

# Errata of “Isoparametric hypersurfaces with $(g, m) = (6, 2)$ ”

By REIKO MIYAOKA

## Abstract

We give a correction of the proof of the homogeneity of isoparametric hypersurfaces with  $(g, m) = (6, 2)$ .

## 1. Introduction

In [2], [3], and [4], we discuss the homogeneity of isoparametric hypersurfaces  $M$  with six principal curvatures by investigating the kernel of the shape operators of the focal submanifolds. In fact,  $M$  is homogeneous if and only if the kernel is independent of the normal direction [1], [3, §15]. Using this, we reprove the homogeneity for multiplicity  $m = 1$  in [2], [4] and try to prove it for  $m = 2$  in [3]. However, in Sections 8 and 13.3 of [3], there are some inappropriate arguments.

The purpose of this paper is to correct Section 8 and Proposition 13.6 in [3], where the argument to exclude the case  $\dim K = 1, 2$  or  $\dim E(c) = 4$  fails. The correction is now achieved. In Section 3 we rewrite the entire Section 8 [3]. We exclude the case  $\dim E = 4$  in Section 5 and the case  $\dim E = 5$  in Section 6. Then in Sections 7 and 8, we settle the case  $\dim E(c)$  or  $\dim E = 6$ .

Thus we obtain

**THEOREM 1.1.** *Isoparametric hypersurfaces with  $(g, m) = (6, 2)$  are homogeneous.*

*Remark 1.2.* In addition to the revision of Sections 8 and 13.3 of [3], we need some minor changes as follows: There are typos: In (i) on page 81,  $Y_1^V$  and  $Y_2^V$  should be  $Y_1^V$  and  $Y_2^V$ . In (94) on page 84,  $\frac{1}{\sqrt{3}}$  in  $\hat{e}_2$  and  $\hat{e}_4$  should be  $\sqrt{3}$ . The notation  $\mathbf{v}_i$  in the fourth to ninth lines of page 95 might be confusing, and we had better replace it by, say,  $\mathbf{w}_i$ . All other parts of [3] are correct as they are.

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**2. A brief summary of Sections 1–7 in [3]**

Let  $M$  be an isoparametric hypersurface in  $S^{13}$  with  $(g, m) = (6, 2)$ , where  $g$  is the number of distinct principal curvatures and  $m$  is the multiplicity which is common among different principal curvatures when  $g = 6$ . The ambient sphere  $S^{13}$  is singularly foliated by parallel hypersurfaces of  $M$  and two focal submanifolds  $M_{\pm}$ . Choosing a unit normal vector field  $\xi$  of  $M$ , we denote the principal curvatures by  $\lambda_1 > \dots > \lambda_6$  and their curvature distributions by  $D_i$ ,  $i = 1, \dots, 6$ . We take an orthonormal frame  $e_i, e_{\bar{i}}$  of each  $D_i$ . We write  $\underline{i}$  for  $i$  and  $\bar{i}$ . Consider the focal submanifold  $M_+$  at which each leaf  $L_6(p)$  of  $D_6$  collapses into a point  $\bar{p} = \cos \theta p + \sin \theta \xi_p$  where  $p \in M$  and  $\theta = \cot^{-1} \lambda_6$ . Then  $T_{\bar{p}}M_+ = \oplus_{i=1}^5 D_i(q)$  and  $T_{\bar{p}}^{\perp}M_+ = \mathbb{R}\eta_q \oplus D_6(q)$  hold for all  $q \in D_6(p)$  and  $\eta_q = -\sin \theta q + \cos \theta \xi_q$ . Another focal submanifold  $M_-$  is obtained by replacing  $D_6$  by  $D_1$  and  $\theta$  by  $\bar{\theta} = \cot^{-1} \lambda_1$ . Note that  $T_{\bar{p}}M_- = \oplus_{i=2}^6 D_i(q)$  and  $T_{\bar{p}}^{\perp}M_- = \mathbb{R}\bar{\eta}_q \oplus D_1(q)$  for all  $q \in D_1(p)$  and  $\bar{\eta}_q = -\sin \bar{\theta} q + \cos \bar{\theta} \xi_q$ . By the argument in Sections 1–7 and 15 of [3], we know the following:

- FACT. (1) *The shape operators  $B_{\mathbf{n}}$  of  $M_+$  with respect to a unit normal  $\mathbf{n} \in T^{\perp}M_+$  are isospectral with eigenvalues  $\mu_1 = \sqrt{3} = -\mu_5$ ,  $\mu_2 = 1/\sqrt{3} = -\mu_4$  and  $\mu_3 = 0$ . The eigenspace of  $\mu_i$  of  $B_{\eta_p}$  is given by  $D_i(p)$ .*
- (2) *At the focal point  $\bar{p}$ , the unit sphere  $S^2$  in  $T_{\bar{p}}^{\perp}M_+$  is identified with the leaf  $L_6(p)$  of  $D_6$ . Take  $\zeta = e_6(p)$  in  $T_{\bar{p}}^{\perp}M_+$ . The geodesic  $c = \{p(t)\}$  of  $S^2 = L_6(p)$  through  $p$  in the direction  $\zeta$  corresponds to a one parameter family of normal vectors  $\cos t \eta + \sin t \zeta$  of  $M_+$ . Then the shape operator  $L(t) = \cos t B_{\eta} + \sin t B_{\zeta}$  of  $M_+$  has  $\ker L(t) = D_3(p(t))$ .*
- (3)  *$M$  is homogeneous if and only if  $\ker L(t)$  is independent of  $t$  and  $\zeta$ , namely, if and only if  $D_3$  is invariant on each  $L_6$ .*

*All these hold if we replace  $M_+$  by  $M_-$  and index  $i$  by  $i + 1$  modulo 6.*

Now, for a geodesic  $c$  of  $L_6(p)$ , put

$$(1) \quad E(c) = \text{span}_t \ker L(t).$$

Then Theorem 1.1 is proved if we show  $\dim E(c) = 2$  for any  $c$  of any  $L_6$  (see [3, §15]). Recall [3, (42)]

$$(2) \quad \begin{aligned} E(c) &= \text{span}\{e_{\underline{3}}(q), \nabla_{e_6}^k e_{\underline{3}}(q), k = 1, 2, \dots\} \\ W(c) &= \text{span}\{\bar{\nabla}_{e_3} e_6(q), \nabla_{e_6}^k \bar{\nabla}_{e_3} e_6(q), k = 1, 2, \dots\}, \end{aligned}$$

which do not depend on the choice of  $q \in c$ . Note that

LEMMA 2.1 ([3, Lemmas 5.3, 5.4, and (46) of Lemma 6.1]).  $W(c) \subset E(c)^\perp$ . Moreover,  $L(t)$  maps  $E(c)$  onto  $W(c)$  for any  $t$ , and  $\dim W(c) = \dim E(c) - 2$  holds.

For a fixed  $L_6(p)$ , we put

$$(3) \quad E = \text{span}\{E(c) \mid c : \text{a geodesic of } L_6(p)\}.$$

### 3. Dimension of $E(c)$

To investigate the dimension of  $E(c)$  or  $E$  under the supposition  $\dim E(c) > 2$ , we need a special frame of  $D_3(t)$  along a geodesic  $c = \{p(t)\}$  of  $L_6(p)$ , parametrized by  $t$  so that  $p(0) = p(2\pi)$ . For a vector field  $v(t)$  along  $c$ , we call  $v(t)$  *even* when  $v(t + \pi) = v(t)$ , and *odd* when  $v(t + \pi) = -v(t)$ . We sometimes denote  $p(t) = c(t)$ .

LEMMA 3.1. *If  $e_3(t)$  is an even (odd, resp.) vector along  $c$ , then  $e_3(t)$ ,  $\nabla_{e_6} e_3(t)$ ,  $\nabla_{e_6}^2 e_3(t), \dots$  are all even (odd, resp.) vectors. On the other hand,  $\bar{\nabla}_{e_3} e_6(t)$ ,  $\nabla_{e_6} \bar{\nabla}_{e_3} e_6(t)$ ,  $\nabla_{e_6}^2 \bar{\nabla}_{e_3} e_6(t), \dots$  are all odd (even, resp.) vectors.*

*Proof.* The former is clear from  $\nabla_{e_6}^k e_3(t + \pi) = \nabla_{e_6}^k e_3(t)$ . The latter follows from  $L(t + \pi) = -L(t)$  and  $L(t)(\nabla_{e_6} e_3(t)) = c_1 \bar{\nabla}_{e_3} e_6(t)$  (see [3, Lemma 5.1, (36)]). Then its derivatives in the direction  $e_6(t)$  are all odd. The case when  $e_3(t)$  is odd is similar. □

LEMMA 3.2.  *$\dim E(c)$  must be even.*

*Proof.* There are no odd dimensional subspace of  $TM_+$  parallel along  $c$  and consisting of odd vectors, because of the continuity of the determinant of a moving frame. By [3, Lemma 7.7], we can choose  $e_3(t), e_{\bar{3}}(t)$  so that  $E(c)$  consists of all even or all odd vectors. By Lemma 3.1, evenness and oddness of the vectors in  $E(c)$  and in  $W(c)$  are opposite. Since both  $E(c)$  and  $W(c)$  are parallel and  $\dim W(c) = \dim E(c) - 2$  (Lemma 2.1),  $\dim E(c)$  must be even. □

LEMMA 3.3. *If a differentiable field  $e_3(t)$  spans a 2-dimensional space  $K = \text{span}\{e_3(t)\}$ , then  $e_3(t)$  is an odd vector.*

*Remark 3.4.* A typical case is when  $e_3(t) = \cos t \mathbf{u} + \sin t \mathbf{v}$  for orthonormal vectors  $\mathbf{u}$  and  $\mathbf{v}$ . Usually, the coefficient functions are general odd functions and  $\mathbf{u}$  and  $\mathbf{v}$  are not necessarily orthonormal.

*Proof.* Assume  $\dim K = 2$ ; then it follows  $\nabla_{e_6} e_3(p) \not\equiv 0$  modulo  $D_3(p)$  ([3, Rem. 5.2]). Using  $q = p(\pi/2)$ , we can express  $K = \text{span}\{e_3(p), e_3(q)\}$ . Thus we have

$$(4) \quad e_3(t) = a(t)e_3(p) + b(t)e_3(q) \in K.$$

Recall [3, (37)]

$$B_\zeta(e_3(p)) = -\bar{\nabla}_{e_3}e_6(p).$$

Because  $e_3(q) \in \text{Ker}L(\pi/2) = \text{ker} B_\zeta$ , exchanging  $p$  and  $q$ , we have

$$B_\eta(e_3(q)) = \bar{\nabla}_{e_3}e_6(q),$$

since  $B_\eta = -L(\pi/2 + \pi/2)$  and  $B_\zeta = L(\pi/2)$ . Therefore, denoting  $c(t) = \cos t$  and  $s(t) = \sin t$ , by (4) we have

$$\begin{aligned} 0 &= L(t)e_3(t) = (c(t)B_\eta + s(t)B_\zeta)(a(t)e_3(p) + b(t)e_3(q)) \\ &= b(t)c(t)B_\eta(e_3(q)) + a(t)s(t)B_\zeta(e_3(p)) \\ &= b(t)c(t)\bar{\nabla}_{e_3}e_6(q) - a(t)s(t)\bar{\nabla}_{e_3}e_6(p) \end{aligned}$$

for all  $t$ . From this it follows

$$(5) \quad \bar{\nabla}_{e_3}e_6(q) = u\bar{\nabla}_{e_3}e_6(p)$$

for some nonzero  $u$ . Thus  $W = L(t)K$  is a 1-dimensional space consisting of  $\bar{\nabla}_{e_3}e_6(t)$  which is a nonzero and hence a positive scalar multiple of  $\bar{\nabla}_{e_3}e_6(p)$  (see [3, Rem. 5.2]). Then  $\bar{\nabla}_{e_3}e_6(t)$  is an even vector, and so  $e_3(t)$  is an odd vector. □

LEMMA 3.4. *If there exists a constant  $e_3$  along two geodesics  $c$  and  $\bar{c}$  of  $L_6(p)$ , then  $e_3$  is constant all over  $L_6(p)$ .*

*Proof.* Recall that if  $e_3$  coincides at two nonantipodal points on a geodesic  $c$ , then  $e_3$  is constant along  $c$  ([3, Lemma 7.1]). Thus if  $e_3$  is constant along  $c \cup \bar{c}$ ,  $e_3$  is constant along any geodesic joining a point on  $c$  and a point on  $\bar{c}$ , and hence by the continuity, constant all over  $L_6$ . □

Let  $e_3(t), e_{\bar{3}}(t)$  be an orthonormal frame of  $D_3(t)$  along a geodesic  $c(t)$ . For each  $t$ , put  $W(t) = \text{span} \{ \bar{\nabla}_{e_3}e_6(t), \bar{\nabla}_{e_{\bar{3}}}e_6(t) \} \subset W(c)$ .

LEMMA 3.5.  *$\dim W(t)$  is independent of  $t$  and takes values 0, 1 or 2.*

*Proof.* If  $\bar{\nabla}_{e_3}e_6(t_0)$  and  $\bar{\nabla}_{e_{\bar{3}}}e_6(t_0)$  are dependent at some  $t_0$ , then there exists  $e'_3(t_0) = ae_3(t_0) + be_{\bar{3}}(t_0)$  such that  $\nabla_{e'_3}e_6 = 0$ , and hence  $e'_3$  is constant along  $c$  (see [3, Lemma 7.1]). Thus  $\dim W(t) = 1$  unless  $e'_3(t)$ , which is orthogonal to  $e'_3(t_0)$ , is also constant, in which case  $\dim W(t) = 0$ . Therefore, we have  $\dim W(t) = 0, 1$  or  $2$  independent of  $t$ . □

Let  $\Gamma$  be the space of oriented geodesics of  $L_6(p)$  for each  $p$ , which is diffeomorphic to  $S^2$ . Then  $d : \Gamma \ni c \mapsto d(c) = \dim W(t) \in \{0, 1, 2\}$  is well defined by this lemma and is lower-semicontinuous. Thus  $\mathcal{U} = \{c \in \Gamma \mid d(c) = \max_\Gamma d\}$  is an open subset of  $\Gamma$ . When  $\max_\Gamma d = 0$ ,  $D_3 = D_3(p)$  is constant along  $L_3(p)$ . Consider the following cases:

- (i)  $\max_\Gamma d = 1$ ,
- (ii)  $\max_\Gamma d = 2$ .

LEMMA 3.6. *When (i) is the case, there exists  $e_3$  which is constant all over  $L_6(p)$ .*

*Proof.* Since  $\mathcal{U}$  is open, we may assume that a family of geodesics  $c^s$  through  $p$  in the direction  $e_6^s(p) = \cos s e_6(p) + \sin s e_{\bar{6}}(p)$  belongs to  $\mathcal{U}$ . Then for each  $s$ , some  $e_3^s(p) \in D_3(p)$  is constant along  $c^s$ . If  $e_3^0(p) = e_3^s(p)$  holds for some  $0 < s < \pi$ , then  $e_3 = e_3^0(p)$  is constant all over  $L_6(p)$  by Lemma 3.4.

When  $e_3^0(p)$  and  $e_3^s(p)$  are independent in  $D_3(p)$  for all  $s \not\equiv 0$  modulo  $\pi$ ,  $e_3^s(p)$  lies in  $D_3(p) \cap D_3(p_s)$  for each  $p_s \in c^s \cap \gamma$ , where  $\gamma$  is any fixed geodesic transversal to  $c^s$ . Hence  $e_3^s(p) \in E(\gamma)$  spans the 2-dimensional space  $K = D_3(p)$  along  $\gamma$ , where  $K$  is as in Lemma 3.3. Also, without loss of generality, we may consider that there exists a constant  $e_{\bar{3}}$  along  $\gamma$ , and so  $E(\gamma) \subset D_3(p) + \{e_{\bar{3}}\}$ . However since  $\dim E(\gamma)$  is even (Lemma 3.2), this implies  $E(\gamma) = D_3(p)$ . Because  $\gamma$  is any geodesic transversal to  $c^s$ ,  $E = D_3(p)$  follows from [3, Lemma 7.3], which is not the case.  $\square$

PROPOSITION 3.7. *If there exists some geodesic  $c$  of  $L_6(p)$  such that  $\dim E(c) > 2$ , then (i) never occurs on  $M_{\pm}$ .*

*Proof.* Note that  $\dim F(\gamma) > 2$  also holds by [3, Lemma 7.6]. We may consider  $d(\gamma)$  defined for a geodesic  $\gamma$  of  $L_1(p)$ , where (i) or (ii) occurs similarly. Assume (i) is the case for  $M_-$ . Choose any  $p_1 \in L_6(p)$ , and let  $p_3$  be as in [3, Fig. 1]. Then on  $L_1(p_3)$ , there exists  $e_4(p_3)$  which is constant all over  $L_1(p_3)$  by the previous lemma, and so is  $e_6(p_1)$  all over  $L_3(p_1)$ . This means  $0 = \nabla_{e_1} e_4(p_3) = \nabla_{e_3} e_6(p_1)$ , and hence along the geodesic  $c$  of  $L_6(p_1)$  in the direction  $e_6$ ,  $D_3$  is constant ([3, Rem. 5.2]). Since  $p_1 \in L_6(p)$  is arbitrarily, this means that at each point of  $L_6(p)$ , there exists a geodesic along which  $D_3$  is constant. Thus by [3, Lemma 7.3],  $\dim E = 2$  follows, a contradiction. Thus (i) cannot occur on  $M_-$ , and neither on  $M_+$ .  $\square$

LEMMA 3.8. *When (ii) is the case, the subset  $\mathcal{U}_1 = \{c \in \Gamma \mid d(c) \leq 1\}$  has no interior points.*

*Proof.* Lemma 3.6 and the proof of Proposition 3.7 are valid on  $\mathcal{U}_1$  if it has interior points.  $\square$

We call  $c \in \mathcal{U}$  "generic." Up to here, we do not assume a specific value of  $\dim E(c)$ .

#### 4. $\dim E(c) = 4$

When  $\dim E(c) > 2$  for some geodesic  $c$  of  $L_6(p)$ , we only need to consider the case (ii) by Proposition 3.7.

LEMMA 4.1. *When  $\dim E(c) = 4$  for  $c \in \mathcal{U}$ , we can take  $e_3(t)$  so that  $\bar{\nabla}_{e_3} e_6(t)$  is parallel to  $\bar{\nabla}_{e_3} e_6(p)$ , and  $K = \text{span}_t \{e_3(t)\}$  is of dimension 2. We*

can express  $e_3(t) = a(t)e_3(p) + b(t)\nabla_{e_6}e_3(p)$ , or  $\tilde{a}(t)e_3(p) + \tilde{b}(t)e_3(q)$ , where  $a(t), b(t), \tilde{a}(t), \tilde{b}(t)$  are odd functions, and  $q \in c$  is not antipodal to  $p$ .

*Proof.* Since (ii) is the case,  $\dim W(t) = 2$  for each  $t$ . Since  $W(t)$  and  $\bar{\nabla}_{e_3}e_6(p)$  are contained in  $W(c)$  which is of dimension 2 (Lemma 2.1), we can find  $\tilde{e}_3(t)$  so that  $\bar{\nabla}_{\tilde{e}_3}e_6(t)$  is parallel to  $\bar{\nabla}_{e_3}e_6(p)$ . We rewrite  $\tilde{e}_3(t)$  by  $e_3(t)$ , and put  $K = \text{span}_t\{e_3(t)\}$ . From  $\dim L(t)K = 1$ ,  $\dim K = 2$  or  $3$  follows. If  $\dim K = 3$ ,  $\ker L(t) \subset K$  for any  $t$ , which contradicts that  $e_3(p)$  is not contained in  $K$ , since  $\bar{\nabla}_{e_3}e_6(p)$  is independent of  $\bar{\nabla}_{e_3}e_6(p)$  (see Lemma 7.1 [3]). The remaining part is as in the proof of Lemma 3.3.  $\square$

*Remark 4.2.* Replacing  $e_3(t)$  by  $e_{\bar{3}}(t)$ , we may consider that  $e_{\bar{3}}(t)$  also spans a 2-dimensional subspace  $K_2(c)$  of  $E(c)$ . Thus we have  $E(c) = K_1(c) + K_2(c)$ , which is not necessarily an orthogonal decomposition, where

$$K_1(c) = \text{span}\{e_3(p), \nabla_{e_6}e_3(p)\}, \quad K_2(c) = \text{span}\{e_{\bar{3}}(p), \nabla_{e_6}e_{\bar{3}}(p)\}.$$

5.  $\dim E = 4$

In this section, we exclude the case  $\dim E = 4$  where  $E = \text{span}_c E(c)$ .

Suppose  $\dim E = 4$ , and let  $S_E^3$  be the unit sphere of  $E \cong \mathbb{R}^4$ . For each  $x \in L_6(p)$ , consider the unit circle  $S_x^1 \subset D_3(x) \subset E$ , where  $D_3(x) = \ker B_{\eta_x}$ .

When there is no constant  $e_3$  along any geodesic of  $L_6(p)$ ,  $S_x^1$  does not intersect  $S_y^1$  for  $x, y$  belonging to an open hemisphere  $U$  of  $L_6(p)$ , since  $e_3(x) = e_3(y)$  implies that  $e_3$  is constant along the geodesic joining  $x$  and  $y$ ; see [3, Lemma 7.1]. Thus if  $y$  moves in an open neighborhood  $U' \subset U$  of  $x$ , namely, in 2-parameters  $(s, t)$ ,  $S_y^1$  moves in 2-parameters in  $S_E^3$  without intersection continuously and hence generates an open neighborhood  $\Omega \cong U' \times S^1$  of  $e_3(x)$  in  $S_E^3$ .

LEMMA 5.1. *When  $\dim E = 4$ , let  $S = \cup_{x \in L_6(p)} S_x^1 \subset S_E^3$ . If along any geodesic of  $L_6(p)$  there is no constant  $e_3$ , then  $S = S_E^3$ .*

*Proof.* Obviously,  $S$  is a nonempty closed subset of  $S_E^3$ . On the other hand, for  $e_3(x) \in S$  at  $x \in L_6(p)$ , the above  $\Omega$  is an open neighborhood of  $e_3(x)$  contained in  $S$ . Hence  $S$  is open. Since  $S_E^3$  is connected, the lemma follows.  $\square$

LEMMA 5.2. *When  $\dim E = 4$ , there exists a constant  $e_3$  along some geodesic  $c$ .*

*Proof.* We have a rank 2 vector bundle over  $L_6(p)$  with fiber  $D_3(x)$  at  $x \in L_6(p)$ . Suppose that along any geodesic of  $D_6(p)$ , there is no constant  $e_3$ . Then for any  $v \in S_E^3$ , there exists  $x \in L_6(p)$  such that  $e_3(x) = v$  by Lemma 5.1. Here, for any antipodal pair  $x, -x$  of  $L_6(p)$ ,  $D_3(x) = D_3(-x)$  and so  $S_x^1 = S_{-x}^1$  holds. On the other hand, under our assumption,  $D_3(y) \cap D_3(x) = \{0\}$  if  $y \neq -x$  and so  $S_x^1 \cap S_y^1 = \emptyset$ .

Thus we can define  $\pi : S_E^3 \rightarrow L_6(p)/\mathbb{Z}_2$  with the local triviality  $\pi^{-1}(U') \cong U' \times S^1$  where  $U'$  is as above, and obtain an  $S^1$  fibration  $\pi : S_E^3 \rightarrow L_6(p)/\mathbb{Z}_2 \cong S^2/\mathbb{Z}_2 = \mathbb{R}P^2$ . However, this is impossible by the Thom-Gysin sequence. Namely, if there exists an  $S^1$  bundle  $(S_E^3, \mathbb{R}P^2, S^1)$ , in the exact sequence for the  $\mathbb{Z}_2$  homology of this bundle,

$$\rightarrow H_q(S_E^3) \rightarrow H_q(\mathbb{R}P^2) \rightarrow H_{q-2}(\mathbb{R}P^2) \rightarrow H_{q-1}(S_E^3) \rightarrow,$$

putting  $q = 3$ , we have a contradiction. □

Let  $c$  be a geodesic appearing in the lemma on which  $e_3(t)$  is constant, or equally,  $\nabla_{e_6} e_3(t) = 0$  holds. Let  $p \in c$  and  $c$  be in the direction  $e_6$ . Along a generic geodesic  $c^s$  ( $s \neq 0, \pi$ ) in the direction  $e_6^s = \cos s e_6 + \sin s e_{\bar{6}}$  at  $p$ , take  $e_3^s(t)$  spanning the 2-dimensional space  $K_1^s = \{e_3(p), \nabla_{e_6^s} e_3(p)\}$ , which is possible by Proposition 3.7. Here,  $K_1^s$  is independent of  $s(\neq 0, \pi)$ , because

$$\nabla_{e_6^s} e_3(p) = \cos s \nabla_{e_6} e_3(p) + \sin s \nabla_{e_{\bar{6}}} e_3(p) = \sin s \nabla_{e_{\bar{6}}} e_3(p).$$

Thus for any  $s, s'(\neq 0, \pi)$  and  $q \in c^s$ , there exists  $x \in c^{s'}$  such that  $e_3^s(q) = e_3^{s'}(x)$  (see Lemma 4.1).

Now, take  $q \in L_6(p) \setminus c$  first, and let  $c^s$  be the geodesic through  $p, q$ . Then above argument implies that for any  $s'(\neq 0, \pi, s)$ , there exists  $x \in c^{s'}$  such that  $e_3(q) = e_3(x)$ . Hence  $e_3$  is constant along the geodesic  $\gamma$  joining  $q$  and  $x$  by [3, Lemma 7.1]. As  $q$  is arbitrary, this implies the case (i), which contradicts Proposition 3.7. Thus we obtain

PROPOSITION 5.3. *Neither  $\dim E = 4$  nor  $\dim F = 4$  can occur.*

6.  $\dim E(c) = 4$  and  $\dim E > 4$

Next, when  $\dim E(c) = 4$ , we show  $\dim E = 6$ . Along generic geodesics  $c$  and  $\bar{c}$  through  $p$ , put

$$(6) \hat{E} = E(c) + E(\bar{c}) = D_3(p) + \text{span}\{\nabla_{e_6} e_3(p), \nabla_{e_6} e_{\bar{3}}(p), \nabla_{e_{\bar{6}}} e_3(p), \nabla_{e_{\bar{6}}} e_{\bar{3}}(p)\}.$$

LEMMA 6.1.  $\hat{E} = E$  and  $\dim E = 6$ .

*Proof.* Let  $c^s$  be the geodesic through  $p$  in the direction  $e_6^s = \cos s e_6 + \sin s e_{\bar{6}}$ . By Proposition 3.7 and Lemma 4.1, it is easy to see  $E(c^s) \subset \hat{E}$ . For any geodesic  $\gamma$  transversal to  $c^s$ , take  $p^s \in c^s \cap \gamma$ . Then from  $D_3(p^s) \subset E(c^s) \subset \hat{E}$  for every  $s$ , we know  $E(\gamma) \subset \hat{E}$ . Since  $\gamma$  is arbitrary, we conclude  $\hat{E} = E = \text{span}_\gamma E(\gamma)$ , which is parallel along  $L_6(p)$ . By Lemma 4.1 again, vectors spanning  $\hat{E}$  in (6) are odd. Thus we obtain  $\dim E = 6$  by Proposition 5.3. □

Now, put

$$W = \text{span}_{s,t}\{\bar{\nabla}_{e_3} e_6^s(t)\} = \text{span}\{\bar{\nabla}_{e_3} e_6(p), \bar{\nabla}_{e_{\bar{3}}} e_6(p), \bar{\nabla}_{e_3} e_{\bar{6}}(p), \bar{\nabla}_{e_{\bar{3}}} e_{\bar{6}}(p)\}.$$

PROPOSITION 6.2. *When  $\dim E(c) = 4$ ,  $W$  is orthogonal to  $E$ , and all the shape operators  $L(s, t) = \cos s \cos t B_\eta + \cos s \sin t B_\zeta + \sin s B_{\bar{\zeta}}$  map  $E$  onto  $W$ , where  $\zeta = e_6$  and  $\bar{\zeta} = e_{\bar{6}}$ .*

*Proof.* From [3, (43)], at any point of  $L_6$ ,

$$(7) \quad \langle \nabla_{e_6} e_3, \bar{\nabla}_{e_3} e_6 \rangle = 0$$

holds if two  $e_6$  are both  $e_6$ , or both  $e_{\bar{6}}$ , or by the global symmetry (at  $p_3$  for  $M_-$ ), if two  $e_3$  are both  $e_3$ , or both  $e_{\bar{3}}$ . Hence we need to show

$$(8) \quad \langle \nabla_{e_6} e_3, \bar{\nabla}_{e_3} e_{\bar{6}} \rangle = 0,$$

$$(9) \quad \langle \nabla_{e_6} e_{\bar{3}}, \bar{\nabla}_{e_3} e_{\bar{6}} \rangle = 0.$$

Since  $0 = \langle \nabla_{e_6+e_{\bar{6}}} e_3, \bar{\nabla}_{e_3}(e_6 + e_{\bar{6}}) \rangle = \langle \nabla_{e_6} e_3, \bar{\nabla}_{e_3} e_{\bar{6}} \rangle + \langle \nabla_{e_{\bar{6}}} e_3, \bar{\nabla}_{e_3} e_6 \rangle$ , it is sufficient to show either one of (8) or (9). Recall that  $e_3(t)$  is chosen as in Lemma 4.1 along  $c$ , and we extend  $e_{\bar{3}}(t)$ , which is orthogonal to  $e_3(t)$ , to  $e_{\bar{3}}(s, t)$  as in Lemma 4.1 along each geodesic  $\bar{c}^t(s)$  through  $c(t)$  in the direction  $e_{\bar{6}}(t)$ . Then at  $p_{\pm}^t \in c \cap \bar{c}^t$ , we have

$$\langle \nabla_{e_6} e_3(p_+^t), \bar{\nabla}_{e_3} e_{\bar{6}}(p_+^t) \rangle = -\langle \nabla_{e_6} e_3(p_-^t), \bar{\nabla}_{e_3} e_{\bar{6}}(p_-^t) \rangle$$

since  $\nabla_{e_6} e_3(t)$  is odd and  $\bar{\nabla}_{e_3} e_{\bar{6}}$  is even. Thus we have  $p_0 \in c$  at which  $\langle \nabla_{e_6} e_3(p_0), \bar{\nabla}_{e_3} e_{\bar{6}}(p_0) \rangle = 0$ , namely, (8), and hence (9) hold. Thus  $W$  is orthogonal to  $E$  (by (2) and the statement after it). Since  $E$  is parallel and of dimension 6,  $W = E^\perp$  is parallel, and  $B_\eta(E) = W$ .

We know already that  $L(s, 0) = \cos s B_\eta + \sin s B_{\bar{\zeta}}$  maps  $E(\bar{c})$  onto  $W(\bar{c}) \subset W$  ([3, Lemma 5.4]). Thus we need to show that  $B_{\bar{\zeta}}$  maps  $\nabla_{e_6} e_3$  into  $W$ . Using [3, (36)], this follows from

$$\begin{aligned} B_{\bar{\zeta}}(\nabla_{e_6} e_3) &= c_0 \nabla_{e_6} (B_\eta(\nabla_{e_6} e_3)) - c_0 B_\eta(\nabla_{e_6} \nabla_{e_6} e_3) \\ &= c_0 c_1 \nabla_{e_6} \bar{\nabla}_{e_3} e_6 - c_0 B_\eta(\nabla_{e_6} \nabla_{e_6} e_3). \end{aligned}$$

In fact, all the second derivatives such as  $\nabla_{e_6} \nabla_{e_6} e_3$  are contained in  $E$  since  $E$  is parallel, and  $\nabla_{e_6} \bar{\nabla}_{e_3} e_6 \in W$  since  $W = E^\perp$  is parallel. Hence  $B_{\bar{\zeta}}$  maps  $E$  onto  $W$ . Similarly,  $B_\zeta$  maps  $E$  onto  $W$ .  $\square$

By this proposition, even when  $\dim E(c) = 4$ , we can express

$$(10) \quad L(t) = \cos t B_\eta + \sin t B_\zeta = \begin{pmatrix} 0 & R \\ {}^t R & S \end{pmatrix}, \quad T = {}^t R R,$$

with respect to the decomposition  $E^6 \oplus W^4$  for any  $\zeta \in D_6(p)$ . In particular, we can apply the argument [3, §§9–13.2] to this case replacing  $E(c)$  by  $E$ , and putting  $Y = 0$  in [3, (106)]. All the results hold as in the case  $\dim E(c) = 6$ . Among the most important are Proposition 12.2 and Corollary 12.3, where

under the assumption  $ab \neq 0$ ,  $\sigma$  and  $\tau$  become constant along  $c$ . The arguments in [3, §13] are true except for the proof of Proposition 13.6 and Lemma 13.9.

### 7. Eigenvalues of $T$

Recall [3, Props. 10.1 and 10.3]. Then in both cases (A)  $\dim E(c) = 6$ , and (B)  $\dim E(c) = 4$  with  $\dim E = 6$ ,  $B_\eta$  is given by one of the following with respect to  $E(c) \oplus W(c)$ , and  $E \oplus W$ , respectively:

- (0)  $ab \neq 0, T = \begin{pmatrix} T_1 & 0 \\ 0 & T_2 \end{pmatrix}, T_1 = \begin{pmatrix} \sigma & 0 \\ 0 & 1/\sigma \end{pmatrix}, T_2 = \begin{pmatrix} \tau & 0 \\ 0 & 1/\tau \end{pmatrix},$   
 $S = \begin{pmatrix} S_1 & 0 \\ 0 & S_2 \end{pmatrix}, S_1 = \begin{pmatrix} 0 & a \\ a & 0 \end{pmatrix}, S_2 = \begin{pmatrix} 0 & b \\ b & 0 \end{pmatrix},$   
 $\sigma + \frac{1}{\sigma} + a^2 = \frac{10}{3}, \tau + \frac{1}{\tau} + b^2 = \frac{10}{3}.$
- (I)  $a = b = 0$  and  $T = \begin{pmatrix} \bar{T} & 0 \\ 0 & \bar{T} \end{pmatrix}, \bar{T} = \begin{pmatrix} 3 & 0 \\ 0 & 1/3 \end{pmatrix}, S = 0.$
- (II)  $a \neq 0, b = 0$  and  $T = \begin{pmatrix} T_1 & 0 \\ 0 & \bar{T} \end{pmatrix}, S = \begin{pmatrix} S_1 & 0 \\ 0 & 0 \end{pmatrix}.$

In fact, if  $ab \equiv 0$  holds in an open neighborhood of the space of geodesics of  $L_6(p)$ , either (I) or (II) occurs since  $\sigma + 1/\sigma + a^2 = 10/3$  and a similar formula holds for  $\tau, b$  [3, (72)]. Note that  $a \neq 0$  is equivalent with  $\alpha\beta \neq 0$ , as the latter implies  $\sigma \neq 1/3, 3$ . Similarly,  $b \neq 0$  corresponds to  $\gamma\delta \neq 0$  (the last line of [3, Prop. 11.1]). Therefore, Case (0) occurs only when  $ab \neq 0$  which is the case  $\alpha\beta, \gamma\delta \neq 0$ .

The argument in [3, §§12, 13.1, 13.2], treating the case  $ab \neq 0$  are quite important, and Corollary 12.3 is most notable. Based on these results, we show

**PROPOSITION 7.1.** *When  $ab \neq 0, \sigma = \tau \in (1/3, 3)$  holds.*

*Proof.* In the following, we use the notation in [3, §12] and the orthonormal basis  $X_{\underline{i}}, Z_{\underline{i}}$  given by [3, (91), (92)].

Because  $\sigma, \tau$  are constant along the geodesic  $c$  by [3, Cor. 12.3], differentiating  $L(t)X_{\underline{i}}(t) = \nu_{\underline{i}}Z_{\underline{i}}(t)$  by  $t$  where  $\nu_1 = \sqrt{\sigma}, \nu_2 = 1/\sqrt{\sigma}, \nu_{\bar{1}} = \sqrt{\tau}, \nu_{\bar{2}} = 1/\sqrt{\tau}$ , we obtain

$$L_t(t)X_{\underline{i}}(t) + L(t)\dot{X}_{\underline{i}}(t) = \nu_{\underline{i}}\dot{Z}_{\underline{i}}(t).$$

Note that  $\dot{X}_{\underline{i}}(t) = H(t)X_{\underline{i}}(t), \dot{Z}_{\underline{i}}(t) = H(t)Z_{\underline{i}}(t)$  by [3, (27)], where we use again that  $\nu_{\underline{i}}$ 's are constant. Hence putting  $t = 0$ , and denoting  $X_{\underline{i}}(0) = X_{\underline{i}}$  etc., we have

$$(11) \quad B_\zeta X_{\underline{i}} = -B_\eta H(0)X_{\underline{i}} + \nu_{\underline{i}}H(0)Z_{\underline{i}}.$$

Since  $ab \neq 0$ , using [3, (116)], we may put  $H(0) = \begin{pmatrix} J_1 & 0 \\ 0 & J_2 \end{pmatrix}$ , where

$$J_1 = \begin{pmatrix} H_0 & X & Y \\ -{}^tX & H_1 & Z \\ -{}^tY & -{}^tZ & H_2 \end{pmatrix}, \begin{pmatrix} H_1 & Z \\ -{}^tZ & H_2 \end{pmatrix} = \begin{pmatrix} 0 & x & y & z \\ -x & 0 & u & v \\ -y & -u & 0 & w \\ -z & -v & -w & 0 \end{pmatrix}.$$

Then (11) is expressed as

$$\begin{pmatrix} 0 & M \\ {}^tM & N \end{pmatrix} \begin{pmatrix} X_i \\ 0 \end{pmatrix} = - \begin{pmatrix} 0 & A \\ {}^tA & D \end{pmatrix} \begin{pmatrix} J_1 & 0 \\ 0 & J_2 \end{pmatrix} \begin{pmatrix} X_i \\ 0 \end{pmatrix} + \nu_i \begin{pmatrix} J_1 & 0 \\ 0 & J_2 \end{pmatrix} \begin{pmatrix} 0 \\ Z_i \end{pmatrix},$$

and hence we obtain

$$(12) \quad B_\zeta X_i = {}^tM X_i = -{}^tA J_1 X_i + \nu_i J_2 Z_i.$$

Here and there, we abuse  $\begin{pmatrix} 0 \\ V \end{pmatrix} = V$  or  $\begin{pmatrix} V \\ 0 \end{pmatrix} = V$ , if  $V \in E$  or  $V \in W$  is clear. Since we can express

$$(13) \quad A = \begin{pmatrix} 0_{2,4} \\ \bar{A} \end{pmatrix}, \quad \bar{A} = \text{diag}(\sqrt{\sigma} \ 1/\sqrt{\sigma} \ \sqrt{\tau} \ 1/\sqrt{\tau}),$$

where  $0_{i,j}$  denote the  $i \times j$  zero matrix, from  $X_i \perp D_3$  we have

$$\begin{aligned} {}^tA J_1 X_i &= (0_{4,2} \quad {}^t\bar{A}) \begin{pmatrix} H_0 & X & Y \\ -{}^tX & H_1 & Z \\ -{}^tY & -{}^tZ & H_2 \end{pmatrix} \begin{pmatrix} 0_{2,1} \\ X_i \end{pmatrix} \\ &= {}^t\bar{A} \begin{pmatrix} H_1 & Z \\ -{}^tZ & H_2 \end{pmatrix} X_i = \begin{pmatrix} 0 & x\sqrt{\sigma} & y\sqrt{\sigma} & z\sqrt{\sigma} \\ -x/\sqrt{\sigma} & 0 & u/\sqrt{\sigma} & v/\sqrt{\sigma} \\ -y\sqrt{\tau} & -u\sqrt{\tau} & 0 & w\sqrt{\tau} \\ -z/\sqrt{\tau} & -v/\sqrt{\tau} & -w/\sqrt{\tau} & 0 \end{pmatrix} X_i. \end{aligned}$$

Now, suppose  $\sigma \neq \tau$ , namely,  $a^2 \neq b^2$ . Then by [3, Prop. 13.3], [3, (138)] follows, and hence differentiating  $U_2$  at  $t = 0$ , we have

$$J_2 Z_i = \begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \\ -1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix} Z_i.$$

Substituting these into (12), we obtain

$$\begin{aligned} {}^tM X_1 &= -\sqrt{\sigma} Z_1 + x/\sqrt{\sigma} Z_2 + y\sqrt{\tau} Z_1 + z/\sqrt{\tau} Z_2, \\ {}^tM X_2 &= -x\sqrt{\sigma} Z_1 + u\sqrt{\tau} Z_1 + v/\sqrt{\tau} Z_2, \\ {}^tM X_{\bar{1}} &= \sqrt{\tau} Z_1 - y\sqrt{\sigma} Z_1 - u/\sqrt{\sigma} Z_2 + w/\sqrt{\tau} Z_2 \\ {}^tM X_{\bar{2}} &= -z\sqrt{\sigma} Z_1 - v/\sqrt{\sigma} Z_2 - w\sqrt{\tau} Z_1. \end{aligned}$$

Therefore, putting  ${}^tM = (l_1 \ l_2 \ l_3 \ l_4 \ l_5 \ l_6)$ , by (12) we have

$$(14) \quad (l_3 \ l_4 \ l_5 \ l_6) = \begin{pmatrix} 0 & -x\sqrt{\sigma} & \sqrt{\tau} - y\sqrt{\sigma} & -z\sqrt{\sigma} \\ x/\sqrt{\sigma} & 0 & -u/\sqrt{\sigma} & -v/\sqrt{\sigma} \\ -\sqrt{\sigma} + y\sqrt{\tau} & u\sqrt{\tau} & 0 & -w\sqrt{\tau} \\ z/\sqrt{\tau} & v/\sqrt{\tau} & w/\sqrt{\tau} & 0 \end{pmatrix}.$$

From this and (13), it follows

$$(15) \quad {}^tMA = \begin{pmatrix} 0 & -x & \tau - y\sqrt{\sigma\tau} & -z\sqrt{\sigma/\tau} \\ x & 0 & -u\sqrt{\tau/\sigma} & -v/\sqrt{\sigma\tau} \\ -\sigma + y\sqrt{\sigma\tau} & u\sqrt{\tau/\sigma} & 0 & -w \\ z\sqrt{\sigma/\tau} & v/\sqrt{\sigma\tau} & w & 0 \end{pmatrix}.$$

Therefore, we obtain

$$(16) \quad {}^tAM + {}^tMA = \begin{pmatrix} 0 & 0 & \tau - \sigma & 0 \\ 0 & 0 & 0 & 0 \\ \tau - \sigma & 0 & 0 & 0 \\ 0 & 0 & 0 & 0 \end{pmatrix}.$$

On the other hand, we know

$$(17) \quad {}^tAA = \text{diag}(\sigma \ 1/\sigma \ \tau \ 1/\tau),$$

and so

$$(18) \quad {}^tMM = U_2 {}^tAA {}^tU_2 = \begin{pmatrix} (\sigma + \tau)/2 & 0 & (\sigma - \tau)/2 & 0 \\ 0 & 1/\sigma & 0 & 0 \\ (\sigma - \tau)/2 & 0 & (\sigma + \tau)/2 & 0 \\ 0 & 0 & 0 & 1/\tau \end{pmatrix}$$

follows, where  $U_2$  is given by [3, (138)]. Thus in  ${}^t(cA + sM)(cA + sM) = c^2({}^tAA) + s^2({}^tMM) + cs({}^tAM + {}^tMA)$ , where  $c = \cos t, s = \sin t$ , the second and the fourth columns and rows make  $\begin{pmatrix} 1/\sigma & 0 \\ 0 & 1/\tau \end{pmatrix}$ . On the other hand, the first and the third columns and rows yield

$$\begin{pmatrix} c^2\sigma + s^2(\sigma + \tau)/2 & s^2(\sigma - \tau)/2 + cs(\tau - \sigma) \\ s^2(\sigma - \tau)/2 + cs(\tau - \sigma) & c^2\tau + s^2(\sigma + \tau)/2 \end{pmatrix},$$

which has eigenvalues  $\sigma$  and  $\tau$  for all  $c, s$ . Then as its determinant

$$(c^2\sigma + s^2(\sigma + \tau)/2)(c^2\tau + s^2(\sigma + \tau)/2) - \{s^2(\sigma - \tau)/2 + cs(\tau - \sigma)\}^2$$

should be identically  $\sigma\tau$ , noting the coefficient of  $cs^3$ , we obtain  $\sigma = \tau$ , a contradiction. Thus when  $ab \neq 0, \sigma = \tau \neq 3, 1/3$ , occurs.  $\square$

### 8. Proof of Proposition 13.6 of [3]

In the proof of Proposition 13.6 in [3], the exclusion of  $\dim K = 4$  or  $\dim K = 2$  fails in Lemma 13.9, where we use an incorrect result in [3, §8]. In both cases (A)  $\dim E(c) = 6$  and (B)  $\dim E(c) = 4$  and  $\dim E = 6$ , we give a correct proof here.

First, we remark that Case (II) is excluded in [3, Prop. 14.1] independent of the other argument, and the proof is also applicable to  $E$  when (B) occurs. Therefore, we may consider only the cases (0) and (I).

We emphasize  $\alpha\beta \neq 0$  in Case (0). In this case,  $W(c)$  (Case (A)), or  $W$  (Case (B)) is contained in the space spanned by vectors given by [3, (92)], where  $\sigma = \tau, \alpha = \gamma, \beta = \delta$  by Proposition 7.1:

$$(19) \quad \begin{aligned} Z_1 &= \frac{1}{\sqrt{\sigma}} \left( \sqrt{3}\alpha(e_1 - e_5) + \frac{\beta}{\sqrt{3}}(e_2 - e_4) \right), \quad Z_2 = \beta(e_1 + e_5) - \alpha(e_2 + e_4), \\ Z_{\bar{1}} &= \frac{1}{\sqrt{\sigma}} \left( \sqrt{3}\alpha(e_{\bar{1}} - e_{\bar{5}}) + \frac{\beta}{\sqrt{3}}(e_{\bar{2}} - e_{\bar{4}}) \right), \quad Z_{\bar{2}} = \beta(e_{\bar{1}} + e_{\bar{5}}) - \alpha(e_{\bar{2}} + e_{\bar{4}}). \end{aligned}$$

Here  $Z_2, Z_{\bar{2}}$  are parallel along  $c$  ([3, Prop. 13.4]).

8.1. Case (A).

PROPOSITION 8.1. *When Case (0) occurs, Case (A) is impossible.*

*Proof.* Suppose Case (0) and Case (A) occur. We restate the argument in the beginning of §13.3 [3]. Since  $\dim W(c) = 4$ , denoting by  $Z_2^\perp$  the orthogonal complement of  $Z_2$  in  $W(c)$ , we know  $\dim(Z_2^\perp \cap W(t)) = 3 + 2 - 4 \geq 1$ . Thus we can choose  $e_3(t)$  so that  $\nabla_{e_3} e_6(t) \in Z_2^\perp$  for all  $t$ . Then  $K = \text{span}\{e_3(t)\}$  is mapped into  $Z_2^\perp$  by  $L(t)$ , and so  $\dim K \leq 5$ . As we know  $\dim K \neq 3, 5$  by the first part of Lemma 13.9, and by Lemma 13.10 of [3], which are correct, we may consider the case  $\dim K = 4$  or 2.

When  $\dim K = 4$ ,  $L(t)K = \text{span}\{Z_1(t), Z_{\bar{1}}(t), Z_2\}$  for each  $t$ . Thus  $K$  contains  $e_3(t), X_1(t), X_{\bar{1}}(t), X_2(t)$ , which implies that

$$K = \text{span}\{e_3(t), X_1(t), X_{\bar{1}}(t), X_2(t)\}$$

for each  $t$ . Then the orthogonal complement of  $K$  in  $E(c)$  is given by  $K^\perp = \text{span}\{e_{\bar{3}}(t), X_{\bar{2}}(t)\}$  for each  $t$ , which is parallel along  $c$ . Thus using a frame at  $p$ , we may express  $K = \text{span}\{e_3, X_1, X_2, X_{\bar{1}}\}$  and  $K^\perp = \text{span}\{e_{\bar{3}}(t)\} = \text{span}\{e_{\bar{3}}, X_{\bar{2}}\}$ .

Since  $Z_2$  and  $Z_{\bar{2}}$  are constant along  $c$ ,  $Z_2^s = \cos s Z_2 + \sin s Z_{\bar{2}}$  is constant along  $c$  for each  $s$ . Apply the above argument to  $Z_2^s$  for  $s \neq \pi/2$  modulo  $\pi$ . Namely, if we take  $e_3^s(t)$  along  $c$  so that  $\nabla_{e_3^s} e_6(t)$  is orthogonal to  $Z_2^s$ , the space  $K^s = \text{span}\{e_3^s(t)\}$  is of dimension 4 or 2. If  $\dim K^s = 4$ , then  $e_3^s(t)$  which is orthogonal to  $e_3^s(t)$  spans the 2-dimensional space  $(K^s)^\perp = \{e_{\bar{3}}^s, X_{\bar{2}}^s\}$ , where  $X_{\bar{2}}^s = \cos s X_2 + \sin s X_{\bar{2}}$ . Since  $e_{\bar{3}}(t)$  and  $e_3^s(t)$  are independent because so are  $\nabla_{e_3} e_6(t)$  and  $\nabla_{e_3^s} e_6(t)$ , we obtain

$$D_3(t) = \text{span}\{e_{\bar{3}}(t), e_3^s(t)\} \subset \{e_{\bar{3}}, e_3^s, X_{\bar{2}}, X_{\bar{2}}^s\},$$

which implies  $\dim E(c) = 4$  because of (1), a contradiction. Thus  $\dim K^s = 2$ , but again in this case,  $e_{\bar{3}}(t)$  and  $e_3^s(t)$  are independent, and we have

$$D_3(t) = \text{span}\{e_{\bar{3}}(t), e_3^s(t)\} \subset \{e_{\bar{3}}, e_3^s, X_{\bar{2}}, X_{\bar{2}}^s\},$$

where  $X_{\bar{2}}^s = -\sin s X_2 + \cos s X_{\bar{2}}$ , which contradicts  $\dim E(c) = 6$ . The case  $\dim K = 2$  is similarly excluded. □

8.2. Case (B).

PROPOSITION 8.2. *When (B) occurs, Case (0) is impossible. Hence Case (0) never occurs.*

*Proof.* When (B) is the case, Lemma 6.1 implies that  $E = E(c) + E(\bar{c})$  is of dimension 6 and  $W = W(c) + W(\bar{c})$  is of dimension 4, where  $\bar{c}$  is a geodesic orthogonal to  $c$  at  $p$ . In fact, this is true for generic  $\bar{c}$  transversal to  $c$ .

By [3, Prop. 13.4] applied to  $W$ ,  $Z_2, Z_{\bar{2}}$  are constant. Also by Lemma 4.1, we may consider that  $K = \text{span}\{e_3(t)\}$  and  $\bar{K} = \text{span}\{e_{\bar{3}}(t)\}$  are 2-dimensional, and  $Z_2 = \nabla_{e_3} e_6(t)/|\nabla_{e_3} e_6(t)|$ ,  $Z_{\bar{2}} = \nabla_{e_{\bar{3}}} e_6(t)/|\nabla_{e_{\bar{3}}} e_6(t)|$  hold. Thus we obtain

$$(20) \quad W(c) = \text{span}\{Z_2, Z_{\bar{2}}\}.$$

As we assume Case (0) for generic geodesic  $c^s$  in the direction  $e_6^s = \cos s e_6 + \sin s e_{\bar{6}}$ , there exist  $Z_2^s, Z_{\bar{2}}^s$  constant along  $c^s$  and  $W(c^s) = \text{span}\{Z_2^s, Z_{\bar{2}}^s\}$ . Note that these  $Z_2^s, Z_{\bar{2}}^s$  are *different* from those in the last subsection (which was along  $c$ ). Since  $W(c^s) \subset W = \{Z_1, Z_2, Z_{\bar{1}}, Z_{\bar{2}}\}$ , we may express

$$(21) \quad \begin{aligned} Z_2^s &= \beta^s(e_1^s + e_5^s) - \alpha^s(e_2^s + e_4^s) = x^s Z_1 + y^s Z_2 + z^s Z_{\bar{1}} + w^s Z_{\bar{2}}, \\ Z_{\bar{2}}^s &= \beta^s(e_1^s + e_5^s) - \alpha^s(e_2^s + e_4^s) = \bar{x}^s Z_1 + \bar{y}^s Z_2 + \bar{z}^s Z_{\bar{1}} + \bar{w}^s Z_{\bar{2}} \end{aligned}$$

for some  $e_i^s \in D_i(p)$  and  $\alpha^s, \beta^s$ . As their  $D_1$  component and  $D_5$  component have the same length, we obtain

$$\begin{aligned} \left(x^s \frac{\sqrt{3}\alpha}{\sqrt{\sigma}} + y^s \beta\right)^2 + \left(z^s \frac{\sqrt{3}\alpha}{\sqrt{\sigma}} + w^s \beta\right)^2 \\ = \left(-x^s \frac{\sqrt{3}\alpha}{\sqrt{\sigma}} + y^s \beta\right)^2 + \left(-z^s \frac{\sqrt{3}\alpha}{\sqrt{\sigma}} + w^s \beta\right)^2 \end{aligned}$$

for each  $s$ , and a similar formula holds for  $\bar{x}^s$  etc. Here,  $\sigma = 2(3\alpha^2 + \beta^2/3)$  as in [3, (99)]. From this and  $\alpha\beta \neq 0$ , it follows

$$x^s y^s + z^s w^s = 0, \quad \bar{x}^s \bar{y}^s + \bar{z}^s \bar{w}^s = 0.$$

Rotating  $Z_2^s, Z_{\bar{2}}^s$  in  $W(c^s)$ , we may assume  $\bar{y}^s \equiv 0$  for each  $s$ . Moreover, since  $e_6^s = \cos s e_6 + \sin s e_{\bar{6}}$  is odd in  $s$ ,  $y^s = \langle \nabla_{e_3} e_6^s, Z_2 \rangle$  is *odd* in  $s$ . Hence there exists some  $s_0$  such that  $y^{s_0} = 0$ , and we have

$$(22) \quad z^{s_0} w^{s_0} = 0 \quad \text{and} \quad \bar{z}^{s_0} \bar{w}^{s_0} = 0.$$

LEMMA 8.3. *Under the above assumption,  $W(c^{s_0}) = \text{span}\{Z_1, Z_{\bar{1}}\}$  holds.*

*Proof.* For the moment, we omit  $s_0$  in (22). We have four cases. The case  $z = \bar{z} = 0$  causes  $W(c^{s_0}) = \text{span}\{Z_1, Z_{\bar{2}}\}$ , which is impossible in view of (21) (see also (19)). Next, when  $w = \bar{w} = 0$  holds, the conclusion follows. When

$w = \bar{z} = 0$ , we have

$$Z_2^{s_0} = xZ_1 + zZ_{\bar{1}}, \quad Z_{\bar{2}}^{s_0} = \bar{x}Z_1 + \bar{w}Z_{\bar{2}}.$$

Since  $Z_2^s$  and  $Z_{\bar{2}}^s$  are orthogonal, we have  $x\bar{x} = 0$ . If  $\bar{x} = 0$ ,  $Z_2^{s_0} = Z_{\bar{2}}$ , then by (21),  $Z_2^{s_0} = xZ_1 + zZ_{\bar{1}}$  is impossible. Thus  $x = 0$  holds, and from (21), we obtain  $\bar{w} = 0$ , and the conclusion follows. The case  $z = \bar{w} = 0$  is similar.  $\square$

*Proof of Proposition 8.2.* As we can apply the above argument at any point  $q \in c$ , there exists  $s_q$  such that along the geodesic  $c_q = c^{s_q}$  through  $q$ ,  $W(c_q) = \text{span}\{Z_2^{s_q}, Z_{\bar{2}}^{s_q}\} = \text{span}\{Z_1(q), Z_{\bar{1}}(q)\} = \text{span}\{Z_2(q), Z_{\bar{2}}(q)\}^\perp = \text{span}\{Z_2, Z_{\bar{2}}\}^\perp$ , since  $\{Z_2, Z_{\bar{2}}\}$  is parallel along  $c$ . Thus putting  $H = \{Z_2, Z_{\bar{2}}\}^\perp$ , we obtain  $W(c_q) = H$  for any  $q \in c$ .

Now, let  $c_1 = c^{s_p}$  and  $c_2 = c^{s_q}$  for any  $q \in c$ ,  $p \neq \pm q$ . Note that  $W(c_1) = H = W(c_2)$ . For  $x \in c_1 \cap c_2$ , we can express  $E(c_i) = D_3(x) \oplus J_i$  for some 2-dimensional  $J_i$  perpendicular to  $D_3(x)$ ,  $i = 1, 2$ , which are mapped by  $B_{\eta_x}$  onto  $H$ . Hence,  $J_1 = J_2$ , and so  $E(c_1) = E(c_2)$  holds. Next, for any geodesic  $\gamma$  transversal to  $c_1$  and  $c_2$ , take  $x_i \in \gamma \cap c_i$ . Then  $\dim E(\gamma) = 4$  implies  $E(\gamma) = D_3(x_1) + D_3(x_2) \subset E(c_1) + E(c_2) = E(c_1)$ . Thus we obtain  $E(\gamma) = E(c_1)$ . Since any point  $y \in L_6(p)$  lies on some geodesic transversal to  $c_1$  and  $c_2$  unless  $y$  lies on  $c_1$  or  $c_2$ ,  $D_3(y) \subset E(c_1)$  always holds. Hence  $E = E(c_1)$  and  $\dim E = 4$  follows, which contradicts Proposition 5.3.  $\square$

By this proposition and by the remark in the beginning of this section, only Case (I) is possible on both  $M_\pm$ , which is excluded in [3, Prop. 14.4]. Note that the argument is available to both cases (A) and (B). Thus we obtain

**THEOREM 8.4.** *The focal submanifolds of an isoparametric hypersurface with  $(g, m) = (6, 2)$  have the shape operators  $B_n$  whose kernel does not depend on  $n$ .*

This proves Theorem 1.1 by the argument in Section 15 of [3].

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MATHEMATICAL INSTITUTE, TOHOKU UNIVERSITY, SENDAI, JAPAN  
*E-mail*: r-miyaok@m.tohoku.ac.jp