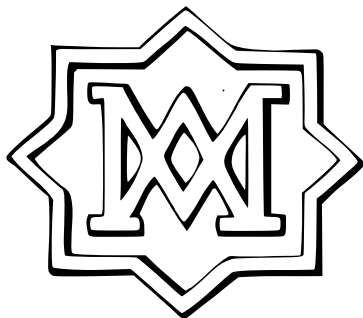


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Lie theory for nilpotent L_∞ -algebras

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Dedicated to Ross Street on his sixtieth birthday

Abstract

The Deligne groupoid is a functor from nilpotent differential graded Lie algebras concentrated in positive degrees to groupoids; in the special case of Lie algebras over a field of characteristic zero, it gives the associated simply connected Lie group. We generalize the Deligne groupoid to a functor γ from L_∞ -algebras concentrated in degree $> -n$ to n -groupoids. (We actually construct the nerve of the n -groupoid, which is an enriched Kan complex.) The construction of γ is quite explicit (it is based on Dupont's proof of the de Rham theorem) and yields higher dimensional analogues of holonomy and of the Campbell-Hausdorff formula.

In the case of abelian L_∞ algebras (i.e., chain complexes), the functor γ is the Dold-Kan simplicial set.

1. Introduction

Let A be a differential graded (dg) commutative algebra over a field K of characteristic 0. Let Ω_\bullet be the simplicial dg commutative algebra over K whose n -simplices are the algebraic differential forms on the n -simplex Δ^n . Sullivan [Sul77, § 8] introduced a functor

$$A \mapsto \text{Spec}_\bullet(A) = \text{dAlg}(A, \Omega_\bullet)$$

from dg commutative algebras to simplicial sets; here, $\text{dAlg}(A, B)$ is the set of morphisms of dg algebras from A to B . (Sullivan uses the notation $\langle A \rangle$ for this functor.) This functor generalizes the spectrum, in the sense that if A is a commutative

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algebra, $\text{Spec}_\bullet(A)$ is the discrete simplicial set

$$\text{Spec}(A) = \text{Alg}(A, \mathbb{K}),$$

where $\text{Alg}(A, B)$ is the set of morphisms of algebras from A to B .

If E is a flat vector bundle on a manifold M , the complex of differential forms $(\Omega^*(M, E), d)$ is a dg module for the dg Lie algebra $\Omega^*(M, \text{End}(E))$; denote the action by ρ . To a one-form $\alpha \in \Omega^1(M, \text{End}(E))$ is associated a covariant derivative

$$\nabla = d + \rho(\alpha) : \Omega^*(M, E) \rightarrow \Omega^{*+1}(M, E).$$

The equation

$$\nabla^2 = \rho(d\alpha + \frac{1}{2}[\alpha, \alpha])$$

shows that ∇ is a differential if and only if α satisfies the Maurer-Cartan equation

$$d\alpha + \frac{1}{2}[\alpha, \alpha] = 0.$$

This example, and others such as the deformation theory of complex manifolds of Kodaira and Spencer, motivates the introduction of the Maurer-Cartan set of a dg Lie algebra \mathfrak{g} [NR66]:

$$\text{MC}(\mathfrak{g}) = \{\alpha \in \mathfrak{g}^1 \mid \delta\alpha + \frac{1}{2}[\alpha, \alpha] = 0\}.$$

There is a close relationship between the Maurer-Cartan set and Sullivan’s functor $\text{Spec}_\bullet(A)$, which we now explain. The complex of Chevalley-Eilenberg cochains $C^*(\mathfrak{g})$ of a dg Lie algebra \mathfrak{g} is a dg commutative algebra whose underlying graded commutative algebra is the graded symmetric algebra $S(\mathfrak{g}[1]^\vee)$; here, $\mathfrak{g}[1]$ is the shifted cochain complex $(\mathfrak{g}[1])^i = \mathfrak{g}^{i+1}$, and $\mathfrak{g}[1]^\vee$ is its dual.

If \mathfrak{g} is a dg Lie algebra and Ω is a dg commutative algebra, the tensor product complex $\mathfrak{g} \otimes \Omega$ carries a natural structure of a dg Lie algebra, with bracket

$$[x \otimes a, y \otimes b] = (-1)^{|a||y|}[x, y]ab.$$

PROPOSITION 1.1. *Let \mathfrak{g} be a dg Lie algebra whose underlying cochain complex is bounded below and finite-dimensional in each degree. Then there is a natural identification between the n -simplices of $\text{Spec}_\bullet(C^*(\mathfrak{g}))$ and the Maurer-Cartan elements of $\mathfrak{g} \otimes \Omega_n$.*

Proof. Under the stated hypotheses on \mathfrak{g} , there is a natural identification

$$\text{MC}(\mathfrak{g} \otimes \Omega) \cong \text{dAlg}(C^*(\mathfrak{g}), \Omega)$$

for any dg commutative algebra Ω . Indeed, there is an inclusion

$$\text{dAlg}(C^*(\mathfrak{g}), \Omega) \subset \text{Alg}(C^*(\mathfrak{g}), \Omega) = \text{Alg}(S(\mathfrak{g}[1]^\vee), \Omega) \cong (\mathfrak{g} \otimes \Omega)^1.$$

It is easily seen that a morphism in $\text{Alg}(C^*(\mathfrak{g}), \Omega)$ is compatible with the differentials on $C^*(\mathfrak{g})$ and Ω if and only if the corresponding element of $(\mathfrak{g} \otimes \Omega)^1$ satisfies the Maurer-Cartan equation. \square

Motivated by this proposition, we introduce for any dg Lie algebra the simplicial set

$$\text{MC}_\bullet(\mathfrak{g}) = \text{MC}(\mathfrak{g} \otimes \Omega_\bullet).$$

According to rational homotopy theory, the functor $\mathfrak{g} \mapsto \text{MC}_\bullet(\mathfrak{g})$ induces a correspondence between the homotopy theories of nilpotent dg Lie algebras over \mathbb{Q} concentrated in degrees $(-\infty, 0]$ and nilpotent rational topological spaces. The simplicial set $\text{MC}_\bullet(\mathfrak{g})$ has been studied in great detail by Hinich [Hin97]; he calls it the nerve of \mathfrak{g} and denotes it by $\Sigma(\mathfrak{g})$.

However, the simplicial set $\text{MC}_\bullet(\mathfrak{g})$ is not the subject of this paper. Suppose that \mathfrak{g} is a nilpotent Lie algebra, and let G be the simply-connected Lie group associated to \mathfrak{g} . The nerve $N_\bullet G$ of G is substantially smaller than $\text{MC}_\bullet(\mathfrak{g})$, but they are homotopy equivalent. In this paper, we construct a natural homotopy equivalence

$$(1-1) \quad N_\bullet G \hookrightarrow \text{MC}_\bullet(\mathfrak{g}),$$

as a special case of a construction applicable to any nilpotent dg Lie algebra.

To motivate the construction of the embedding (1-1), we may start by comparing the sets of 1-simplices of $N_\bullet G$ and of $\text{MC}_\bullet(\mathfrak{g})$. The Maurer-Cartan equation on $\mathfrak{g} \otimes \Omega_1$ is tautologically satisfied, since $\mathfrak{g} \otimes \Omega_1$ vanishes in degree 2; thus $\text{MC}_1(\mathfrak{g}) \cong \mathfrak{g}[t]dt$. Let $\alpha \in \Omega^1(G, \mathfrak{g})$ be the unique left-invariant one-form whose value $\alpha(e) : T_e G \rightarrow \mathfrak{g}$ at the identity element $e \in G$ is the natural identification between the tangent space $T_e G$ of G at e and its Lie algebra \mathfrak{g} . Consider the path space

$$P_* G = \{\tau \in \text{Mor}(\mathbb{A}^1, G) \mid \tau(0) = e\}$$

of algebraic morphisms from the affine line \mathbb{A}^1 to G . There is an isomorphism between $P_* G$ and the set $\text{MC}_1(\mathfrak{g})$, induced by associating to a path $\tau : \mathbb{A}^1 \rightarrow G$ the one-form $\tau^* \alpha$.

There is a foliation of $P_* G$, whose leaves are the fibres of the evaluation map $\tau \mapsto \tau(1)$, and whose leaf space is G . Under the isomorphism between $P_* G$ and $\text{MC}_1(\mathfrak{g})$, this foliation is simple to characterize: the tangent space to the leaf containing $\alpha \in \text{MC}_1(\mathfrak{g})$ is the image under the covariant derivative

$$\nabla : \mathfrak{g} \otimes \Omega_1^0 \rightarrow \mathfrak{g} \otimes \Omega_1^1 \cong T_\alpha \text{MC}_1(\mathfrak{g})$$

of the subspace

$$\{x \in \mathfrak{g} \otimes \Omega_1^0 \mid x(0) = x(1) = 0\}.$$

The exponential map $\exp : \mathfrak{g} \rightarrow G$ is a bijection for nilpotent Lie algebras; equivalently, each leaf of this foliation of $MC_1(\mathfrak{g})$ contains a unique constant one-form. The embedding $N_1 G \hookrightarrow MC_1(\mathfrak{g})$ is the inclusion of the constant one-forms into $MC_1(\mathfrak{g})$.

What is a correct analogue in higher dimensions for the condition that a one-form on Δ^1 is constant? Dupont’s explicit proof of the de Rham theorem [Dup76], [Dup78] relies on a chain homotopy $s_\bullet : \Omega_\bullet^* \rightarrow \Omega_\bullet^{*-1}$. This homotopy induces maps $s_n : \mathfrak{g} \otimes \Omega_n^1 \rightarrow \mathfrak{g} \otimes \Omega_n^0$, and we impose the gauge condition $s_n \alpha = 0$, which when $n = 1$ is the condition that α is constant. The main theorem of this paper shows that the simplicial set

$$(1-2) \quad \gamma_\bullet(\mathfrak{g}) = \{\alpha \in MC_\bullet(\mathfrak{g}) \mid s_\bullet \alpha = 0\}$$

is isomorphic to the nerve $N_\bullet G$.

The key to the proof of this isomorphism is the verification that $\gamma_\bullet(\mathfrak{g})$ is a Kan complex, that is, that it satisfies the extension condition in all dimensions. In fact, we give explicit formulas for the required extensions, which yield in particular a new approach to the Campbell-Hausdorff formula.

The definition of $\gamma_\bullet(\mathfrak{g})$ works *mutatis mutandi* for nilpotent dg Lie algebras; we argue that $\gamma_\bullet(\mathfrak{g})$ is a good generalization to the differential graded setting of the Lie group associated to a nilpotent Lie algebra. For example, when \mathfrak{g} is a nilpotent dg Lie algebra concentrated in degrees $[0, \infty)$, the simplicial set $\gamma_\bullet(\mathfrak{g})$ is isomorphic to the nerve of the Deligne groupoid $\mathcal{C}(\mathfrak{g})$.

Recall the definition of this groupoid (cf. [GM88]). Let G be the nilpotent Lie group associated to the nilpotent Lie algebra $\mathfrak{g}^0 \subset \mathfrak{g}$. This Lie group acts on $MC(\mathfrak{g})$ by the formula

$$(1-3) \quad e^X \cdot \alpha = \alpha - \sum_{n=0}^{\infty} \frac{\text{ad}(X)^n (\delta_\alpha X)}{(n+1)!}.$$

The Deligne groupoid $\mathcal{C}(\mathfrak{g})$ of \mathfrak{g} is the groupoid associated to this group action. There is a natural identification between $\pi_0(MC_\bullet(\mathfrak{g}))$ and $\pi_0(\mathcal{C}(\mathfrak{g})) = MC(\mathfrak{g})/G$. Following Kodaira and Spencer, we see that this groupoid may be used to study the formal deformation theory of such geometric structures as complex structures on a manifold, holomorphic structures on a complex vector bundle over a complex manifold, and flat connections on a real vector bundle.

In all of these cases, the dg Lie algebra \mathfrak{g} controlling the deformation theory is concentrated in degrees $[0, \infty)$, and the associated formal moduli space is $\pi_0(MC_\bullet(\mathfrak{g}))$. On the other hand, in the deformation theory of Poisson structures on a manifold, the associated dg Lie algebra, known as the Schouten Lie algebra, is concentrated in degrees $[-1, \infty)$. Thus, the theory of the Deligne groupoid does not apply, and in fact the formal deformation theory is modeled by a 2-groupoid. (This

2-groupoid was constructed by Deligne [De194], and, independently, by Getzler [Get02, § 2].) The functor $\gamma_\bullet(\mathfrak{g})$ allows the construction of a candidate Deligne ℓ -groupoid, if the nilpotent dg Lie algebra \mathfrak{g} is concentrated in degrees $(-\ell, \infty)$. We present the theory of ℓ -groupoids in Section 2, following Duskin [Dus79], [Dus01] closely.

It seemed most natural in writing this paper to work from the outset with a generalization of dg Lie algebras called L_∞ -algebras. We recall the definition of L_∞ -algebras in Section 4; these are similar to dg Lie algebras, except that they have a graded antisymmetric bracket $[x_1, \dots, x_k]$, of degree $2 - k$, for each k . In the setting of L_∞ -algebras, the definition of a Maurer-Cartan element becomes

$$\delta\alpha + \sum_{k=2}^{\infty} \frac{1}{k!} \underbrace{[\alpha, \dots, \alpha]}_{k \text{ times}} = 0.$$

Given a nilpotent L_∞ -algebra \mathfrak{g} , we define a simplicial set $\gamma_\bullet(\mathfrak{g})$, whose n -simplices are Maurer-Cartan elements $\alpha \in \mathfrak{g} \otimes \Omega_n$ such that $s_n\alpha = 0$. We prove that $\gamma_\bullet(\mathfrak{g})$ is a Kan complex, and that the inclusion $\gamma_\bullet(\mathfrak{g}) \hookrightarrow \text{MC}_\bullet(\mathfrak{g})$ is a homotopy equivalence, by a method similar to that of [Kur62, § 2].

The Dold-Kan functor $K_\bullet(V)$ [Dol58], [Kan58] is a functor from positively graded chain complexes (or equivalently, negatively graded cochain complexes) to simplicial abelian groups. The set of n -simplices of $K_n(V)$ is the abelian group

$$(1-4) \quad K_n(V) = \text{Chain}(C_*(\Delta^n), V)$$

of morphisms of chain complexes from the complex $C_*(\Delta^n)$ of normalized simplicial chains on the simplicial set Δ^n to V . Eilenberg-Mac Lane spaces are obtained when the chain complex is concentrated in a single degree [EML53].

The functor $\gamma_\bullet(\mathfrak{g})$ is a nonabelian analogue of the Dold-Kan functor $K_\bullet(V)$: if \mathfrak{g} is an abelian dg Lie algebra and concentrated in degrees $(-\infty, 1]$, there is a natural isomorphism between $\gamma_\bullet(\mathfrak{g})$ and $K_\bullet(\mathfrak{g}[1])$, since (1-4) has the equivalent form

$$K_n(V) = Z^0(C^*(\Delta^n) \otimes V, d + \delta),$$

where $C^*(\Delta^n)$ is the complex of normalized simplicial cochains on the simplicial set Δ^n .

The functor γ_\bullet has many good features: it carries surjective morphisms of nilpotent L_∞ -algebras to fibrations of simplicial sets, and carries a large class of weak equivalences of L_∞ -algebras to homotopy equivalences. And of course, it yields generalizations of the Deligne groupoid, and of the Deligne 2-groupoid, for L_∞ -algebras. It shares with MC_\bullet an additional property: there is an action of the symmetric group S_{n+1} on the set of n -simplices $\gamma_n(\mathfrak{g})$ making γ_\bullet into a functor from L_∞ -algebras to symmetric sets, in the sense of [FL91]. In order to simplify

the discussion, we have not emphasized this point, but this perhaps indicates that the correct setting for ℓ -groupoids is the category of symmetric sets.

2. Kan complexes and ℓ -groupoids

Kan complexes are a natural nonabelian analogue of chain complexes: just as the homology groups of chain complexes are defined by imposing an equivalence relation on a subset of the chains, the homotopy groups of Kan complexes are defined by imposing an equivalence relation on a subset of the simplices.

Recall the definition of the category of simplicial sets. Let Δ be the category of finite non-empty totally ordered sets. This category Δ has a skeleton whose objects are the ordinals $[n] = (0 < 1 < \dots < n)$; this skeleton is generated by the face maps $d_k : [n - 1] \rightarrow [n]$ for $0 \leq k \leq n$, which are the injective maps

$$d_k(i) = \begin{cases} i & \text{if } i < k, \\ i + 1 & \text{if } i \geq k, \end{cases}$$

and the degeneracy maps $s_k : [n] \rightarrow [n - 1]$ for $0 \leq k \leq n - 1$, which are the surjective maps

$$s_k(i) = \begin{cases} i & \text{if } i \leq k, \\ i - 1 & \text{if } i > k. \end{cases}$$

A simplicial set X_\bullet is a contravariant functor from Δ to the category of sets. This amounts to a sequence of sets $X_n = X([n])$ indexed by the natural numbers $n \in \{0, 1, 2, \dots\}$, and maps

$$\begin{aligned} \delta_k &= X(d_k) : X_n \rightarrow X_{n-1} & \text{for } 0 \leq k \leq n, \\ \sigma_k &= X(s_k) : X_{n-1} \rightarrow X_n & \text{for } 0 \leq k \leq n, \end{aligned}$$

satisfying certain relations. (See [May92] for more details.) A degenerate simplex is one of the form $\sigma_i x$; a nondegenerate simplex is one that is not degenerate. Simplicial sets form a category; we denote by $\text{sSet}(X_\bullet, Y_\bullet)$ the set of morphisms between two simplicial sets X_\bullet and Y_\bullet .

The geometric n -simplex Δ^n is the convex hull of the unit vectors e_k in \mathbb{R}^{n+1} :

$$\Delta^n = \{(t_0, \dots, t_n) \in [0, 1]^{n+1} \mid t_0 + \dots + t_n = 1\}.$$

Its $\binom{n+1}{k+1}$ faces of dimension k are the convex hulls of the nonempty subsets of $\{e_0, \dots, e_n\}$ of cardinality $k + 1$.

The n -simplex Δ^n is the representable simplicial set $\Delta^n = \Delta(\cdot, [n])$. Thus, the nondegenerate simplices of Δ^n correspond to the faces of the geometric simplex Δ^n . By the Yoneda lemma, $\text{sSet}(\Delta^n, X_\bullet)$ is naturally isomorphic to X_n .

Let $\Delta[k]$ denote the full subcategory of Δ whose objects are the simplices $\{[i] \mid i \leq k\}$, and let sk_k be the restriction of a simplicial set from Δ^{op} to $\Delta[k]^{\text{op}}$.

The functor sk_k has a right adjoint cosk_k , called the k -coskeleton, and we have

$$\text{cosk}_k(\text{sk}_k(X))_n = \text{sSet}(\text{sk}_k(\Delta^n), X_\bullet).$$

For $0 \leq i \leq n$, let $\Lambda_i^n \subset \Delta^n$ be the union of the faces $d_k[\Delta^{n-1}] \subset \Delta^n$ for $k \neq i$. An n -horn in X_\bullet is a simplicial map from Λ_i^n to X_\bullet , or equivalently, a sequence of elements

$$(x_0, \dots, x_{i-1}, \cdot, x_{i+1}, \dots, x_n) \in (X_{n-1})^n$$

such that $\partial_j x_k = \partial_{k-1} x_j$ for $0 \leq j < k \leq n$.

Definition 2.1. A map $f : X_\bullet \rightarrow Y_\bullet$ of simplicial sets is a *fibration* if the maps

$$\xi_i^n : X_n \rightarrow \text{sSet}(\Lambda_i^n, X_\bullet) \times_{\text{sSet}(\Lambda_i^n, Y_\bullet)} Y_n$$

defined by

$$\xi_i^n(x) = (\partial_0 x, \dots, \partial_{i-1} x, \cdot, \partial_{i+1} x, \dots, \partial_n x) \times f(x)$$

are surjective for all $n > 0$ and $0 \leq i \leq n$. A simplicial set X_\bullet is a *Kan complex* if the map from X_\bullet to the terminal object Δ^0 is a fibration.

A Kan complex is *minimal* if the face map $\partial_i : X_n \rightarrow X_{n-1}$ factors through ξ_i^n for all $n > 0$ and $0 \leq i \leq n$.

A groupoid is a small category with invertible morphisms. Denote the sets of objects and morphisms of a groupoid G by G_0 and G_1 , the source and target maps by $s : G_1 \rightarrow G_0$ and $t : G_0 \rightarrow G_1$, and the identity map by $e : G_0 \rightarrow G_1$. The nerve $N_\bullet G$ of a groupoid G is the simplicial set whose 0-simplices are the objects G_0 of G , and whose n -simplices for $n > 0$ are the composable chains of n morphisms in G :

$$N_n G = \{[g_1, \dots, g_n] \in (G_1)^n \mid s g_i = t g_{i+1}\}.$$

The face and degeneracy maps are defined using the product and the identity of the groupoid:

$$\partial_k [g_1, \dots, g_n] = \begin{cases} [g_2, \dots, g_n] & \text{if } k = 0, \\ [g_1, \dots, g_k g_{k+1}, \dots, g_n] & \text{if } 0 < k < n, \\ [g_1, \dots, g_{n-1}] & \text{if } k = n, \end{cases}$$

$$\sigma_k [g_1, \dots, g_{n-1}] = \begin{cases} [e t g_1, g_1, \dots, g_{n-1}] & \text{if } k = 0, \\ [g_1, \dots, g_{k-1}, e t g_k, g_k, \dots, g_{n-1}] & \text{if } 0 < k < n, \\ [g_1, \dots, g_{n-1}, e s g_{n-1}] & \text{if } k = n. \end{cases}$$

The following characterization of the nerves of groupoids was discovered by Grothendieck; we sketch the proof.

PROPOSITION 2.2. *A simplicial set X_\bullet is the nerve of a groupoid if and only if the maps $\xi_i^n : X_n \rightarrow \text{sSet}(\Lambda_i^n, X_\bullet)$ are bijective for all $n > 1$.*

Proof. The nerve of a groupoid is a Kan complex; in fact, it is a very special kind of Kan complex for which the maps ξ_i^2 are not just surjective, but bijective. The unique filler of the horn (\cdot, g, h) is the 2-simplex $[h, h^{-1}g]$, the unique filler of the horn (g, \cdot, h) is the 2-simplex $[h, g]$, and the unique filler of the horn (g, h, \cdot) is the 2-simplex $[hg^{-1}, g]$. Thus, the uniqueness of fillers in dimension 2 exactly captures the associativity of the groupoid and the existence of inverses.

The nerve of a groupoid is determined by its 2-skeleton, in the sense that

$$(2-5) \quad N_\bullet G \cong \text{cosk}_2(\text{sk}_2(N_\bullet G)).$$

It follows from (2-5) and the bijectivity of the maps ξ_i^2 that the maps ξ_i^n are bijective for all $n > 1$.

Conversely, given a Kan complex X_\bullet such that ξ_i^n is bijective for $n > 1$, we can construct a groupoid G such that $X_\bullet \cong N_\bullet G$: $G_i = X_i$ for $i = 0, 1$, $s = \partial_1 : G_1 \rightarrow G_0$, $t = \partial_0 : G_1 \rightarrow G_0$, and $e = \sigma_0 : G_0 \rightarrow G_1$.

Denote by $\langle x_0, \dots, x_{k-1}, \cdot, x_{k+1}, \dots, x_n \rangle$ the unique n -simplex that fills the horn

$$(x_0, \dots, x_{i-1}, \cdot, x_{i+1}, \dots, x_n) \in \text{sSet}(\Lambda_i^n, X).$$

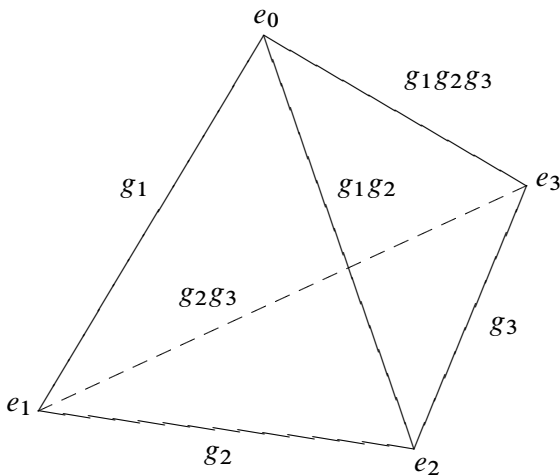
Given a pair of morphisms $g_1, g_2 \in G_1$ such that $sg_1 = tg_2$, define their composition by the formula

$$g_1g_2 = \partial_1 \langle g_2, \cdot, g_1 \rangle.$$

Given three morphisms $g_1, g_2, g_3 \in G_1$ such that $sg_1 = tg_2$ and $sg_2 = tg_3$, the 3-simplex $x = [g_1, g_2, g_3] \in X_3$ satisfies

$$g_1(g_2g_3) = \partial_1 \partial_2 x = \partial_1 \partial_1 x = (g_1g_2)g_3;$$

hence composition in G_1 is associative. Here is a picture of the 3-simplex x :



The inverse of a morphism $g \in G_1$ is defined by the formulas

$$g^{-1} = \partial_0 \langle \cdot, etg, g \rangle = \partial_2 \langle g, esg, \cdot \rangle.$$

To see that these two expressions are equal, call them respectively $g^{-\ell}$ and $g^{-\rho}$, and use associativity:

$$g^{-\ell} = g^{-\ell}(gg^{-\rho}) = (g^{-\ell}g)g^{-\rho} = g^{-\rho}.$$

It follows easily that $(g^{-1})^{-1} = g$, that $g^{-1}g = esg$ and $gg^{-1} = etg$, and that $sg^{-1} = tg$ and $tg^{-1} = sg$.

It is clear that

$$sh = \partial_1 \partial_2 \langle g, \cdot, h \rangle = \partial_1 \partial_1 \langle g, \cdot, h \rangle = s(gh)$$

and that

$$tg = \partial_0 \partial_0 \langle g, \cdot, h \rangle = \partial_0 \partial_1 \langle g, \cdot, h \rangle = t(gh).$$

We also see that

$$\begin{aligned} g &= \partial_1 \sigma_1 [g] = \partial_1 [g, esg] = g(esg) \\ &= \partial_1 \sigma_0 [g] = \partial_1 [etg, g] = (etg)g. \end{aligned}$$

Thus, G is a groupoid. Since $\text{sk}_2(X_\bullet) \cong \text{sk}_2(N_\bullet G)$, we conclude by (2-5) that $X_\bullet \cong N_\bullet G$. \square

Duskin has defined a sequence of functors Π_ℓ from the category of Kan complexes to itself, which give a functorial realization of the Postnikov tower. (See [Dus79] and [Gle82], and for a more extended discussion, [Bek04].) Let \sim_ℓ be the equivalence relation of homotopy relative to the boundary on the set X_ℓ of ℓ -simplices. Then $\text{sk}_\ell(X_\bullet)/\sim_\ell$ is a well-defined ℓ -truncated simplicial set, and there is a map of truncated simplicial sets

$$\text{sk}_\ell(X_\bullet) \rightarrow \text{sk}_\ell(X_\bullet)/\sim_\ell,$$

and by adjunction, a map of simplicial sets

$$X_\bullet \rightarrow \text{cosk}_\ell(\text{sk}_\ell(X_\bullet)/\sim_\ell).$$

Define $\Pi_\ell(X_\bullet)$ to be the image of this map. Then the functor Π_ℓ is an idempotent monad on the category of Kan complexes. If $x_0 \in X_0$, we have

$$\pi_i(X_\bullet, x_0) = \begin{cases} \pi_i(\Pi_\ell(X_\bullet), x_0) & \text{if } i \leq \ell, \\ 0 & \text{if } i > \ell. \end{cases}$$

Thus $\Pi_\ell(X_\bullet)$ is a realization of the Postnikov ℓ -section of the simplicial set X_\bullet . For example, $\Pi_0(X_\bullet)$ is the discrete simplicial set $\pi_0(X_\bullet)$, and $\Pi_1(X_\bullet)$ is the nerve of the fundamental groupoid of X_\bullet . It is interesting to compare $\Pi_\ell(X_\bullet)$ to other realizations of the Postnikov tower, such as $\text{cosk}_{\ell+1}(\text{sk}_{\ell+1}(X_\bullet))$: it is a more economic realization of this homotopy type, and has a more geometric character.

We now recall Duskin’s notion of higher groupoid: he calls these ℓ -dimensional hypergroupoids, but we simply call them weak ℓ -groupoids.

Definition 2.3. A Kan complex X_\bullet is a *weak ℓ -groupoid* if $\Pi_\ell(X_\bullet) = X_\bullet$ or, equivalently, if the maps ξ_i^n are bijective for $n > \ell$; it is a *weak ℓ -group* if in addition it is reduced (has a single 0-simplex).

The 0-simplices of an ℓ -groupoid are interpreted as its objects and the 1-simplices as its morphisms. The composition gh of a pair of 1-morphisms with $\partial_1 g = \partial_0 h$ equals $\partial_1 z$, where $z \in X_2$ is a filler of the horn

$$(g, \cdot, h) \in \text{sSet}(\Lambda_1^2, X_\bullet).$$

If $\ell > 1$, this composition is not canonical—it depends on the choice of the filler $z \in X_2$ —but it is associative up to a homotopy, by the existence of fillers in dimension 3.

A weak 0-groupoid is a discrete set, while a weak 1-groupoid is the nerve of a groupoid, by [Proposition 2.2](#). Duskin [[Dus01](#)] identifies weak 2-groupoids with the nerves of bigroupoids. A bigroupoid G is a bicategory whose 2-morphisms are invertible and whose 1-morphisms are equivalences; the nerve $N_\bullet G$ of G is a simplicial set whose 0-simplices are the objects of G , whose 1-simplices are the morphism of G , and whose 2-simplices x are the 2-morphisms with source $\partial_2 x \circ \partial_0 x$ and target $\partial_1 x$.

The singular complex of a topological space is the simplicial set

$$S_n(X) = \text{Map}(\Delta^n, X).$$

To see that this is a Kan complex, we observe that there is a continuous retraction from $\Delta^n = |\Delta^n|$ to $|\Lambda_i^n|$. The fundamental ℓ -groupoid of a topological space X is the weak ℓ -groupoid $\Pi_\ell(S_\bullet(X))$. For $\ell = 0$, this equals $\pi_0(X)$, while for $\ell = 1$, it is the nerve of the fundamental groupoid of X .

Often, weak ℓ -groupoids come with explicit choices for fillers of horns: tentatively, we refer to such weak ℓ -groupoids as ℓ -groupoids. (Often, this term is used for what we call strict ℓ -groupoids, but the latter are of little interest for $\ell > 2$.) We may axiomatize ℓ -groupoids by a weakened form of the axioms for simplicial T -complexes, studied by Dakin [[Dak83](#)] and Ashley [[Ash88](#)].

Definition 2.4. An ℓ -groupoid is a simplicial set X_\bullet together with a set of thin elements $T_n \subset X_n$ for each $n > 0$, satisfying the following conditions:

- (i) every degenerate simplex is thin;
- (ii) every horn has a unique thin filler;
- (iii) every n -simplex is thin if $n > \ell$.

If \mathfrak{g} is an ℓ -groupoid and $n > \ell$, we denote by $\langle x_0, \dots, x_{i-1}, \cdot, x_{i+1}, \dots, x_n \rangle$ the unique thin filler of the horn

$$(x_0, \dots, x_{i-1}, \cdot, x_{i+1}, \dots, x_n) \in \text{sSet}(\Lambda_i^n, X_\bullet).$$

Definition 2.5. An ∞ -groupoid is a simplicial set X_\bullet together with a set of thin elements $T_n \subset X_n$ for each $n > 0$, satisfying the following conditions:

- (i) every degenerate simplex is thin;
- (ii) every horn has a unique thin filler.

It is clear that every ℓ -groupoid is a weak ℓ -groupoid and that every ∞ -groupoid is a Kan complex. Not every weak ℓ -groupoid underlies an ℓ -groupoid. However, if X_\bullet is a weak ℓ -groupoid, then any minimal simplicial subcomplex Z_\bullet of X_\bullet underlies an ℓ -groupoid; it suffices to take the set of thin n -simplices $T_n \subset Z_n$ to be a section of the map $\xi_0^n : Z_n \rightarrow \text{sSet}(\Lambda_0^n, Z_\bullet)$, taking care to select the (necessarily unique) degenerate simplex in each fiber of ξ_0^n when there is one.

Note also that while the nerve of a bigroupoid is a weak 2-groupoid in our sense, it is not in general a 2-groupoid unless the identity and inverse 1-morphisms are strict.

The Dold-Kan simplicial set $K_\bullet(V)$ is an ℓ -groupoid if and only if V_i vanishes for $i > \ell$; it is minimal if and only if V has vanishing differential. In [Section 5](#), we will find analogues of these observations for L_∞ -algebras.

3. The simplicial de Rham theorem

Let Ω_n be the free graded commutative algebra over K with generators t_i of degree 0 and dt_i of degree 1, and relations $T_n = 0$ and $dT_n = 0$, where $T_n = t_0 + \dots + t_{n-1}$:

$$\Omega_n = K[t_0, \dots, t_n, dt_0, \dots, dt_n]/(T_n, dT_n).$$

There is a unique differential on Ω_n such that $d(t_i) = dt_i$ and $d(dt_i) = 0$.

The dg commutative algebras Ω_n are the components of a simplicial dg commutative algebra Ω_\bullet : the simplicial map $f : [k] \rightarrow [n]$ acts by the formula

$$f^* t_i = \sum_{f(j)=i} t_j \quad \text{for } 0 \leq i \leq n.$$

Using the simplicial dg commutative algebra Ω_\bullet , we can define the dg commutative algebra of piecewise polynomial differential forms $\Omega(X_\bullet)$ on a simplicial set X_\bullet [[Sul77](#)], [[BG76](#)], [[Dup76](#)], [[Dup78](#)].

Definition 3.1. The complex of differential forms $\Omega(X_\bullet)$ on a simplicial set X_\bullet is the space $\Omega(X_\bullet) = \text{sSet}(X_\bullet, \Omega_\bullet)$ of simplicial maps from X_\bullet to Ω_\bullet .

When $K = \mathbb{R}$ is the field of real numbers, $\Omega(X_\bullet)$ may be identified with the complex of differential forms on the realization $|X_\bullet|$ that are polynomial on each geometric simplex of $|X_\bullet|$.

The following lemma may be found in [BG76]; we learned this short proof from a referee.

LEMMA 3.2. *For each $k \geq 0$, the simplicial abelian group Ω_\bullet^k is contractible.*

Proof. The homotopy groups of the simplicial set Ω_\bullet^k equal the homology groups of the complex $C_\bullet = \Omega_\bullet^k$ with differential

$$\partial = \sum_{i=0}^n (-1)^i \partial_i : C_n \rightarrow C_{n-1}.$$

Thus, to prove the lemma, it suffices to construct a contracting chain homotopy for the complex C_\bullet .

For $0 \leq i \leq n$, let $\pi_i : \Delta^{n+1} \rightarrow \Delta^n$ be the affine map

$$\pi_i(t_0, \dots, t_{n+1}) = (t_0, \dots, t_{i-1}, t_i + t_{n+1}, t_{i+1}, \dots, t_n).$$

Define a chain homotopy $\eta : C_n \rightarrow C_{n+1}$ by $\eta\omega = (-1)^{n+1} \sum_{i=0}^n t_i \pi_i^* \omega$. For $\omega \in \Omega_n^k$, we see that

$$\partial_i \eta\omega = \begin{cases} -\eta \partial_i \omega & \text{if } 0 \leq i \leq n, \\ (-1)^{n+1} \omega & \text{if } i = n + 1. \end{cases}$$

It follows that $(\partial\eta + \eta\partial)\omega = \omega$. □

Given a sequence (i_0, \dots, i_k) of elements of the set $\{0, \dots, n\}$, let

$$I_{i_0 \dots i_k} : \Omega_n \rightarrow K$$

be the integral over the k -chain on the n -simplex spanned by the sequence of vertices $(e_{i_0}, \dots, e_{i_k})$; this is defined by the explicit formula

$$I_{i_0 \dots i_k} (t_{i_1}^{a_1} \cdots t_{i_k}^{a_k} dt_{i_1} \cdots dt_{i_k}) = \frac{a_1! \cdots a_k!}{(a_1 + \cdots + a_k + k)!}.$$

Specializing K to the field of real numbers, this becomes the usual Riemann integral.

The space C_n of elementary forms is spanned by the differential forms

$$\omega_{i_0 \dots i_k} = k! \sum_{j=0}^k (-1)^j t_{i_j} dt_{i_0} \cdots \widehat{dt}_{i_j} \cdots dt_{i_k}.$$

(The coefficient $k!$ normalizes the form so that $I_{i_0 \dots i_k}(\omega_{i_0 \dots i_k}) = 1$.) The spaces C_n are closed under the action of the exterior differential, that is,

$$d\omega_{i_0 \dots i_k} = \sum_{i=0}^n \omega_{i i_0 \dots i_k},$$

and assemble to a simplicial subcomplex of Ω_\bullet . The complex C_n is isomorphic to the complex of simplicial chains on Δ^n , and this isomorphism is compatible with the simplicial structure. Whitney [Whi57] constructs an explicit projection P_n from Ω_n to C_n :

$$(3-6) \quad P_n \omega = \sum_{k=0}^n \sum_{i_0 < \dots < i_k} \omega_{i_0 \dots i_k} I_{i_0 \dots i_k}(\omega).$$

The projections P_n assemble to form a morphism of simplicial cochain complexes $P_\bullet : \Omega_\bullet \rightarrow C_\bullet$. If X_\bullet is a simplicial set, the complex of elementary forms

$$C(X_\bullet) = \text{sSet}(X_\bullet, C_\bullet) \subset \Omega(X_\bullet)$$

on X_\bullet is naturally isomorphic to the complex of normalized simplicial cochains.

Definition 3.3. A contraction is a simplicial endomorphism $s_\bullet : \Omega_\bullet^* \rightarrow \Omega_\bullet^{*-1}$ such that

$$(3-7) \quad \text{id} - P_\bullet = ds_\bullet + s_\bullet d.$$

If X_\bullet is a simplicial complex, a contraction s_\bullet induces a chain homotopy $s : \Omega^*(X_\bullet) \rightarrow \Omega^{*-1}(X_\bullet)$ between the complex of differential forms on X_\bullet and the complex $C(X_\bullet)$ of simplicial cochains. In other words, a contraction is an explicit form of the de Rham theorem.

Next, we derive some simple properties of a contraction which we will need later. If a and b are operators on a chain complex homogeneous of degree k and ℓ respectively, we denote by $[a, b]$ the graded commutator

$$[a, b] = ab - (-1)^{k\ell} ba.$$

In particular, if a is homogeneous of odd degree, then $\frac{1}{2}[a, a] = a^2$.

LEMMA 3.4. *Let s_\bullet be a contraction. Then*

- (i) $P_\bullet s_\bullet = 0$ and
- (ii) $s_\bullet P_\bullet = [d, (s_\bullet)^2]$.

Proof. To show that $P_\bullet s_\bullet = 0$, we must check that $I_{i_0 \dots i_k} \circ s_n = 0$ for each sequence $(i_0 \dots i_k)$. By the compatibility of s_\bullet with simplicial maps, this follows from the formula

$$I_{0 \dots k} \circ s_k = 0,$$

which is clear, since $s_k \omega$ is a differential form on Δ^k of degree less than k .

The second part of the lemma is a simple calculation. □

Dupont [Dup76], [Dup78] found an explicit contraction: we now recall his formula. Given $0 \leq i \leq n$, define the dilation map $\varphi_i : [0, 1] \times \Delta^n \rightarrow \Delta^n$ by the

formula

$$\varphi_i(u, \mathbf{t}) = u\mathbf{t} + (1 - u)e_i.$$

Let $\pi_* : \Omega^*([0, 1] \times \Delta^n) \rightarrow \Omega^{*-1}(\Delta^n)$ be integration along the fibers of the projection $\pi : [0, 1] \times \Delta^n \rightarrow \Delta^n$. Define the operator $h_n^i : \Omega_n^* \rightarrow \Omega_n^{*-1}$ by the formula

$$(3-8) \quad h_n^i \omega = \pi_* \varphi_i^* \omega,$$

Let $\varepsilon_n^i : \Omega_n \rightarrow \mathbb{K}$ be evaluation at the vertex e_i . Stokes's theorem implies the Poincaré lemma, that h_n^i is a chain homotopy between the identity and ε_n^i :

$$(3-9) \quad dh_n^i + h_n^i d = \text{id}_n - \varepsilon_n^i.$$

The flow $\varphi_i(u)$ is generated by the vector field $E_i = \sum_{j=0}^n (t_j - \delta_{ij}) \partial_j$. Let ι_i be the contraction $\iota(E_i)$: we have

$$(3-10) \quad \iota_j \varphi_i(u) = \varphi_i(u)(u \iota_j + (1 - u) \iota_i)$$

and also

$$(3-11) \quad \iota_i \omega_{i_0 \dots i_k} = k \sum_{p=0}^k (-1)^{p-1} \delta_{i i_p} \omega_{i_0 \dots \widehat{i_p} \dots i_k}.$$

The formula (3-8) for h_n^i may be written more explicitly as

$$h_n^i = \int_0^1 u^{-1} \varphi_i(u) \iota_i du.$$

LEMMA 3.5. $h^i h^j + h^j h^i = 0$.

Proof. Let $\varphi_{ij} : [0, 1] \times [0, 1] \times \Delta^n \rightarrow \Delta^n$ be the map

$$\varphi_{ij}(u, v, \mathbf{t}) = uv\mathbf{t}_k + (1 - u)e_i + u(1 - v)e_j.$$

Then we have $h^i h^j \omega = \pi_* \varphi_{ij}^* \omega$. We have $\varphi_{ji}(u, v) = \varphi_{ij}(\tilde{v}, \tilde{u})$, where \tilde{u} and \tilde{v} are determined implicitly by the equations

$$(1 - u)v = 1 - \tilde{u} \quad \text{and} \quad 1 - v = (1 - \tilde{v})\tilde{u}.$$

Since this change of variables is a diffeomorphism of the interior of the square $[0, 1] \times [0, 1]$, the lemma follows. □

LEMMA 3.6. $I_{i_0 \dots i_k}(\omega) = (-1)^k \varepsilon_n^{i_k} h_n^{i_{k-1}} \dots h_n^{i_0} \omega$.

Proof. For $k = 0$, this holds by definition. We argue by induction on k . We may assume that ω has positive degree and hence that $\omega = dv$ is exact. By Stokes's theorem,

$$I_{i_0 \dots i_k}(dv) = \sum_{j=0}^k (-1)^{j-1} I_{i_0 \dots \widehat{i_j} \dots i_k}(v).$$

On the other hand, by (3-9), we have

$$\begin{aligned} \varepsilon_n^{i_k} h_n^{i_k-1} \dots h_n^{i_0} d\nu &= \sum_{j=0}^{k-1} (-1)^j \varepsilon_n^{i_k} h_n^{i_k-1} \dots [d, h_n^{i_j}] \dots h_n^{i_0} \nu \\ &= \sum_{j=0}^{k-1} (-1)^j \varepsilon_n^{i_k} h_n^{i_k-1} \dots \widehat{h_n^{i_j}} \dots h_n^{i_0} \nu + (-1)^k \varepsilon_n^{i_k} \varepsilon_n^{i_k-1} h_n^{i_k-2} \dots h_n^{i_0} \nu. \end{aligned}$$

But $\varepsilon_n^{i_k} \varepsilon_n^{i_k-1} = \varepsilon_n^{i_k-1}$. □

THEOREM 3.7 (Dupont). *The operators*

$$(3-12) \quad s_n = \sum_{k=0}^{n-1} \sum_{i_0 < \dots < i_k} \omega_{i_0 \dots i_k} h_n^{i_k} \dots h_n^{i_0} \quad \text{for } n \geq 0$$

form a contraction.

Proof. It is straightforward to check that s_\bullet is simplicial. In the proof of (3-7), we abbreviate $h_n^{i_j}$ to h^{i_j} . In the definition of s_n , we may take the upper limit of the sum over k to be n . We now have

$$\begin{aligned} (3-13) \quad [d, s_n] &= \sum_{k=0}^{n-1} \sum_{i_0 < \dots < i_k} \sum_{i \notin \{i_0, \dots, i_k\}} \omega_{i i_0 \dots i_k} h^{i_k} \dots h^{i_0} \\ &\quad + \sum_{k=0}^n \sum_{j=0}^k (-1)^j \sum_{i_0 < \dots < i_k} \omega_{i_0 \dots i_k} h^{i_k} \dots [d, h^{i_j}] \dots h^{i_0}. \end{aligned}$$

By (3-9), we have

$$\begin{aligned} \sum_{k=0}^n \sum_{j=0}^k (-1)^j \sum_{i_0 < \dots < i_k} \omega_{i_0 \dots i_k} h^{i_k} \dots [d, h^{i_j}] \dots h^{i_0} \\ = \text{id} + \sum_{k=1}^n \sum_{j=0}^k (-1)^j \sum_{i_0 < \dots < i_k} \omega_{i_0 \dots i_k} h^{i_k} \dots \widehat{h^{i_j}} \dots h^{i_0} \\ \quad - \sum_{k=0}^n (-1)^k \sum_{i_0 < \dots < i_k} \omega_{i_0 \dots i_k} \varepsilon^{i_k} h^{i_k-1} \dots h^{i_0}. \end{aligned}$$

The first term on the right side equals the identity operator, the second cancels the first sum of (3-13), while by Lemma 3.6, the third sum equals P_n . □

We will need special class of contractions, which we call gauges.

Definition 3.8. A gauge is a contraction such that $(s_\bullet)^2 = 0$.

In fact, Dupont’s operator s_\bullet is a gauge. But by a trick of Lambe and Stasheff [LS87], any contraction gives rise to a gauge.

PROPOSITION 3.9. *If s_\bullet is a contraction, then the operator*

$$\tilde{s}_\bullet = s_\bullet ds_\bullet (\text{id} - P_\bullet)$$

is a gauge. If s_\bullet is a gauge, then $\tilde{s}_\bullet = s_\bullet$.

Proof. Let \bar{s}_\bullet be the contraction $\bar{s}_\bullet = s_\bullet (\text{id} - P_\bullet)$. By construction, we have $\bar{s}_\bullet P_\bullet = 0$; hence by Lemma 3.4, $[d, (\bar{s}_\bullet)^2] = 0$. Then $\tilde{s}_\bullet = \bar{s}_\bullet d \bar{s}_\bullet$ is a contraction:

$$\begin{aligned} [d, \tilde{s}_\bullet] &= [d, \bar{s}_\bullet d \bar{s}_\bullet] = [d, \bar{s}_\bullet] d \bar{s}_\bullet + \bar{s}_\bullet d [d, \bar{s}_\bullet] \\ &= (\text{id} - P_\bullet) d \bar{s}_\bullet + \bar{s}_\bullet d (\text{id} - P_\bullet) \\ &= d (\text{id} - P_\bullet) \bar{s}_\bullet + \bar{s}_\bullet (\text{id} - P_\bullet) d = [d, \bar{s}_\bullet] = \text{id} - P_\bullet. \end{aligned}$$

Since $d(\bar{s}_\bullet)^2 d = (\bar{s}_\bullet)^2 d^2 = 0$, the operator \tilde{s}_\bullet is a gauge:

$$(\tilde{s}_\bullet)^2 = (\bar{s}_\bullet d \bar{s}_\bullet)(\bar{s}_\bullet d \bar{s}_\bullet) = \bar{s}_\bullet d (\bar{s}_\bullet)^2 d \bar{s}_\bullet = 0.$$

If s_\bullet happens to be a gauge, then $s_\bullet P_\bullet = 0$ by Lemma 3.4. It follows that

$$\begin{aligned} \tilde{s}_\bullet - s_\bullet &= s_\bullet (ds_\bullet (\text{id} - P_\bullet) - \text{id}) \\ &= s_\bullet (ds_\bullet - \text{id}) \\ &= -s_\bullet (s_\bullet d + P_\bullet) = -(s_\bullet)^2 d + s_\bullet P_\bullet = 0. \end{aligned} \quad \square$$

We now turn to the proof that Dupont’s operator s_\bullet is a gauge. Denote by $\varepsilon(\alpha)$ the operation of multiplication by a differential form α on Ω_n .

LEMMA 3.10. *If $i \notin \{i_0, \dots, i_k\}$, then*

$$\varepsilon(\omega_{i_0 \dots i_k}) h^i = (-1)^k h^i (\varepsilon(\omega_{i_0 \dots i_k}) + \varepsilon(\omega_{i_0 \dots i_k i}) h^i).$$

Proof. We have

$$\begin{aligned} (-1)^k h^i \varepsilon(\omega_{i_0 \dots i_k}) &= (-1)^k \int_0^1 w^{-1} \varphi_i(w) \iota_i \varepsilon(\omega_{i_0 \dots i_k}) dw \\ &= \varepsilon(\omega_{i_0 \dots i_k}) \int_0^1 w^k \varphi_i(w) \iota_i dw. \end{aligned}$$

On the other hand, by (3-11),

$$\begin{aligned} (-1)^k h^i \varepsilon(\omega_{i_0 \dots i_k i}) h^i &= (-1)^k \int_0^1 \int_0^1 (uv)^{-1} \varphi_i(u) \iota_i \varepsilon(\omega_{i_0 \dots i_k i}) \varphi_i(v) \iota_i dv du \\ &= (k + 1) \int_0^1 \int_0^1 (uv)^{-1} \varphi_i(u) \varepsilon(\omega_{i_0 \dots i_k}) \varphi_i(v) \iota_i dv du \\ &= (k + 1) \varepsilon(\omega_{i_0 \dots i_k}) \int_0^1 \int_0^1 u^k v^{-1} \varphi_i(uv) \iota_i dv du. \end{aligned}$$

Changing variables from u to $w = uv$, we see that

$$\begin{aligned} \int_0^1 \int_0^1 u^k v^{-1} \varphi_i(uv) dv du &= \int_0^1 \left(\int_w^1 v^{-k-2} dv \right) w^k \varphi_i(w) dw \\ &= (k+1)^{-1} \int_0^1 (w^{-1} - w^k) \varphi_i(w) dw, \end{aligned}$$

establishing the lemma. □

THEOREM 3.11. *The operator s_\bullet is a gauge.*

Proof. By induction on k , the above lemma shows that

$$h^{i_k} \dots h^{i_0} s = \sum_{\ell=0}^{n-1} (-1)^{k\ell+\ell} \sum_{\substack{j_0 < \dots < j_\ell \\ \{i_0, \dots, i_k\} \cap \{j_0, \dots, j_\ell\} = \emptyset}} \omega_{j_0 \dots j_\ell} h^{i_k} \dots h^{i_0} h^{j_\ell} \dots h^{j_0}.$$

It follows that s^2 is equal to

$$(3-14) \quad \sum_{k, \ell=0}^{\infty} (-1)^{k\ell+\ell} \sum_{\substack{i_0 < \dots < i_k; j_0 < \dots < j_\ell \\ \{i_0, \dots, i_k\} \cap \{j_0, \dots, j_\ell\} = \emptyset}} \omega_{i_0 \dots i_k} \omega_{j_0 \dots j_\ell} h^{i_k} \dots h^{i_0} h^{j_\ell} \dots h^{j_0}.$$

We have

$$\begin{aligned} \omega_{i_0 \dots i_k} \omega_{j_0 \dots j_\ell} h^{i_k} \dots h^{i_0} h^{j_\ell} \dots h^{j_0} \\ = (-1)^{k\ell+(k+1)(\ell+1)} \omega_{j_0 \dots j_\ell} \omega_{i_0 \dots i_k} h^{j_\ell} \dots h^{j_0} h^{i_k} \dots h^{i_0}. \end{aligned}$$

The expression (3-14) changes sign on exchange of (i_0, \dots, i_k) and (j_0, \dots, j_ℓ) , and thus vanishes. □

4. The Maurer-Cartan set of an L_∞ -algebra

L_∞ -algebras are a generalization of dg Lie algebras in which the Jacobi rule is only satisfied up to a hierarchy of higher homotopies. In this section, we start by recalling the definition of L_∞ -algebras. Following [Sul77] and [Hin97], we represent the homotopy type of an L_∞ -algebra \mathfrak{g} by the simplicial set $\text{MC}_\bullet(\mathfrak{g}) = \text{MC}(\mathfrak{g} \otimes \Omega_\bullet)$. We prove that this is a Kan complex, and that under certain additional hypotheses, it is a homotopy invariant of the L_∞ -algebra \mathfrak{g} .

An operation $[x_1, \dots, x_k]$ on a graded vector space \mathfrak{g} is called graded antisymmetric if

$$[x_1, \dots, x_i, x_{i+1}, \dots, x_k] + (-1)^{|x_i||x_{i+1}|} [x_1, \dots, x_{i+1}, x_i, \dots, x_k] = 0$$

for all $1 \leq i \leq k-1$. Equivalently, $[x_1, \dots, x_k]$ is a linear map from $\bigwedge^k \mathfrak{g}$ to \mathfrak{g} , where $\bigwedge^k \mathfrak{g}$ is the k -th exterior power of the graded vector space \mathfrak{g} , that is, the k -th symmetric power of $s^{-1}\mathfrak{g}$.

Definition 4.1. An L_∞ -algebra is a graded vector space \mathfrak{g} with a sequence $[x_1, \dots, x_k]$ for $k > 0$ of graded antisymmetric operations of degree $2 - k$, or equivalently, homogeneous linear maps $\wedge^k \mathfrak{g} \rightarrow \mathfrak{g}$ of degree 2, such that for each $n > 0$, the n -Jacobi rule holds:

$$\sum_{k=1}^n (-1)^k \sum_{\substack{i_1 < \dots < i_k; j_1 < \dots < j_{n-k} \\ \{i_1, \dots, i_k\} \cup \{j_1, \dots, j_{n-k}\} = \{1, \dots, n\}}} (-1)^\varepsilon [[x_{i_1}, \dots, x_{i_k}], x_{j_1}, \dots, x_{j_{n-k}}] = 0.$$

Here, the sign $(-1)^\varepsilon$ equals the product of the sign $(-1)^\pi$ associated to the permutation

$$\pi = \begin{pmatrix} 1 & \dots & k & k+1 & \dots & n \\ i_1 & \dots & i_k & j_1 & \dots & j_{n-k} \end{pmatrix}$$

with the sign associated by the Koszul sign convention to the action of π on the elements (x_1, \dots, x_n) of \mathfrak{g} .

In terms of the graded symmetric operations

$$\ell_k(y_1, \dots, y_k) = (-1)^{\sum_{i=1}^k (k-i+1)|y_i|} s^{-1}[sy_1, \dots, sy_k]$$

of degree 1 on the graded vector space $s^{-1}\mathfrak{g}$, the Jacobi rule simplifies to

$$\sum_{k=1}^n \sum_{\substack{i_1 < \dots < i_k; j_1 < \dots < j_{n-k} \\ \{i_1, \dots, i_k\} \cup \{j_1, \dots, j_{n-k}\} = \{1, \dots, n\}}} (-1)^{\tilde{\varepsilon}} \{\{y_{i_1}, \dots, y_{i_k}\}, y_{j_1}, \dots, y_{j_{n-k}}\} = 0,$$

where $(-1)^{\tilde{\varepsilon}}$ is the sign associated by the Koszul sign convention to the action of π on the elements (y_1, \dots, y_n) of $s^{-1}\mathfrak{g}$. This is a small modification of the conventions of Lada and Markl [LM95]: their operations l_k are related to ours by a sign

$$l_k(x_1, \dots, x_k) = (-1)^{\binom{k+1}{2}} [x_1, \dots, x_k].$$

The operation $x \mapsto [x]$ makes the graded vector space \mathfrak{g} into a cochain complex, by the 1-Jacobi rule $[[x]] = 0$. Because of the special role played by the operation $[x]$, we denote it by δ . An L_∞ -algebra with $[x_1, \dots, x_k] = 0$ for $k > 2$ is the same thing as a dg Lie algebra. A quasi-isomorphism of L_∞ -algebras is a quasi-isomorphism of the underlying cochain complexes.

The lower central filtration on an L_∞ -algebra \mathfrak{g} is the canonical decreasing filtration defined inductively by $F^1 \mathfrak{g} = \mathfrak{g}$ and, for $i > 1$,

$$F^i \mathfrak{g} = \sum_{i_1 + \dots + i_k = i} [F^{i_1} \mathfrak{g}, \dots, F^{i_k} \mathfrak{g}].$$

Definition 4.2. An L_∞ -algebra \mathfrak{g} is *nilpotent* if the lower central series terminates, that is, if $F^i \mathfrak{g} = 0$ for $i \gg 0$.

If \mathfrak{g} is a nilpotent L_∞ -algebra, the curvature

$$\mathcal{F}(\alpha) = \delta\alpha + \sum_{\ell=2}^{\infty} \frac{1}{\ell!} [\alpha^{\wedge\ell}] \in \mathfrak{g}^2$$

is defined and polynomial in α . If \mathfrak{g} is a dg Lie algebra, the curvature equals

$$\mathcal{F}(\alpha) = \delta\alpha + \frac{1}{2}[\alpha, \alpha];$$

this expression is familiar from the theory of connections on principal bundles.

Definition 4.3. The *Maurer-Cartan set* $\text{MC}(\mathfrak{g})$ of a nilpotent L_∞ -algebra \mathfrak{g} is the set of those $\alpha \in \mathfrak{g}^1$ satisfying the Maurer-Cartan equation

$$(4-15) \quad \mathcal{F}(\alpha) = 0.$$

An L_∞ -algebra is abelian if the bracket $[x_1, \dots, x_k]$ vanishes for $k > 1$. In this case, the Maurer-Cartan set is the set of 1-cocycles $Z^1(\mathfrak{g})$ of \mathfrak{g} .

Let \mathfrak{g} be a nilpotent L_∞ -algebra. For any element $\alpha \in \mathfrak{g}^1$, the formula

$$[x_1, \dots, x_k]_\alpha = \sum_{\ell=0}^{\infty} \frac{1}{\ell!} [\alpha^{\wedge\ell}, x_1, \dots, x_k]$$

defines a new sequence of brackets on \mathfrak{g} , where $[\alpha^{\wedge\ell}, x_1, \dots, x_k]$ is an abbreviation for $[\alpha, \dots, \alpha, x_1, \dots, x_k]$, in which α occurs ℓ times.

PROPOSITION 4.4. *If $\alpha \in \text{MC}(\mathfrak{g})$, then the brackets $[x_1, \dots, x_k]_\alpha$ make \mathfrak{g} into an L_∞ -algebra.*

Proof. Applying the $(m+n)$ -Jacobi relation to the sequence

$$(\alpha^{\wedge m}, x_1, \dots, x_n)$$

and summing over m , we obtain the n -Jacobi relation for the brackets $[x_1, \dots, x_k]_\alpha$. \square

LEMMA 4.5. *The curvature satisfies the Bianchi identity*

$$(4-16) \quad \delta\mathcal{F}(\alpha) + \sum_{\ell=1}^{\infty} \frac{1}{\ell!} [\alpha^{\wedge\ell}, \mathcal{F}(\alpha)] = 0.$$

Proof. The n -Jacobi relation for $(\alpha^{\wedge n})$ shows that

$$\sum_{\ell=0}^n \frac{1}{\ell!(n-\ell)!} [\alpha^{\wedge\ell}, [\alpha^{\wedge(n-\ell)}]] = 0.$$

Summing over $n > 0$, we obtain the lemma. \square

If \mathfrak{g} is an L_∞ -algebra and Ω is a dg commutative algebra, then the tensor product $\mathfrak{g} \otimes \Omega$ is an L_∞ -algebra, with brackets

$$[x \otimes a] = [x] \otimes a + (-1)^{|x|} x \otimes da,$$

$$[x_1 \otimes a_1, \dots, x_k \otimes a_k] = (-1)^{\sum_{i < j} |x_i| |a_j|} [x_1, \dots, x_k] \otimes a_1 \cdots a_k \quad \text{for } k \neq 1.$$

The functor $\text{MC}(\mathfrak{g})$ extends to a covariant functor $\text{MC}(\mathfrak{g}, \Omega) = \text{MC}(\mathfrak{g} \otimes \Omega)$ from dg commutative algebras to sets, that is, a presheaf on the category of dg affine schemes over K . If X_\bullet is a simplicial set, we have

$$\text{MC}(\mathfrak{g}, \Omega(X_\bullet)) \cong \text{sSet}(X_\bullet, \text{MC}_\bullet(\mathfrak{g})).$$

If \mathfrak{g} is a nilpotent L_∞ -algebra, let $\text{MC}_\bullet(\mathfrak{g})$ be the simplicial set $\text{MC}_\bullet(\mathfrak{g}) = \text{MC}(\mathfrak{g}, \Omega_\bullet)$. In other words, the n -simplices of $\text{MC}_\bullet(\mathfrak{g})$ are differential forms α on the n -simplex Δ^n , of the form $\alpha = \sum_{i=0}^n \alpha_i$, where $\alpha_i \in \mathfrak{g}^{1-i} \otimes \Omega^i(\Delta^n)$, such that

$$(4-17) \quad (d + \delta)\alpha + \sum_{\ell=2}^{\infty} \frac{1}{\ell!} [\alpha^{\wedge \ell}] = 0.$$

Before developing the properties of this functor, we recall how it emerges naturally from Sullivan’s approach [Sul77] to rational homotopy theory.

If \mathfrak{g} is an L_∞ -algebra that is finite-dimensional in each degree and bounded below, we may associate to it the dg commutative algebra $C^*(\mathfrak{g})$ of cochains. The underlying graded commutative algebra of $C^*(\mathfrak{g})$ is $\bigwedge \mathfrak{g}^\vee = S(\mathfrak{g}[1]^\vee)$, the free graded commutative algebra on the graded vector space $\mathfrak{g}[1]^\vee$ that equals $(\mathfrak{g}^{1-i})^\vee$ in degree i . The differential δ of $C^*(\mathfrak{g})$ is determined by its restriction to the space of generators $\mathfrak{g}[1]^\vee \subset C^*(\mathfrak{g})$, on which it equals the sum over k of the adjoints of the operations ℓ_k . The resulting graded derivation satisfies the equation $\delta^2 = 0$ if and only if \mathfrak{g} is an L_∞ -algebra.

As explained in Section 1, the simplicial set $\text{Spec}_\bullet(\mathcal{A}) = \text{dAlg}(\mathcal{A}, \Omega_\bullet)$ may be viewed as an analogue in homotopical algebra of the spectrum of a commutative algebra. Applied to $C^*(\mathfrak{g})$, we obtain a simplicial set $\text{Spec}_\bullet(C^*(\mathfrak{g}))$, which has a natural identification with the simplicial set $\text{MC}_\bullet(\mathfrak{g})$.

The homotopy groups of a nilpotent L_∞ -algebra \mathfrak{g} are defined as $\pi_i(\mathfrak{g}) = \pi_i(\text{MC}_\bullet(\mathfrak{g}))$. In particular, the set of components $\pi_0(\mathfrak{g})$ of \mathfrak{g} is the quotient of $\text{MC}(\mathfrak{g})$ by the nilpotent group associated to the nilpotent Lie algebra \mathfrak{g}^0 . This plays a prominent role in deformation theory: it is the moduli set of deformations of \mathfrak{g} .

In order to establish that $\text{MC}_\bullet(\mathfrak{g})$ is a Kan complex, we use the Poincaré lemma. Let $0 \leq i \leq n$. By (3-9), we see that

$$\text{id}_n = \varepsilon_n^i + (d + \delta)h_n^i + h_n^i(d + \delta).$$

If $\alpha \in \text{MC}_n(\mathfrak{g})$, we see that

$$\begin{aligned}\alpha &= \varepsilon_n^i \alpha + (d + \delta) h_n^i \alpha + h_n^i (d + \delta) \alpha \\ &= \varepsilon_n^i \alpha + R_n^i \alpha - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} h_n^i [\alpha^{\wedge \ell}],\end{aligned}$$

where $R_n^i = (d + \delta) h_n^i$. Introduce the space $\text{mc}_n(\mathfrak{g}) = \{(d + \delta)\alpha \mid \alpha \in (\mathfrak{g} \otimes \Omega)^0\}$.

LEMMA 4.6. *Let \mathfrak{g} be a nilpotent L_∞ -algebra. The map $\alpha \mapsto (\varepsilon_n^i \alpha, R_n^i \alpha)$ induces an isomorphism between $\text{MC}_n(\mathfrak{g})$ and $\text{MC}(\mathfrak{g}) \times \text{mc}_n(\mathfrak{g})$.*

Proof. Given $\mu \in \text{MC}(\mathfrak{g})$ and $\nu \in \text{mc}_n(\mathfrak{g})$, let $\alpha_0 = \mu + \nu$, and define differential forms $(\alpha_k)_{k>0}$ inductively by the formula

$$(4-18) \quad \alpha_{k+1} = \alpha_0 - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} h_n^i [\alpha_k^{\wedge \ell}].$$

Then for all k , we have $\varepsilon_n^i \alpha_k = \mu$ and $R_n^i \alpha_k = \nu$. The sequence is eventually constant, since by induction, we see that

$$\alpha_{k+1} - \alpha_k = \sum_{\ell=2}^{\infty} \frac{1}{\ell!} \sum_{j=1}^{\ell} h_n^i [\alpha_{k-1}^{\wedge j-1}, \alpha_{k-1} - \alpha_k, \alpha_k^{\wedge \ell-j}] \in F^{k+1} \mathfrak{g} \otimes \Omega_n.$$

The limit $\alpha = \lim_{k \rightarrow \infty} \alpha_k$ satisfies

$$\alpha = \alpha_0 - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} h_n^i [\alpha^{\wedge \ell}].$$

Applying the operator $d + \delta$, we see that

$$(d + \delta)\alpha = \delta\mu - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} (d + \delta) h_n^i [\alpha^{\wedge \ell}]$$

and hence that

$$\begin{aligned}\mathcal{F}(\alpha) &= \delta\mu + \sum_{\ell=2}^{\infty} \frac{1}{\ell!} [\alpha^{\wedge \ell}] - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} (d + \delta) h_n^i [\alpha^{\wedge \ell}] \\ &= \mathcal{F}(\mu) + \sum_{\ell=2}^{\infty} \frac{1}{\ell!} h_n^i (d + \delta) [\alpha^{\wedge \ell}] = \mathcal{F}(\mu) + h_n^i (d + \delta) \mathcal{F}(\alpha).\end{aligned}$$

The Bianchi identity (4-16) implies that

$$\mathcal{F}(\alpha) = \mathcal{F}(\mu) - \sum_{\ell=1}^{\infty} \frac{1}{\ell!} h_n^i [\alpha^{\wedge \ell}, \mathcal{F}(\alpha)] = \sum_{\ell=1}^{\infty} \frac{1}{\ell!} h_n^i [\alpha^{\wedge \ell}, \mathcal{F}(\alpha)].$$

The nilpotence of \mathfrak{g} implies that $\mathcal{F}(\alpha) = 0$; it follows that α is an element of $\text{MC}_n(\mathfrak{g})$ with $\varepsilon_n^i \alpha = \mu$ and $R_n^i \alpha = \nu$.

If α and β are a pair of elements of $\text{MC}_n(\mathfrak{g})$ such that $\varepsilon_n^i \alpha = \varepsilon_n^i \beta$ and $R_n^i \alpha = R_n^i \beta$, then

$$\alpha - \beta = - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} \sum_{j=1}^{\ell} h_n^i [\alpha^{\wedge j-1}, \alpha - \beta, \beta^{\wedge \ell-j}].$$

This shows, by induction, that $\alpha - \beta \in F^i \mathfrak{g}$ for all $i > 0$ and hence, by the nilpotence of \mathfrak{g} , that $\alpha = \beta$. □

The following result applies when \mathfrak{g} is a dg Lie algebra.

PROPOSITION 4.7 [Hin97]. *If $f : \mathfrak{g} \rightarrow \mathfrak{h}$ is a surjective morphism of nilpotent L_∞ -algebras, the induced morphism $\text{MC}_\bullet(f) : \text{MC}_\bullet(\mathfrak{g}) \rightarrow \text{MC}_\bullet(\mathfrak{h})$ is a fibration of simplicial sets.*

Proof. Let $0 \leq i \leq n$. Given a horn $\beta \in \text{sSet}(\Lambda_i^n, \text{MC}_\bullet(\mathfrak{g}))$ and an n -simplex $\gamma \in \text{MC}_n(\mathfrak{h})$ such that $\partial_j \gamma = f(\partial_j \beta)$ for $j \neq i$, we wish to construct an element $\alpha \in f^{-1}(\gamma) \subset \text{MC}_n(\mathfrak{g})$ such that $\partial_j \alpha = \partial_j \beta$ for $j \neq i$.

Since $f : \mathfrak{g} \otimes \Omega_\bullet \rightarrow \mathfrak{h} \otimes \Omega_\bullet$ is a Kan fibration, there exists an extension $\rho \in \mathfrak{g} \otimes \Omega_n$ of β of total degree 1 such that $f(\rho) = \alpha$. Let α be the unique element of $\text{MC}_n(\mathfrak{g})$ such that $\varepsilon_n^i \alpha = \varepsilon_n^i \rho$ and $R_n^i \alpha = R_n^i \rho$. If $j \neq i$, we have $\varepsilon_n^i \partial_j \alpha = \varepsilon_n^i \partial_j \beta$ and $R_n^i \partial_j \alpha = R_n^i \partial_j \beta$ and hence, by [Lemma 4.6](#), $\partial_j \alpha = \partial_j \beta$. Thus, α fills the horn β . Also $f(\varepsilon_n^i \alpha) = f(\varepsilon_n^i \rho) = \varepsilon_n^i \gamma$ and $f(R_n^i \alpha) = f(R_n^i \rho) = R_n^i \gamma$; hence $f(\alpha) = \gamma$. □

The category of nilpotent L_∞ -algebras concentrated in degrees $(-\infty, 0]$ is a variant of Quillen’s model [\[Qui69\]](#) for rational homotopy of nilpotent spaces. By the following theorem, the functor $\text{MC}_\bullet(\mathfrak{g})$ carries quasi-isomorphisms of such L_∞ -algebras to homotopy equivalences of simplicial sets.

THEOREM 4.8. *If \mathfrak{g} and \mathfrak{h} are both L_∞ -algebras concentrated in degrees $(-\infty, 0]$ and if $f : \mathfrak{g} \rightarrow \mathfrak{h}$ is a quasi-isomorphism, then*

$$\text{MC}_\bullet(f) : \text{MC}_\bullet(\mathfrak{g}) \rightarrow \text{MC}_\bullet(\mathfrak{h})$$

is a homotopy equivalence.

Proof. Filter \mathfrak{g} by L_∞ -algebras $F^j \mathfrak{g}$, where

$$(F^{2j} \mathfrak{g})^i = \begin{cases} 0 & \text{if } i + j > 0, \\ Z^{-j}(\mathfrak{g}) & \text{if } i + j = 0, \\ \mathfrak{g}^i & \text{if } i + j < 0, \end{cases} \quad (F^{2j+1} \mathfrak{g})^i = \begin{cases} 0 & \text{if } i + j > 0, \\ B^{-j}(\mathfrak{g}) & \text{if } i + j = 0, \\ \mathfrak{g}^i & \text{if } i + j < 0, \end{cases}$$

and similarly for \mathfrak{h} . If $j > k$, there is a morphism of fibrations of simplicial sets

$$\begin{array}{ccccc} \mathrm{MC}_\bullet(F^j \mathfrak{g}) & \longrightarrow & \mathrm{MC}_\bullet(F^k \mathfrak{g}) & \longrightarrow & \mathrm{MC}_\bullet(F^k \mathfrak{g}/F^j \mathfrak{g}) \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{MC}_\bullet(F^j \mathfrak{h}) & \longrightarrow & \mathrm{MC}_\bullet(F^k \mathfrak{h}) & \longrightarrow & \mathrm{MC}_\bullet(F^k \mathfrak{h}/F^j \mathfrak{h}). \end{array}$$

We have

$$\mathrm{MC}_\bullet(F^{2j} \mathfrak{g}/F^{2j+1} \mathfrak{g}) \cong \mathrm{MC}_\bullet(H^{-j}(\mathfrak{g})) \cong \mathrm{MC}_\bullet(H^{-j}(\mathfrak{h})) \cong \mathrm{MC}_\bullet(F^{2j} \mathfrak{h}/F^{2j+1} \mathfrak{h}).$$

The simplicial sets

$$\begin{aligned} \mathrm{MC}_\bullet(F^{2j+1} \mathfrak{g}/F^{2j+2} \mathfrak{g}) &\cong B^{-j}(\mathfrak{g}) \otimes \Omega_\bullet^{j+1} \quad \text{and} \\ \mathrm{MC}_\bullet(F^{2j+1} \mathfrak{h}/F^{2j+2} \mathfrak{h}) &\cong B^{-j}(\mathfrak{h}) \otimes \Omega_\bullet^{j+1} \end{aligned}$$

are contractible by [Lemma 3.2](#). The proposition follows. \square

Let \mathfrak{m} be a nilpotent commutative ring; that is, $\mathfrak{m}^{\ell+1} = 0$ for some ℓ . If \mathfrak{g} is an L_∞ -algebra, then $\mathfrak{g} \otimes \mathfrak{m}$ is nilpotent; this is the setting of formal deformation theory. In this context too, the functor $\mathrm{MC}_\bullet(\mathfrak{g}, \mathfrak{m}) = \mathrm{MC}_\bullet(\mathfrak{g} \otimes \mathfrak{m})$ takes quasi-isomorphisms of L_∞ -algebras to homotopy equivalences of simplicial sets.

PROPOSITION 4.9. *If $f : \mathfrak{g} \rightarrow \mathfrak{h}$ is a quasi-isomorphism of L_∞ -algebras and \mathfrak{m} is a nilpotent commutative ring, then*

$$\mathrm{MC}_\bullet(f, \mathfrak{m}) : \mathrm{MC}_\bullet(\mathfrak{g}, \mathfrak{m}) \rightarrow \mathrm{MC}_\bullet(\mathfrak{h}, \mathfrak{m})$$

is a homotopy equivalence.

Proof. We argue by induction on the nilpotence length ℓ of \mathfrak{m} . There is a morphism of fibrations of simplicial sets

$$\begin{array}{ccccc} \mathrm{MC}_\bullet(\mathfrak{g}, \mathfrak{m}^2) & \longrightarrow & \mathrm{MC}_\bullet(\mathfrak{g}, \mathfrak{m}) & \longrightarrow & \mathrm{MC}_\bullet(\mathfrak{g} \otimes \mathfrak{m}/\mathfrak{m}^2) \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{MC}_\bullet(\mathfrak{h}, \mathfrak{m}^2) & \longrightarrow & \mathrm{MC}_\bullet(\mathfrak{h}, \mathfrak{m}) & \longrightarrow & \mathrm{MC}_\bullet(\mathfrak{h} \otimes \mathfrak{m}/\mathfrak{m}^2). \end{array}$$

The abelian L_∞ -algebras $\mathfrak{g} \otimes \mathfrak{m}/\mathfrak{m}^2$ and $\mathfrak{h} \otimes \mathfrak{m}/\mathfrak{m}^2$ are quasi-isomorphic; hence the morphism $\mathrm{MC}_\bullet(\mathfrak{g} \otimes \mathfrak{m}/\mathfrak{m}^2) \rightarrow \mathrm{MC}_\bullet(\mathfrak{h} \otimes \mathfrak{m}/\mathfrak{m}^2)$ is a homotopy equivalence. The result follows by induction on ℓ . \square

5. The functor $\gamma_\bullet(\mathfrak{g})$

In this section, we study the functor $\gamma_\bullet(\mathfrak{g})$; we prove that it is homotopy equivalent to $\mathrm{MC}_\bullet(\mathfrak{g})$ and show that it specializes to the Deligne groupoid when \mathfrak{g}

is concentrated in degrees $[0, \infty)$. Fix a gauge s_\bullet , for example Dupont’s operator (3-12).

The simplicial set $\gamma_\bullet(\mathfrak{g})$ associated to a nilpotent L_∞ -algebra is the simplicial subset of $\text{MC}_\bullet(\mathfrak{g})$ consisting of those Maurer-Cartan forms annihilated by s_\bullet :

$$(5-19) \quad \gamma_\bullet(\mathfrak{g}) = \{\alpha \in \text{MC}_\bullet(\mathfrak{g}) \mid s_\bullet \alpha = 0\}.$$

For any simplicial set X_\bullet , the set of simplicial maps $\text{sSet}(X_\bullet, \gamma_\bullet(\mathfrak{g}))$ equals the set of Maurer-Cartan elements $\alpha \in \text{MC}(\mathfrak{g}, X_\bullet)$ such that $s_\bullet \alpha = 0$. This is reminiscent of gauge conditions, such as the Coulomb gauge, in gauge theory.

PROPOSITION 5.1. *If \mathfrak{g} is abelian, then there is a natural isomorphism $\gamma_\bullet(\mathfrak{g}) \cong K_\bullet(\mathfrak{g}[1])$.*

Proof. If $\alpha \in \gamma_n(\mathfrak{g})$, then $(d + \delta)\alpha = s_n \alpha = 0$. Hence by (3-7),

$$\alpha = P_n \alpha + s_n(d + \delta)\alpha + (d + \delta)s_n \alpha = P_n \alpha.$$

Thus $\gamma_n(\mathfrak{g}) \subset K_n(\mathfrak{g}[1])$. Conversely, if $\alpha \in K_n(\mathfrak{g}[1])$, then $P_n \alpha = \alpha$, and hence $s_n \alpha = 0$. Thus $K_n(\mathfrak{g}[1]) \subset \gamma_n(\mathfrak{g})$. □

We show that $\gamma_\bullet(\mathfrak{g})$ is an ∞ -groupoid and, in particular, a Kan complex: the heart of the proof is an iteration, similar to the iteration (4-18), that solves the Maurer-Cartan equation on the n -simplex Δ^n in the gauge $s_n \alpha = 0$.

Definition 5.2. An n -simplex $\alpha \in \gamma_n(\mathfrak{g})$ is *thin* if $I_{0\dots n}(\alpha) = 0$.

LEMMA 5.3. *If \mathfrak{g} is a nilpotent L_∞ -algebra, the map $\alpha \mapsto (\varepsilon_n^i \alpha, P_n R_n^i \alpha)$ induces an isomorphism between $\gamma_n(\mathfrak{g})$ and $\text{MC}(\mathfrak{g}) \times P_n[\text{mc}_n(\mathfrak{g})]$.*

Proof. Let $0 \leq i \leq n$. By (3-7), we see that

$$\begin{aligned} \text{id}_n &= P_n + (d + \delta)s_n + s_n(d + \delta) \\ &= \varepsilon_n^i + (d + \delta)(P_n h_n^i + s_n) + (P_n h_n^i + s_n)(d + \delta). \end{aligned}$$

It follows that if $\alpha \in \gamma_n(\mathfrak{g})$,

$$(5-20) \quad \alpha = \varepsilon_n^i \alpha + P_n R_n^i \alpha - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} (P_n h_n^i + s_n)[\alpha^{\wedge \ell}].$$

Given $\mu \in \text{MC}(\mathfrak{g})$ and $\nu \in P_n[\text{mc}_n(\mathfrak{g})]$, let $\alpha_0 = \mu + \nu$, and define differential forms $(\alpha_k)_{k>0}$ inductively by the formula

$$\alpha_k = \alpha_0 - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} (P_n h_n^i + s_n)[\alpha_{k-1}^{\wedge \ell}].$$

Then for all k , we have $s_n \alpha_k = 0$, $\varepsilon_n^i \alpha_k = \mu$ and $P_n R_n^i \alpha_k = \nu$. The sequence (α_k) is eventually constant since, by induction, we see that

$$\begin{aligned} \alpha_k - \alpha_{k-1} &= \sum_{\ell=2}^{\infty} \frac{1}{\ell!} \sum_{j=1}^{\ell} (P_n h_n^i + s_n) [\alpha_{k-2}^{\wedge j-1}, \alpha_{k-2} - \alpha_{k-1}, \alpha_{k-1}^{\wedge \ell-j}] \\ &\in F^k \mathfrak{g} \otimes \Omega_n. \end{aligned}$$

The limit $\alpha = \lim_{k \rightarrow \infty} \alpha_k$ satisfies

$$\alpha = \alpha_0 - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} (P_n h_n^i + s_n) [\alpha^{\wedge \ell}].$$

By the same argument as in the proof of [Lemma 4.6](#), it follows that

$$\begin{aligned} \mathcal{F}(\alpha) &= \mathcal{F}(\mu) - \sum_{\ell=1}^{\infty} \frac{1}{\ell!} (P_n h_n^i + s_n) [\alpha^{\wedge \ell}, \mathcal{F}(\alpha)] \\ &= \sum_{\ell=1}^{\infty} \frac{1}{\ell!} (P_n h_n^i + s_n) [\alpha^{\wedge \ell}, \mathcal{F}(\alpha)]. \end{aligned}$$

The nilpotence of \mathfrak{g} implies that $\mathcal{F}(\alpha) = 0$; it follows that α is an element of $\gamma_n(\mathfrak{g})$ with $\varepsilon_n^i \alpha = \mu$ and $P_n R_n^i \alpha = \nu$.

If α and β are a pair of elements of $\gamma_n(\mathfrak{g})$ such that $\varepsilon_n^i \alpha = \varepsilon_n^i \beta$ and $P_n R_n^i \alpha = P_n R_n^i \beta$, then

$$\alpha - \beta = - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} \sum_{j=1}^{\ell} (P_n h_n^i + s_n) [\alpha^{\wedge j-1}, \alpha - \beta, \beta^{\wedge \ell-j}].$$

This shows, by induction, that $\alpha - \beta \in F^i \mathfrak{g}$ for all $i > 0$, and hence, by the nilpotence of \mathfrak{g} , that $\alpha = \beta$. \square

THEOREM 5.4. *If \mathfrak{g} is a nilpotent L_∞ -algebra, $\gamma_\bullet(\mathfrak{g})$ is an ∞ -groupoid. If \mathfrak{g} is concentrated in degrees $(-\ell, \infty)$, respectively $(-\ell, 0]$, then $\gamma_\bullet(\mathfrak{g})$ is an ℓ -groupoid, respectively an ℓ -group.*

Proof. Let $\beta \in \text{sSet}(\Lambda_i^n, \gamma_\bullet(\mathfrak{g}))$ be a horn in $\gamma_\bullet(\mathfrak{g})$. The differential form

$$\alpha_0 = \varepsilon_n^i \beta + (d + \delta) \sum_{k=1}^{n-1} \sum_{\substack{i_1 < \dots < i_k \\ i \notin \{i_1, \dots, i_k\}}} \omega_{i_1 \dots i_k} \otimes I_{i_1 \dots i_k}(\beta) \in \text{MC}(\mathfrak{g}) \times P_n[\text{mc}_n(\mathfrak{g})]$$

satisfies $I_{0 \dots n}(\alpha_0) = 0$. The solution $\alpha \in \gamma_n(\mathfrak{g})$ of the equation

$$\alpha = \alpha_0 - \sum_{\ell=2}^{\infty} \frac{1}{\ell!} (P_n h_n^i + s_n) [\alpha^{\wedge \ell}]$$

constructed in Lemma 5.3 is thin and $\xi_i^n(\alpha) = \beta$. Thus $\gamma_\bullet(\mathfrak{g})$ is an ∞ -groupoid.

If $\mathfrak{g}^{1-n} = 0$, it is clear that every n -simplex $\alpha \in \gamma_n(\mathfrak{g})$ is thin, while if $\mathfrak{g}^1 = 0$, then $\gamma_\bullet(\mathfrak{g})$ is reduced. □

Given $\mu \in MC(\mathfrak{g})$ and $x_{i_1 \dots i_k} \in \mathfrak{g}^{1-k}$ for $1 \leq i_1 < \dots < i_k \leq n$, let

$$\alpha_n^\mu(x_{i_1 \dots i_k}) \in \gamma_n(\mathfrak{g})$$

be the solution of (5-20) with $\varepsilon_n^0 \alpha_n^\mu(x_{i_1 \dots i_k}) = \mu$ and

$$R_n^0 \alpha_n^\mu(x_{i_1 \dots i_k}) = \sum_{k=1}^n \sum_{1 \leq i_1 < \dots < i_k \leq n} \omega_{i_1 \dots i_k} \otimes x_{i_1 \dots i_k}.$$

Definition 5.5. The n -th generalized Campbell-Hausdorff series associated to the gauge s_\bullet is the function of $\mu \in MC(\mathfrak{g})$ and $x_{i_1 \dots i_k} \in \mathfrak{g}^{1-k}$ for $1 \leq i_1 < \dots < i_k \leq n$ given by the formula

$$\rho_n^\mu(x_{i_1 \dots i_k}) = I_{1 \dots n}(\alpha_n^\mu(x_{i_1 \dots i_k})) \in \mathfrak{g}^{2-n}.$$

If \mathfrak{g} is concentrated in degrees $(-\infty, 0]$, then the Maurer-Cartan element μ equals 0 and may be omitted from the notation for $\alpha_n(x_{i_1 \dots i_k})$ and $\rho_n(x_{i_1 \dots i_k})$.

Since $\alpha_2^\mu(x_1, x_2, x_{12})$ is a flat connection 1-form on the 2-simplex, its monodromy around the boundary must be trivial. (The 2-simplex is simply connected.) In terms of the generalized Campbell-Hausdorff series $\rho_2^\mu(x_1, x_2, x_{12})$, this gives the equation $e^{x_1} = e^{\rho_2^\mu(x_1, x_2, x_{12})} e^{x_2}$ in the Lie group associated to the nilpotent Lie algebra \mathfrak{g}^0 . Thus, the simplicial set $\gamma_\bullet(\mathfrak{g})$ (indeed, its 2-skeleton) determines $\rho_2^\mu(x_1, x_2, x_{12})$ as a function of x_1, x_2 and x_{12} . In the Dupont gauge, modulo terms involving more than two brackets, it equals

$$\begin{aligned} \rho_2^\mu(x_1, x_2, x_{12}) &= x_1 - x_2 + \frac{1}{2}[x_1, x_2]\mu + \frac{1}{2}[x_{12}]\mu \\ &\quad + \frac{1}{12}[x_1 + x_2, [x_1, x_2]\mu]\mu + \frac{1}{6}[[x_1 + x_2]\mu, x_1, x_2]\mu \\ &\quad + \frac{1}{6}[[x_1 + x_2]\mu, x_{12}]\mu - \frac{1}{12}[x_1 + x_2, [x_{12}]\mu]\mu + \dots \end{aligned}$$

Definition 5.6. A nilpotent L_∞ -algebra \mathfrak{g} is **minimal** if the following two conditions hold:

- (i) \mathfrak{g} is concentrated in degrees $(-\infty, 0]$ and
- (ii) the differential δ of \mathfrak{g} vanishes.

An L_∞ -algebra \mathfrak{g} is minimal if and only if the dg commutative algebra $C^*(\mathfrak{g})$ is minimal in the sense of [Sul77]. The following result was suggested to the author by P. Ševera.

PROPOSITION 5.7. *If L is minimal, $\gamma_\bullet(L)$ is a minimal Kan complex.*

Proof. If L is minimal, $\mathcal{F}(\alpha + \omega_{0\dots n} \otimes x)$ is independent of $x \in L^{1-n}$. It follows that

$$\alpha_n(x_{i_1\dots i_k}) = \alpha_n(x_{i_1\dots i_k})_{k < n} + \omega_{0\dots n} \otimes x_{1\dots n}$$

and hence that $\rho_n(x_{i_1\dots i_k}) = \rho_n(x_{i_1\dots i_k})_{k < n}$. This shows that $\partial_0 \alpha_n(x_{i_1\dots i_k})$ is independent of $x_{1\dots n}$. The same holds with ∂_i replacing ∂_0 , by action of the symmetric group S_n on the n -simplices of $\gamma_\bullet(L)$. \square

If \mathfrak{g} is a dg Lie algebra, the thin 2-simplices define a composition on the 1-simplices of $\gamma_\bullet(\mathfrak{g})$ which is strictly associative; this parallels a recent result of Paoli [Pao07].

PROPOSITION 5.8. *If \mathfrak{g} is a dg Lie algebra, the composition $\rho_2^\mu(x_1, x_2) : \mathfrak{g}^0 \otimes \mathfrak{g}^0 \rightarrow \mathfrak{g}^0$ is associative.*

Proof. It suffices to show that $\rho_3^\mu(x_1, x_2, x_3, x_{ij} = 0) = 0$; in other words, if three faces of a thin 3-simplex are thin, then the fourth is. The iteration leading to the solution α of (5-20) with initial conditions

$$\alpha_0 = \mu + (d + \delta)(t_1x_1 + t_2x_2 + t_3x_3)$$

lies in the space $\mathfrak{g}^0 \otimes \Omega_3^1 \oplus \mathfrak{g}^1 \otimes \Omega_3^0$; hence $I_{123}(\alpha) = 0 \in \mathfrak{g}^{-1}$. \square

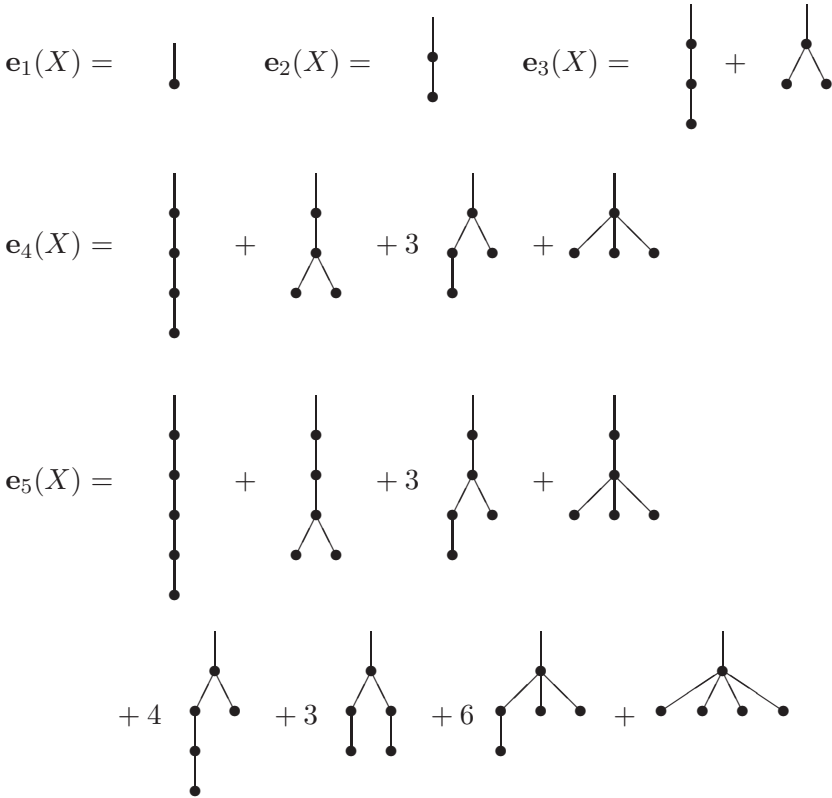
In particular, if \mathfrak{g} is a dg Lie algebra concentrated in degrees $(-2, \infty)$, then $\gamma_\bullet(\mathfrak{g})$ is the nerve of a strict 2-groupoid, that is, a groupoid enriched in groupoids; in this way, we see that $\gamma_\bullet(\mathfrak{g})$ generalizes the Deligne 2-groupoid [Del94], [Get02].

Although it is not hard to derive explicit formulas for the generalized Campbell-Hausdorff series up to any order, we do not know any closed formulas for them except when $n = 1$, in which case it is independent of the gauge. We now derive a closed formula for $\rho_1^\mu(x)$, which resembles Cayley's famous formula for the series solution of the ordinary differential equation $x'(t) = f(x(t))$.

To each rooted tree, associate the word obtained by associating to a vertex with i branches the operation $[x, a_1, \dots, a_i]_\mu$. Multiply the resulting word by the number of total orders on the vertices of the tree such that each vertex precedes its parent. Let $\mathbf{e}_\mu^k(x)$ be the sum of these terms over all rooted trees with k vertices. For example, $\mathbf{e}_\mu^1(x) = [x]_\mu$, $\mathbf{e}_\mu^2(x) = [x, [x]_\mu]_\mu$, and

$$\mathbf{e}_\mu^3(x) = [x, [x, [x]_\mu]_\mu]_\mu + [x, [x]_\mu, [x]_\mu]_\mu.$$

The coefficient of a tree T in $\mathbf{e}_\mu^k(x)$ equals the number of monotone orderings of its vertices, that is, total orderings such that each vertex is greater than its parent. The pictures below show the trees contributing to $\mathbf{e}_\mu^k(X)$ for $k < 5$.



PROPOSITION 5.9. *The 1-simplex $\alpha_1^\mu(x) \in \gamma_1(\mathfrak{g})$ that is determined by $\mu \in \text{MC}(\mathfrak{g})$ and $x \in \mathfrak{g}^0$ is given by the formula*

$$\alpha_1^\mu(x) = \mu - \sum_{k=1}^\infty \frac{t^k}{k!} \mathbf{e}_\mu^k(x) + x \, dt.$$

Proof. To show that $\alpha_1^\mu(x) \in \gamma_1(\mathfrak{g})$, we must show that it satisfies the Maurer-Cartan equation. Let $\alpha(t) = \mu - \sum_{k=1}^\infty (t^k/k!) \mathbf{e}_\mu^k(x)$. It must be shown that $\alpha'(t) + \sum_{n=0}^\infty (1/n!) [\alpha(t)^{\wedge n}, x] = 0$ or, in other words, that

$$\begin{aligned} \mathbf{e}_\mu^{k+1}(x) &= \sum_{n=0}^\infty \frac{(-1)^n}{n!} \sum_{k_1+\dots+k_n=k} \frac{k!}{k_1! \dots k_n!} [\mathbf{e}_\mu^{k_1}(x), \dots, \mathbf{e}_\mu^{k_n}(x), x]_\alpha \\ &= \sum_{n=0}^\infty \frac{1}{n!} \sum_{k_1+\dots+k_n=k} \frac{k!}{k_1! \dots k_n!} [x, \mathbf{e}_\mu^{k_1}(x), \dots, \mathbf{e}_\mu^{k_n}(x)]_\alpha. \end{aligned}$$

This is easily proved by induction on k .

□

Proposition 5.9 implies a formula for the generalized Campbell-Hausdorff series $\rho_1^\alpha(x)$:

$$\rho_1^\mu(x) = \mu - \sum_{k=1}^{\infty} \frac{1}{k!} \mathbf{e}_\mu^k(x).$$

If \mathfrak{g} is a dg Lie algebra, only trees with vertices of valence 0 or 1 contribute to $\mathbf{e}_\alpha^k(x)$, and we recover the formula (1-3) figuring in the definition of the Deligne groupoid for dg Lie algebras.

There is a relative version of [Theorem 5.4](#), analogous to [Proposition 4.7](#):

THEOREM 5.10. *If $f : \mathfrak{g} \rightarrow \mathfrak{h}$ is a surjective morphism of nilpotent L_∞ -algebras, the induced morphism $\gamma_\bullet(f) : \gamma_\bullet(\mathfrak{g}) \rightarrow \gamma_\bullet(\mathfrak{h})$ is a fibration of simplicial sets.*

Proof. Let $0 \leq i \leq n$. Given a horn $\beta \in \text{sSet}(\Lambda_i^n, \gamma_\bullet(\mathfrak{g}))$ and an n -simplex $\gamma \in \gamma_n(\mathfrak{h})$ such that $f(\partial_j \beta) = \partial_j \gamma$ for $j \neq i$, our task is to construct an element $\alpha \in f^{-1}(\gamma) \subset \gamma_n(\mathfrak{g})$ such that $\partial_j \alpha = \partial_j \beta$ if $j \neq i$.

Choose a solution $x \in \mathfrak{g}^{1-n}$ of the equation $f(x) = I_{0\dots n}(\gamma) \in \mathfrak{h}^{1-n}$. Let α be the unique element of $\gamma_n(\mathfrak{g})$ such that $\varepsilon_n^i \alpha = \varepsilon_n^i \beta$ and

$$P_n R_n^i \alpha = (d + \delta) \left(\sum_{k=1}^{n-1} \sum_{\substack{i_1 < \dots < i_k \\ i \notin \{i_1, \dots, i_k\}}} \omega_{i_1 \dots i_k} \otimes I_{i i_1 \dots i_k}(\beta) + (-1)^i \omega_{0 \dots \widehat{i} \dots n} \otimes x \right).$$

If $j \neq i$, we have $\varepsilon_n^i \partial_j \alpha = \varepsilon_n^i \partial_j \beta$ and $P_n R_n^i \partial_j \alpha = P_n R_n^i \partial_j \beta$ and hence, by [Lemma 5.3](#), $\partial_j \alpha = \partial_j \beta$; thus, α fills the horn β . Also $f(\varepsilon_n^i \alpha) = f(\varepsilon_n^i \beta) = \varepsilon_n^i \gamma$ and $f(P_n R_n^i \alpha) = P_n R_n^i \gamma$; hence $f(\alpha) = \gamma$. \square

COROLLARY 5.11. *If \mathfrak{g} is a nilpotent L_∞ -algebra, the inclusion of simplicial sets $\gamma_\bullet(\mathfrak{g}) \hookrightarrow \text{MC}_\bullet(\mathfrak{g})$ is a homotopy equivalence; in other words, $\pi_0(\gamma_\bullet(\mathfrak{g})) \cong \pi_0(\mathfrak{g})$, and for all 0-simplices $\alpha_0 \in \text{MC}_0(\mathfrak{g}) = \text{MC}(\mathfrak{g})$,*

$$\pi_i(\gamma_\bullet(\mathfrak{g}), \alpha_0) \cong \pi_i(\mathfrak{g}, \alpha_0) \quad \text{for } i > 0.$$

Proof. This is proved by induction on the nilpotence length ℓ of \mathfrak{g} . When \mathfrak{g} is abelian, $\text{MC}_\bullet(\mathfrak{g})$ and $\gamma_\bullet(\mathfrak{g})$ are simplicial abelian groups, and their quotient is the simplicial abelian group

$$\text{MC}_n(\mathfrak{g})/\gamma_n(\mathfrak{g}) \cong (d + \delta)s_n(\mathfrak{g} \otimes \Omega_n)^1.$$

This simplicial abelian group is a retract of the contractible simplicial abelian group $\mathfrak{g} \otimes \Omega_\bullet$ and hence is itself contractible.

Let $F^i \mathfrak{g}$ be the lower central series of \mathfrak{g} . Given $i > 0$, we have a morphism of principal fibrations of simplicial sets given by

$$\begin{array}{ccccc} \gamma_{\bullet}(F^{i+1}\mathfrak{g}) & \longrightarrow & \gamma_{\bullet}(F^i\mathfrak{g}) & \longrightarrow & \gamma_{\bullet}(F^i\mathfrak{g}/F^{i+1}\mathfrak{g}) \\ \downarrow & & \downarrow & & \downarrow \\ \mathrm{MC}_{\bullet}(F^{i+1}\mathfrak{g}) & \longrightarrow & \mathrm{MC}_{\bullet}(F^i\mathfrak{g}) & \longrightarrow & \mathrm{MC}_{\bullet}(F^i\mathfrak{g}/F^{i+1}\mathfrak{g}). \end{array}$$

Since $F^i\mathfrak{g}/F^{i+1}\mathfrak{g}$ is abelian, we see that $\gamma_{\bullet}(F^i\mathfrak{g}/F^{i+1}\mathfrak{g}) \simeq \mathrm{MC}_{\bullet}(F^i\mathfrak{g}/F^{i+1}\mathfrak{g})$. The result follows by induction on ℓ . \square

When \mathfrak{g} is a nilpotent Lie algebra, the isomorphism

$$\pi_0(\gamma_{\bullet}(\mathfrak{g})) \cong \pi_0(\mathrm{MC}_{\bullet}(\mathfrak{g}))$$

is equivalent to the surjectivity of the exponential map. The above corollary may be viewed as a generalization of this fact.

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