = V_1 \oplus V_2$  such that  $V_1$  and  $V_2$  are orthogonal. By restriction we get nondegenerate hermitian forms  $\langle ., . \rangle_i$  on  $V_i$ . We call  $G_i$  the unitary group of  $\langle ., . \rangle_i$ ,  $\mathfrak{g}_i$  its Lie algebra, and so on. Suppose that  $X(V_i) \subset V_i$  so that  $X_i = X_{|V_i|}$  is a nilpotent element of  $\mathfrak{g}_i$ .

LEMMA 6.3. If  $X_1$  and  $X_2$  are nice so is X.

*Proof.* We claim that  $Q(X) \subset Q(X_1) \times Q(X_2)$ . Indeed if

$$A = \begin{pmatrix} A_{1,1} & A_{1,2} \\ A_{2,1} & A_{2,2} \end{pmatrix} \in \mathfrak{g},$$

then from

$$\left\langle A\begin{pmatrix} x_1\\ x_2 \end{pmatrix}, \begin{pmatrix} y_1\\ y_2 \end{pmatrix} \right\rangle + \left\langle \begin{pmatrix} x_1\\ x_2 \end{pmatrix}, A\begin{pmatrix} y_1\\ y_2 \end{pmatrix} \right\rangle = 0$$

we get in particular

$$\langle A_{i,i} x_i, y_i \rangle + \langle x_i, A_{i,i} y_i \rangle = 0$$

so that  $A_{i,i} \in \mathfrak{g}_i$ . Note that

$$[X, A] = \begin{pmatrix} [X_1, A_{1,1}] & * \\ * & [X_2, A_{2,2}] \end{pmatrix}.$$

If  $v_i \in V_i$  and  $v_j \in V_j$ , then we define  $\varphi_{v_i,v_j} : V_i \mapsto V_j$  by  $\varphi_{v_i,v_j}(x_i) = \langle x_i, v_i \rangle v_j$ . Then, for  $v = v_1 + v_2$ 

$$\varphi_{v} = \begin{pmatrix} \varphi_{v_{1},v_{1}} & \varphi_{v_{2},v_{1}} \\ \varphi_{v_{1},v_{2}} & \varphi_{v_{1},v_{2}} \end{pmatrix}$$

Therefore if, for  $A \in \mathfrak{g}$ , we have  $\varphi_v = [X, A]$ , then  $\varphi_{v_i, v_i} = [X_i, A_{i,i}]$ . This proves the assertion for the unitary case. The orthogonal case is similar.

The end of the proof is the same as the end of the proof of Lemma 4.2  $\Box$ 

Now in both orthogonal and unitary cases nilpotent elements have normal forms which are orthogonal direct sums of "simple" nilpotent matrices. This is precisely described in [SS70, IV 2.19, p. 259]. By the above lemma it is enough to prove that each "simple" matrix is nice.

Unitary case. There is only one type to consider. There exists a basis  $e_1, \ldots, e_n$  of V such that  $Xe_1 = 0$  and  $Xe_i = e_{i-1}$ ,  $i \ge 2$ . The hermitian form is given by

$$\langle e_i, e_j \rangle = 0 \text{ if } i + j \neq n+1, \quad \langle e_i, e_{n+1-i} \rangle = (-1)^{n-i} d_{n-1}$$

with  $\alpha \neq 0$ . Note that  $\overline{\alpha} = (-1)^{n-1} \alpha$ . Suppose that  $v \in Q(X)$ ; for some  $A \in \mathfrak{g}$  we have  $\lambda \varphi_v = XA - AX$ . For any integer  $p \geq 0$ 

$$\operatorname{Tr}(\lambda \varphi_v X^p) = \operatorname{Tr}(XAX^p - AX^{p+1}) = 0.$$

Now  $\operatorname{Tr}(\varphi_v X^p) = \langle X^p v, v \rangle$ . Let  $v = \sum x_i e_i$ . Hence

$$\langle X^{p}v,v\rangle = \sum_{1}^{n-p} x_{i+p}\langle e_{i},v\rangle = \sum_{1}^{n-p} (-1)^{n-i} \alpha x_{i+p} \overline{x}_{n+1-i} = 0.$$

For p = n - 1 this gives  $x_n \overline{x}_n = 0$ . For p = n - 2 we get nothing new but for p = n - 3 we obtain  $x_{n-1} = 0$ . Going on, by an easy induction, we conclude that  $x_i = 0$  if  $i \ge (n + 1)/2$ .

If n = 2p + 1 is odd, put  $V_1 = \bigoplus_{i=1}^{p} \mathbb{D}e_i$ ,  $V_0 = \mathbb{D}e_{p+1}$ , and  $V_2 = \bigoplus_{p+2}^{2p+1} \mathbb{D}e_i$ . If n = 2p is even, put  $V_1 = \bigoplus_{i=1}^{p} \mathbb{D}e_i$ ,  $V_0 = (0)$ , and  $V_2 = \bigoplus_{p+1}^{2p} \mathbb{D}e_i$ . In both cases we have  $V = V_1 \oplus V_0 \oplus V_2$ . We use the notation  $v = v_2 + v_0 + v_1$ .

The distribution T is supported by  $V_1$ . Call  $\delta_i$  the Dirac measure at 0 on  $V_i$ . Then we may write  $T = U \otimes \delta_0 \otimes \delta_2$  with  $U \in \mathcal{G}'(V_1)$ . The same thing must be

true of the Fourier transform of T. Note that  $\hat{U}$  is a distribution on  $V_2$ ,  $\hat{\delta}_2$  is a Haar measure  $dv_1$  on  $V_1$ , and that, for n odd,  $\hat{\delta}_0$  is a Haar measure  $dv_0$  on  $V_0$ . So we have  $\hat{T} = dv_1 \otimes \hat{U}$  if n is even and  $\hat{T} = dv_1 \otimes dv_0 \otimes \hat{U}$  if n is odd. In the odd case this forces T = 0. In the even case, up to a scalar multiple, the only possibility is  $T = dv_1 \otimes \delta_2$ .

Let

$$a:\sum x_ie_i\mapsto \sum (-1)^i\,\overline{x}_ie_i$$

Then  $a \in \tilde{G} \setminus G$ . It acts on  $\mathfrak{g}$  by  $Y \mapsto -aYa^{-1}$  and in particular  $-aXa^{-1} = X$  so that  $a \in \tilde{C} \setminus C$ . The action on V is given by  $v \mapsto -a(v)$ . It is an involution. The subspace  $V_1$  is invariant and so  $dv_1$  is invariant. This implies that T is invariant under  $\tilde{C}$ , so it must be 0.

Orthogonal case. There are two different types of "simple" nilpotent matrices. The first type is the same as the unitary case, with  $\alpha = 1$  and thus n odd, but now our condition is that  $X\varphi_v + \varphi_v X = [X, A]$  for some  $A \in \mathfrak{g}$ . As before this implies that  $\operatorname{Tr}(\varphi_v X^q) = 0$  but only for  $q \ge 1$ . Put n = 2p + 1; we get  $x_j = 0$  for j > p + 1. Decompose V as before:  $V = V_1 \oplus V_0 \oplus V_2$ . Our distribution T is supported by the subspace  $v_2 = 0$ , so we write it  $T = U \otimes \delta_2$  with  $U \in \mathcal{G}'(V_1 \oplus V_0)$ . This is also true for the distribution  $\widehat{T}$ , so we must have  $U = dv_1 \otimes R$  with R a distribution on  $V_0$ . Finally,  $T = dv_1 \otimes R \otimes \delta_2$ . Now  $-\operatorname{Id} \in C$  and T is invariant under C so that Rmust be an even distribution. On the other end the endomorphism a of V defined by  $a(e_i) = (-1)^{i-p-1}e_i$  belongs to C and  $aXa^{-1} = -X$  and  $u : (X, v) \mapsto (-X, -v)$ belongs to  $\widetilde{G} \setminus G$ . The product a \* u of a and u in  $\widetilde{G}$  belongs to  $\widetilde{C} \setminus C$ . Clearly Tis invariant under a \* u so that T is invariant under  $\widetilde{C}$ , so it must be 0.

The second type is as follows. We have n = 2m, an even integer and a decomposition  $V = E \oplus F$  with both E and F of dimension m. We have a basis  $e_1, \ldots, e_m$  of E and a basis  $f_1, \ldots, f_m$  of F such that

$$\langle e_i, e_j \rangle = \langle f_i, f_j \rangle = 0$$

and

$$\langle e_i, f_j \rangle = 0$$
 if  $i + j \neq m + 1$  and  $\langle e_i, f_{m+1-i} \rangle = (-1)^{m-i}$ 

Finally, *X* is such that  $Xe_i = e_{i-1}$ ,  $Xf_i = f_{i-1}$ .

Let  $\xi$  be the matrix of the restriction of X to E or to F. Write an element  $A \in \mathfrak{g}$  as two-by-two matrix  $A = (a_{i,j})$ . Then

$$[X, A] = \begin{pmatrix} [\xi, a_{1,1}] & [\xi, a_{1,2}] \\ [\xi, a_{2,1}] & [\xi, a_{2,2}] \end{pmatrix}$$

Suppose that  $v \in Q(X)$ , and let

$$v = e + f$$
 with  $e = \sum x_i e_i$ ,  $f = \sum y_i f_i$ .

We get

$$X\varphi_{v} + \varphi_{v}X = \begin{pmatrix} \xi\varphi_{f,e} + \varphi_{f,e}\xi & \xi\varphi_{f,f} + \varphi_{f,f}\xi \\ \xi\varphi_{e,e} + \varphi_{e,e}\xi & \xi\varphi_{e,f} + \varphi_{e,f}\xi \end{pmatrix}$$

where, for example,  $\varphi_{e,e}$  is the map  $f' \mapsto \langle f', e \rangle e$  from F into E. Thus, for some A,

$$\xi \varphi_{e,e} + \varphi_{e,e} \xi = \xi a_{2,1} - a_{2,1} \xi.$$

In this formula, using the basis  $(e_i)$  and  $(f_i)$ , replace all the maps by their matrices.

Then, as before, we have  $\text{Tr}(\varphi_{e,e}\xi^q) = 0$  for  $1 \le q \le m-1$ . If  $e' = \sum x_i f_i$  (the  $x_i$  are the coordinates of e), then  $\text{Tr}(\xi^q \varphi_{e,e})$  is  $\langle \xi^q e, e' \rangle$ . Thus, as in the other cases, we have  $x_j = 0$  for j > m/2 if m is even and j > (m+1)/2 if m is odd. The same thing is true for the  $y_i$ .

If m = 2p is even, let  $V_1 = \bigoplus_{i \le p} (\mathbb{F}e_i \oplus \mathbb{F}f_i)$  and  $V_2 = \bigoplus_{i > p} (\mathbb{F}e_i \oplus \mathbb{F}f_i)$ ; write  $v = v_1 + v_2$  the corresponding decomposition of an arbitrary element of V. Let  $\delta_2$  be the Dirac measure at the origin in  $V_2$  and  $dv_1$  a Haar measure on  $V_1$ . Then, as in the unitary case, using the Fourier transform, we see that the distribution T must be a multiple of  $dv_1 \otimes \delta_2$ .

The endomorphism *a* of *V* defined by  $a(e_i) = (-1)^i e_i$  and  $a(f_i) = (-1)^{i+1} f_i$ belongs to *G* and  $aXa^{-1} = -X$ . The map  $u : (Y, v) \mapsto (-Y, -v)$  belongs to  $\tilde{G} \setminus G$ so that the product  $a \times u$  in  $\tilde{G}$  belongs to  $\tilde{C} \setminus C$ . It clearly leaves *T* invariant so that T = 0.

Finally, if m = 2p + 1 is odd, then we put  $V_1 = \bigoplus_{i \le p} (\mathbb{F}e_i \oplus \mathbb{F}f_i)$ ,  $V_0 = \mathbb{F}e_{p+1} \oplus \mathbb{F}f_{p+1}$ ,  $V_2 = \bigoplus_{i \ge p+2} (\mathbb{F}e_i \oplus \mathbb{F}f_i)$ . As in the unitary case we find that  $T = dv_1 \otimes R \otimes \delta_2$  with R a distribution on  $V_0$ . As  $-\mathrm{Id} \in C$  we see that R must be even. Then again, define  $a \in G$  by  $a(e_i) = (-1)^i e_i$  and  $a(f_i) = (-1)^i f_i$ , and consider a \* u with u(Y, v) = (-Y, -v). As before  $a * u \in \widetilde{C} \setminus C$  and leaves T invariant so we have to take T = 0.

Acknowledgements. The first two authors would like to thank their teacher Joseph Bernstein for teaching them most of the mathematics they know. They cordially thank Joseph Bernstein and Eitan Sayag for guiding them through this project. They would also like to thank Vladimir Berkovich, Yuval Flicker, Erez Lapid, Omer Offen, and Yiannis Sakellaridis for useful remarks.

The first two authors worked on this project while participating in the program *Representation theory, complex analysis, and integral geometry* of the Hausdorff Institute of Mathematics (HIM) at Bonn joint with Max Planck Institute für Mathematik. They wish to thank the organizers of the activity and the director of HIM for inspiring environment and perfect working conditions.

Finally, the first two authors wish to thank Vladimir Berkovich, Stephen Gelbart, Maria Gorelik, and Sergei Yakovenko from the Weizmann Institute of Science for their encouragement, guidance, and support.

The first two authors were partially supported by Binational Science Foundation grant 2006254, German-Israeli Foundation grant 861-32.6/2005, and Israel

Science Foundation grant 1438/06. The last author thanks the Math Research Institute of Ohio State University in Columbus for several invitations which allowed him to work with the third author.

# References

- [AAG] A. AIZENBUD, N. AVNI, and D. GOUREVITCH, Spherical pairs over close local fields. arXiv 0910.3199
- [AG09] A. AIZENBUD and D. GOUREVITCH, Multiplicity one theorem for  $(GL_{n+1}(\mathbb{R}), GL_n(\mathbb{R}))$ , Selecta Math. **15** (2009), 271–294. MR 2529937 Zbl 05655349
- [AGS08] A. AIZENBUD, D. GOUREVITCH, and E. SAYAG,  $(GL_{n+1}(F), GL_n(F))$  is a Gelfand pair for any local field *F*, *Compos. Math.* **144** (2008), 1504–1524. MR 2009k:22022 Zbl 1157.22004
- [AGS09] \_\_\_\_\_,  $(O(V \oplus F), O(V))$  is a Gelfand pair for any quadratic space V over a local field F, Math. Z. **261** (2009), 239–244. MR 2010a:22020 Zbl 1179.22017
- [BZ76] I. N. BERNŠTEĬN and A. V. ZELEVINSKIĬ, Representations of the group GL(n, F), where F is a local non-Archimedean field, Uspehi Mat. Nauk 10 (1976), 5–70. MR 54 #12988 Zbl 0348.43007
- [Ber84] J. N. BERNSTEIN, P-invariant distributions on GL(N) and the classification of unitary representations of GL(N) (non-Archimedean case), in *Lie Group Representations*, II (College Park, Md., 1982/1983), *Lecture Notes in Math.* 1041, Springer-Verlag, New York, 1984, pp. 50–102. MR 86b:22028 Zbl 0541.22009
- [GGP] W. T. GAN, B. H. GROSS, and D. PRASAD, Symplectic local root numbers, central critical L-values, and restriction problems in the representation theory of classical groups. arXiv 0909.2999
- [GK75] I. M. GEL'FAND and D. A. KAJDAN, Representations of the group GL(n, K) where K is a local field, in *Lie Groups and Their Representations* (Proc. Summer School, Bolyai János Math. Soc., Budapest, 1971)), Halsted, New York, 1975, pp. 95–118. MR 53 #8334 Zbl 0348.22011
- [GPSR97] D. GINZBURG, I. PIATETSKI-SHAPIRO, and S. RALLIS, L functions for the orthogonal group, Mem. Amer. Math. Soc. 128 (1997), viii+218. MR 98m:11041 Zbl 0884.11022
- [GP92] B. H. GROSS and D. PRASAD, On the decomposition of a representation of SO<sub>n</sub> when restricted to SO<sub>n-1</sub>, *Canad. J. Math.* **44** (1992), 974–1002. MR 93j:22031 Zbl 0787.22018
- [GR06] B. H. GROSS and M. REEDER, From Laplace to Langlands via representations of orthogonal groups, *Bull. Amer. Math. Soc.* 43 (2006), 163–205. MR 2007a:11159 Zbl 1159. 11047
- [Hak03] J. HAKIM, Supercuspidal Gelfand pairs, J. Number Theory 100 (2003), 251–269. MR 2004b:22017 Zbl 1016.22010
- [HC99] HARISH-CHANDRA, Admissible Invariant Distributions on Reductive p-adic Groups, University Lecture Series 16, Amer. Math. Soc., Providence, RI, 1999, preface and notes by Stephen DeBacker and Paul J. Sally, Jr. MR 2001b:22015 Zbl 0928.22017
- [JR96] H. JACQUET and S. RALLIS, Uniqueness of linear periods, Compositio Math. 102 (1996), 65–123. MR 97k:22025 Zbl 0855.22018
- [KMS03] S.-I. KATO, A. MURASE, and T. SUGANO, Whittaker-Shintani functions for orthogonal groups, *Tohoku Math. J.* 55 (2003), 1–64. MR 2003m:22020 Zbl 1037.22034
- [MVW87] C. MŒGLIN, M.-F. VIGNÉRAS, and J.-L. WALDSPURGER, Correspondences de Howe sur un Corps p-adique, Lecture Notes in Math. 1291, Springer-Verlag, New York, 1987. MR 91f:11040 Zbl 0642.22002

1434	A. AIZENBUD, D. GOUREVITCH, S. RALLIS, and G. SCHIFFMANN
[MW]	C. MŒGLIN and J. L. WALDSPURGER, La conjecture locale de Gross-Prasad pour les groupes spéciaux orthogonaux: le cas général. arXiv 1001.0826
[MS96]	A. MURASE and T. SUGANO, Shintani functions and automorphic <i>L</i> -functions for $GL(n)$ , <i>Tohoku Math. J.</i> <b>48</b> (1996), 165–202. MR 97i:11056 Zbl 0863.11035
[Pra90]	D. PRASAD, Trilinear forms for representations of GL(2) and local $\epsilon$ -factors, <i>Compositio Math.</i> <b>75</b> (1990), 1–46. MR 91i:22023 Zbl 0731.22013
[Pra93]	, On the decomposition of a representation of GL(3) restricted to GL(2) over a <i>p</i> -adic field, <i>Duke Math. J.</i> <b>69</b> (1993), 167–177. MR 93m:22019 Zbl 0827.22006
[RS]	S. RALLIS and G. SCHIFFMANN, Multiplicity one conjectures. arXiv 0705.2168v1
[SS70]	T. A. SPRINGER and R. STEINBERG, Conjugacy classes, in <i>Seminar on Algebraic Groups</i> and <i>Related Finite Groups</i> (The Institute for Advanced Study, Princeton, N.J., 1968/69), <i>Lecture Notes in Math.</i> <b>131</b> , Springer-Verlag, New York, 1970, pp. 167–266. MR 42 #3091 Zbl 0249.20024
[Sun]	B. SUN, Multiplicity one theorems for Fourier Jacobi models. arXiv 0903.1417
[SZ]	B. SUN and CB. ZHU, Multiplicity one theorems: the Archimedean case. arXiv 0903. 1413
[vD09]	G. VAN DIJK, $(U(p,q), U(p-1,q))$ is a generalized Gelfand pair, <i>Math. Z.</i> <b>261</b> (2009), 525–529. MR 2010d:22013 Zbl 1158.22010
[Wala]	J. L. WALDSPURGER, Une formule intégrale reliée a la conjecture locale de Gross- Prasad. arXiv 0902.1875
[Walb]	, Une formule intégrale reliée a la conjecture locale de Gross-Prasad, 2ème partie: extension aux représentations tempérées. arXiv 0904.0314
[Walc]	, Une variante d'un résultat de Aizenbud, Gourevitch, Rallis et Schiffmann. arXiv 0911.1618
	(Received September 28, 2007)

*E-mail address*: aizenr@yahoo.com

FACULTY OF MATHEMATICS AND COMPUTER SCIENCE, WEIZMANN INSTITUTE OF SCIENCE, POB 26, REHOVOT 76100, ISRAEL

http://www.wisdom.weizmann.ac.il/~aizenr/

*E-mail address*: dimagur@ias.edu FACULTY OF MATHEMATICS AND COMPUTER SCIENCE, WEIZMANN INSTITUTE OF SCIENCE, POB 26, REHOVOT 76100, ISRAEL *Current address*: SCHOOL OF MATHEMATICS, INTITUTE FOR ADVANCED STUDY, EINSTEIN DRIVE, PRINCETON, NJ 08540, UNITED STATES http://www.wisdom.weizmann.ac.il/~dimagur/

*E-mail address*: haar@math.ohio-state.edu THE OHIO STATE UNIVERSITY, DEPARTMENT OF MATHEMATICS, 231 WEST 18TH AVENUE, COLUMBUS, OH 43210-1174, UNITED STATES, http://www.math.ohio-state.edu/people/haar

*E-mail address*: schiffma@math.unistra.fr INSTITUT DE RECHERCHE MATHÉMATIQUE AVANCÉE, UNIVERSITÉ DE STRASBOURG ET CNRS, 7 RUE RENÉ-DESCARTES, 67084 STRASBOURG CEDEX, FRANCE

#### ISSN 0003-486X

#### ANNALS OF MATHEMATICS

This periodical is published bimonthly by the Department of Mathematics at Princeton University with the cooperation of the Institute for Advanced Study. Annals is typeset in  $T_EX$  by Sarah R. Warren and produced by Mathematical Sciences Publishers. The six numbers each year are divided into two volumes of three numbers each.

#### **Editorial correspondence**

Papers submitted for publication and editorial correspondence should be addressed to Maureen Schupsky, Annals of Mathematics, Fine Hall-Washington Road, Princeton University, Princeton, NJ, 08544-1000 U.S.A. The e-mail address is annals@math.princeton.edu.

#### Preparing and submitting papers

The Annals requests that all papers include an abstract of about 150 words which explains to the nonspecialist mathematician what the paper is about. It should not make any reference to the bibliography. Authors are encouraged to initially submit their papers electronically and in PDF format. Please send the file to: annals@math.princeton.edu or to the Mathematics e-print arXiv: front.math.ucdavis.edu/submissions. If a paper is submitted through the arXiv, then please e-mail us with the arXiv number of the paper.

#### Proofs

A PDF file of the galley proof will be sent to the corresponding author for correction. If requested, a paper copy will also be sent to the author.

#### Offprints

Authors of single-authored papers will receive 30 offprints. (Authors of papers with one co-author will receive 15 offprints, and authors of papers with two or more co-authors will receive 10 offprints.) Extra offprints may be purchased through the editorial office.

#### Subscriptions

The price for a print and online subscription, or an online-only subscription, is \$390 per year for institutions. In addition, there is a postage surcharge of \$40 for print subscriptions that are mailed to countries outside of the United States. Individuals interested in subscriptions for their own personal use should contact the publisher at the address below. Subscriptions and changes of address should be sent to Mathematical Sciences Publishers, Department of Mathematics, University of California, Berkeley, CA 94720-3840 (e-mail: contact@mathscipub.org; phone: 1-510-643-8638; fax: 1-510-295-2608). (Checks should be made payable to "Mathematical Sciences Publishers".)

#### Back issues and reprints

Orders for missing issues and back issues should be sent to Mathematical Sciences Publishers at the above address. Claims for missing issues must be made within 12 months of the publication date. Online versions of papers published five or more years ago are available through JSTOR (www.jstor.org).

#### Microfilm

Beginning with Volume 1, microfilm may be purchased from NA Publishing, Inc., 4750 Venture Drive, Suite 400, PO Box 998, Ann Arbor, MI 48106-0998; phone: 1-800-420-6272 or 734-302-6500; email: info@napubco.com, website: www.napubco.com/contact.html.

ALL RIGHTS RESERVED UNDER THE BERNE CONVENTION AND THE UNIVERSAL COPYRIGHT CONVENTION

Copyright © 2010 by Princeton University (Mathematics Department) Printed in U.S.A. by Sheridan Printing Company, Inc., Alpha, NJ

# TABLE OF CONTENTS

GONZALO CONTRERAS. Geodesic flows with positive topological entropy, twist maps and hyperbolicity	761–808
ECKART VIEHWEG. Compactifications of smooth families and of moduli spaces of polarized manifolds	809–910
CHANG-SHOU LIN and CHIN-LUNG WANG. Elliptic functions, Green functions and the mean field equations on tori	911–954
YICHAO TIAN. Canonical subgroups of Barsotti-Tate groups	955 - 988
AKSHAY VENKATESH. Sparse equidistribution problems, period bounds and subconvexity	. 989–1094
THOMAS GEISSER. Duality via cycle complexes	1095 - 1126
VIKTOR L. GINZBURG. The Conley conjecture	1127-1180
CHRISTOPHER VOLL. Functional equations for zeta functions of groups	
and rings	1181-1218
MONIKA LUDWIG and MATTHIAS REITZNER. A classification of SL(n) invariant valuations	1219-1267
ISAAC GOLDBRING. Hilbert's fifth problem for local groups	1269-1314
ROBERT M. GURALNICK and MICHAEL E. ZIEVE. Polynomials with PSL(2) monodromy	1315 - 1359
ROBERT M. GURALNICK, JOEL ROSENBERG and MICHAEL E. ZIEVE. A new family of exceptional polynomials in characteristic two	1361-1390
RAMAN PARIMALA and V. SURESH. The <i>u</i> -invariant of the function fields of <i>p</i> -adic curves	1391–1405
AVRAHAM AIZENBUD, DMITRY GOUREVITCH, STEPHEN RALLIS and GÉRARD SCHIFFMANN. Multiplicity one theorems	1407–1434
STANISLAV SMIRNOV. Conformal invariance in random cluster models. I. Holmorphic fermions in the Ising model	1435–1467
KANNAN SOUNDARARAJAN. Weak subconvexity for central values of <i>L</i> -functions	1469-1498
ROMAN HOLOWINSKY. Sieving for mass equidistribution	1499-1516
ROMAN HOLOWINSKY and KANNAN SOUNDARARAJAN. Mass equidistribution for Hecke eigenforms	1517-1528
Kannan Soundararajan. Quantum unique ergodicity for $SL_2(\mathbb{Z}) \setminus \mathbb{H}$	1529–1538