# Counterexamples to Hedetniemi's conjecture

By YAROSLAV SHITOV

## Abstract

The chromatic number of  $G \times H$  can be less than the minimum of the chromatic numbers of finite simple graphs G and H.

The tensor product  $G \times H$  of finite simple graphs G and H has vertex set  $V(G) \times V(H)$ , and pairs (g, h) and (g', h') are adjacent if and only if  $\{g, g'\} \in E(G)$  and  $\{h, h'\} \in E(H)$ . One can easily see that  $\chi(G \times H) \leq \chi(G)$  because a proper coloring  $\Psi$  of the graph G can be lifted to the coloring  $(g, h) \to \Psi(g)$  of  $G \times H$ . Similarly, a proper coloring of H leads to a proper coloring of  $G \times H$  with the same number of colors, so we get

(H) 
$$\chi(G \times H) \leq \min\{\chi(G), \chi(H)\}.$$

The classical conjecture of S. T. Hedetniemi [8] posited the equality for all G and H. More than fifty years have passed since the conjecture appeared, and it keeps attracting serious attention of researchers working in graph theory and combinatorics; we mention four exhaustive survey papers [9], [12], [14], [18] for more detailed information on the topic. Here, we briefly recall that Hedetniemi's conjecture was proved in many special cases, including graphs with chromatic number at most four [3], graphs containing large cliques [1], [2], [16], circular graphs and products of cycles [15], and Kneser graphs and hypergraphs [6]. The conjecture gave an impetus to the study of *multiplicative graphs*, which remains remarkably active and important in its own right [5], [13], [17]. A generalization of Hedetniemi's conjecture to fractional chromatic numbers turned out to be true [19], but the version with directed graphs is false [10], as well as the one with infinite chromatic numbers [7], [11]. We show that the inequality (H) can be strict for finite simple graphs.

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A standard tool in the study of Hedetniemi's conjecture is the concept of the exponential graph as introduced in [3]. Let c be a positive integer, and let  $\Gamma$  be a finite graph that we allow to contain loops; the graph  $\mathcal{E}_c(\Gamma)$  has all mappings  $V(\Gamma) \to \{1, \ldots, c\}$  as vertices, and two distinct mappings  $\varphi, \psi$  are adjacent if, and only if, the condition  $\varphi(x) \neq \psi(y)$  holds whenever  $\{x, y\} \in$  $E(\Gamma)$ . The relevance of  $\mathcal{E}_c(\Gamma)$  to the problem is easy to see because the graph  $\Gamma \times \mathcal{E}_c(\Gamma)$  has the proper c-coloring  $(h, \psi) \to \psi(h)$ . The idea of our approach lies in the fact that the proper c-colorings of  $\mathcal{E}_c(\Gamma)$  become quite well behaved if the graph  $\Gamma$  is fixed and c gets large; let us proceed to technical details and exact statements. A basic result in [3] tells that the constant mappings form a c-clique in  $\mathcal{E}_c(\Gamma)$ , which means that these mappings get different colors in a proper c-coloring. So a relabeling of colors can turn any proper c-coloring  $\Psi : \mathcal{E}_c(\Gamma) \to \{1, \ldots, c\}$  into a suited one, in which a color i is assigned to the constant mapping sending every vertex of  $\Gamma$  to i.

OBSERVATION 1. If  $\Psi$  is a suited proper c-coloring of  $\mathcal{E}_c(\Gamma)$ , then  $\Psi(\varphi) \in \operatorname{Im} \varphi$ .

*Proof.* The mapping  $\varphi$  is adjacent to the constant mapping  $\{v \to j\}$  for any j not in Im  $\varphi$ , so  $\varphi$  cannot get colored with such a j.

CLAIM 2. Consider a graph  $\Gamma$  with  $|V(\Gamma)| = n$  and a suited proper c-coloring  $\Psi$  of  $\mathcal{E}_c(\Gamma)$ . Then there is a vertex  $v = v(\Psi)$  of  $\Gamma$  such that  $\geq c - \sqrt[n]{n^3 c^{n-1}}$  color classes  $\Psi^{-1}(b)$  are v-robust, which means that, for any  $\varphi_b \in \Psi^{-1}(b)$ , there is a  $w \in N(v)$  satisfying  $\varphi_b(w) = b$ , where N(v) stands for the closed neighborhood of v in  $\Gamma$ .

*Proof.* For any color b and any vertex  $u \in V(\Gamma)$ , we define I(u, b) as the set of all  $\varphi \in \Psi^{-1}(b)$  that satisfy  $\varphi(u) = b$ . According to Observation 1, every vertex of  $\mathcal{E}_c(\Gamma)$  belongs to at least one of the classes I(u, b).

Assume that I(u, b) is a *large* class, that is, it contains more than  $n^2 c^{n-2}$ elements, and consider an arbitrary mapping  $\varphi_b \in \Psi^{-1}(b)$ . If every element  $\psi_{ub}$  of I(u, b) admitted a vertex  $u' \neq u$  with  $\psi_{ub}(u') \in \operatorname{Im} \varphi_b$ , then there would be at most  $n^2$  ways to choose u' and  $\psi_{ub}(u')$ , while the remaining n-2 vertices would contribute at most a factor of  $c^{n-2}$ . This contradicts the cardinality assumption on I(u, b), so we can actually find a  $\psi_{ub} \in I(u, b)$  under which uis an only vertex taking the color b and also  $\operatorname{Im} \varphi_b \cap \operatorname{Im} \psi_{ub} = \{b\}$ . In other words, the equality  $\Psi(\varphi) = b$  cannot hold unless there is a vertex  $w \in N(u)$ satisfying  $\varphi(w) = b$ .

If there is a vertex  $v \in V(\Gamma)$  for which I(v,b) is large for at least  $c - \sqrt[n]{n^3 c^{n-1}}$  colors b, then we are done. Conversely, we can define more than  $n^3 c^{n-1}$  mappings  $\varphi: V(\Gamma) \to \{1, \ldots, c\}$  for which the value of  $\varphi$  on a vertex w does not equal those colors b for which I(w, b) is large. None of these mappings

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belongs to a large class I(u, b), but the non-large classes are too small to cover all of them.

Now we are ready to proceed with counterexamples. For a simple graph G, we define the graph  $\Gamma_G$  by adding the loops to all the vertices, and the *strong* product  $G \boxtimes K_q$  as the graph with vertex set  $V(G) \times \{1, \ldots, q\}$  and edges between (u, i) and (v, j) when, and only when,  $\{u, v\} \in E(G)$  or (u = v) and  $(i \neq j)$ .

CLAIM 3. Let G be a finite simple graph with finite girth  $\geq 6$ . Then, for sufficiently large q, one has  $\chi(\mathcal{E}_c(G \boxtimes K_q)) > c$  with  $c = \lceil 3.1q \rceil$ .

Proof. The restriction of a suited proper coloring  $\Lambda : \mathcal{E}_c(G \boxtimes K_q) \to \{1, \ldots, c\}$  to the mappings that are constant on the cliques  $\{g\} \times K_q \subset G \boxtimes K_q$ is a proper coloring  $\Psi : \mathcal{E}_c(\Gamma_G) \to \{1, \ldots, c\}$  up to the identification of every such clique with g. We find a vertex  $v = v(\Psi) \in V(G)$  as in Claim 2 and define the clique  $\mathcal{M} = \{\mu_{q+1}, \ldots, \mu_c\}$  in  $\mathcal{E}_c(G \boxtimes K_q)$  by setting, for all  $i \in \{1, \ldots, q\}$ and  $t \in \{q+1, \ldots, c\}$ ,

(1.1)  $\mu_t(g,i) = i$  for all  $g \in V(G)$  satisfying dist $(v,g) \in \{0,2\}$ ,

(1.2)  $\mu_t(g,i) = q + i$  for all  $g \in V(G)$  satisfying dist(v,g) = 1,

(1.3)  $\mu_t(g,i) = t$  for all  $g \in V(G)$  satisfying dist $(v,g) \ge 3$ .

Due to the assumption on the girth of G, no pair of vertices defined in (1.1) and (1.2) can be adjacent in  $G \boxtimes K_q$  and monochromatic at the same time; the condition (1.3) uses different colors for different t, and these colors are also different from those of the neighboring vertices dealt with in (1.1). Therefore,  $\mathcal{M}$  is indeed a clique and requires  $c - q \ge 2.1q$  colors. Using the pigeonhole principle, one finds a  $\tau \in \{q + 1, \ldots, c\}$  such that  $\Lambda(\mu_{\tau}) \notin \{1, \ldots, 2q\}$ , and due to Observation 1 we have  $\tau = \Lambda(\mu_{\tau})$ . Further, it is only o(q) classes that are not v-robust with respect to  $\Psi$  in the terminology of Claim 2, so we can find a v-robust class  $\sigma \notin \{1, \ldots, 2q, \tau\}$ . Finally, we note that the mapping  $\nu : G \boxtimes K_q \to \{1, \ldots, c\}$  defined as, for all i,

(2.1)  $\nu(g,i) = \tau$  for all  $g \in V(G)$  in the closed neighborhood N(v),

(2.2)  $\nu(g,i) = \sigma$  for all  $g \in V(G)$  satisfying dist $(v,g) \ge 2$ ,

is adjacent to  $\mu_{\tau}$  in  $\mathcal{E}_c(G \boxtimes K_q)$ . Since  $\sigma$  is *v*-robust, we cannot have  $\Lambda(\nu) = \sigma$ by Claim 2, but rather we have  $\Lambda(\nu) = \tau$  according to Observation 1. So we have  $\Lambda(\nu) = \Lambda(\mu_{\tau})$ , which is a contradiction.

The classical paper [4] proves the existence of graphs with arbitrarily large girth and fractional chromatic number; so we can find a graph G of girth at least 6 that satisfies  $\chi_f(G) > 3.1$ . We set  $c = \lceil 3.1q \rceil$  and pass to sufficiently large q; we immediately get  $\chi(G \boxtimes K_q) \ge q \cdot \chi_f(G) > c$  and also  $\chi(\mathcal{E}_c(G \boxtimes K_q)) > c$ 

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by Claim 3. The equality  $\chi((G \boxtimes K_q) \times \mathcal{E}_c(G \boxtimes K_q)) = c$  follows by standard theory [3] as the mapping  $(u, \varphi) \to \varphi(u)$  is a proper *c*-coloring of any graph of the form  $\Gamma \times \mathcal{E}_c(\Gamma)$ .

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