

Sharp Hölder continuity of tangent cones for spaces with a lower Ricci curvature bound and applications

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Abstract

We prove a new estimate on manifolds with a lower Ricci bound which asserts that the geometry of balls centered on a minimizing geodesic can change in at most a Hölder continuous way along the geodesic. We give examples that show that the Hölder exponent, along with essentially all the other consequences that follow from this estimate, are sharp.

Among the applications is that the regular set is convex for any non-collapsed limit of Einstein metrics. In the general case of a potentially collapsed limit of manifolds with just a lower Ricci curvature bound we show that the regular set is weakly convex and a.e. convex. We also show two conjectures of Cheeger-Colding. One of these asserts that the isometry group of any, even collapsed, limit of manifolds with a uniform lower Ricci curvature bound is a Lie group. The other asserts that the dimension of any limit space is the same everywhere.

1. Introduction

We begin by giving two almost equivalent versions of the main Hölder continuity result for tangent cones. After having done this we discuss and prove various of the almost immediate consequences. In the final subsection of this introduction we describe the examples that show that almost all of these results are sharp, including the Hölder behavior in the main theorem.

1.1. *Hölder continuity of tangent cones.* Let M be a complete n -dimensional manifold with

$$(1.1) \quad \text{Ric}_M \geq -(n-1),$$

and suppose that $\gamma : [0, \ell] \rightarrow M$ is a (unit speed) minimizing geodesic. Our main theorem is the following result, which has two essentially equivalent formulations. (The second formulation concerns limit spaces and will be given shortly.)

THEOREM 1.1 (Hölder continuity of geometry of small balls with same radius). *There exist $\alpha(n)$, $C(n)$ and $r_0(n) > 0$ such that given any $\delta > 0$ with $0 < r < r_0\delta\ell$ and $\delta\ell < s < t < \ell - \delta\ell$, then*

$$(1.2) \quad d_{GH}(B_r(\gamma(s)), B_r(\gamma(t))) < \frac{C}{\delta\ell} r |s - t|^{\alpha(n)}.$$

We will see from the proof that $\alpha(n)$ is *effectively* $\frac{1}{2}$, which is to say that the Gromov-Hausdorff approximation (or map) is in fact $\frac{1}{2}$ -Hölder continuous on sets of arbitrarily full measure. Note that α , C , and r_0 in this theorem do not depend on γ or even M .

In fact, this theorem, as everything else in this paper, holds for possibly singular limits of manifolds. To state the theorem for singular limits let us consider a sequence M_i^n of n -dimensional manifolds (possibly collapsing) each satisfying (1.1) and let M_∞ be a Gromov-Hausdorff limit of M_i . (So M_∞ may have lower Hausdorff dimension.) We say a geodesic

$$\gamma : [0, \ell] \rightarrow M_\infty$$

is a limit geodesic if there exists geodesics $\gamma_i : [0, \ell_i] \rightarrow M_i$ with $\ell_i \rightarrow \ell$ such that

$$\gamma_i \rightarrow \gamma,$$

pointwise. Though it is not clear, a geodesic on M_∞ is always a limit geodesic. What will be most important for us is that given any two points $x, y \in M_\infty$ there always exists a limit geodesic connecting them. In fact, we will see that the collection of limit geodesics on M_∞ are in abundance and have all the measure theoretic properties one would hope for (see [Sections 1.4, 1.5](#) and [Appendix A](#)). The main estimate for singular spaces is then

THEOREM 1.2. *[Theorem 1.1](#) holds for limit geodesics in M_∞ .*

If (M_∞, d_∞) is a Gromov-Hausdorff limit, $x \in M_\infty$ and $s_j \rightarrow 0$, then a subsequence of the blows up $(M_\infty, s_j^{-1} d_\infty, x)$ converges in the Gromov-Hausdorff topology to a metric space called a tangent cone at x . If the sequence M_i is noncollapsing, then it was shown in [\[CC97\]](#) that tangent cones are metric cones; however, this is not necessarily the case in the collapsing case (see example 8.95 of [\[CC97\]](#)) and, in fact, tangent cones may not even be polar spaces in the collapsed case; see [\[Men00\]](#). Even for general noncollapsed limits, tangent cones can be nonunique; see [\[CC97\]](#) for examples and cf. [\[Per97\]](#).¹

[Theorem 1.1](#) implies that tangent cones change in a Hölder continuous way even for collapsed limits. Note that this has to be understood in an appropriate way because of the nonuniqueness of the tangent cones. To do this suppose

¹In the Einstein setting uniqueness of tangent cones is unknown.

that $x, y \in M_\infty$ are points in M_∞ with Y_x, Y_y tangent cones in M_∞ centered at x and y , respectively. Then we say Y_x and Y_y come from the same sequence of rescalings if there exists a sequence $s_j \rightarrow 0$ such that

$$(1.3) \quad \begin{aligned} (M_\infty, s_j^{-1}d_\infty, x) &\rightarrow Y_x, \\ (M_\infty, s_j^{-1}d_\infty, y) &\rightarrow Y_y. \end{aligned}$$

Now given a limit geodesic $\gamma : [0, \ell] \rightarrow M_\infty$ we state the main estimate for tangent cones along γ .

THEOREM 1.3 (Hölder continuity of tangent cones). *There exist $\alpha(n)$ and $C(n) > 0$ such that given any $\delta > 0$ with $\delta\ell < s < t < \ell - \delta\ell$ and $Y_{\gamma(s)}, Y_{\gamma(t)}$ tangent cones from the same sequence of rescalings, we have*

$$(1.4) \quad d_{GH}(B_1Y_{\gamma(s)}, B_1Y_{\gamma(t)}) < \frac{C}{\delta\ell} |s - t|^{\alpha(n)}.$$

Here B_1Y_s and B_1Y_t are the unit balls around the ‘cone’ tips.

Remark 1.4. An immediate corollary is that tangent cones from the same sequence of rescalings on γ change continuously; see also [Example 1.15](#).

This theorem and [Examples 4.1](#) and [4.2](#) should be contrasted to a result of Petrunin ([\[Pet98\]](#)) who showed a conjecture of Yu. Burago asserting that for Alexandrov spaces the tangent cones remain the same along the interior of a geodesic. Since the regular set of an Alexandrov space is the collection of points whose cone is Euclidean space, it follows easily from Petrunin’s result that for an Alexandrov space, the regular set is convex.

A useful consequence of the Hölder continuity of the tangent cones that we will use several times is that the set of interior points of a geodesic where the tangent cone is unique and equal to a given metric space is closed relative to the interior. This is the following corollary.

COROLLARY 1.5. *If $\gamma : [0, \ell] \rightarrow M_\infty$ is a limit geodesic and $(Y, 0)$ is a fixed pointed metric space, then*

- (1) *The set of interior points on γ where the tangent cone is unique and equal to Y is closed relative to the interior.*
- (2) *If the set is also dense in the interior, then it is all of the interior.*

Remark 1.6. In fact, the assumption of uniqueness of the tangent cones is not necessary. Relative to any sequence $r_j \rightarrow 0$ the collection of points of γ whose tangent cone from this sequence of rescalings is equal to Y is a closed set.

1.2. *Convexity of the regular set for noncollapsed Einstein limits.* Let M_∞ be a pointed limit of n -dimensional manifolds M_i with $p_i \in M_i$ and

$$(1.5) \quad \text{Vol}(B_1(p_i)) \geq v > 0,$$

$$(1.6) \quad |\text{Ric}_{M_i}| \leq (n - 1).$$

As mentioned above, for noncollapsing limits it was shown in [CC97] (see Theorem 5.2 there) that tangent cones are metric cones of Hausdorff dimension n . A metric cone $C(X)$ with cross-section X is a warped product $(0, \infty) \times_r X$ with warping function $f(r) = r$, $r \in (0, \infty)$. By Theorem 5.2 of [CC97] X is a length space with diameter at most π and dimension equal to $(n - 1)$. The regular set of M_∞ are points where a neighborhood is a smooth Einstein manifold; the complement of the regular set is the singular set. It can be shown (see Theorem 0.3 in [Col97] and cf. [CC97]) that a point is regular if and only if *one* of its tangent cones is isometric to \mathbb{R}^n ; so in this case uniqueness follows. As a first application of Theorem 1.3, we have the following convexity result for the regular set.

THEOREM 1.7 (Convexity of the regular set). *The regular set is convex for any noncollapsed limit of a sequence of n -manifolds with uniformly bounded Ricci curvatures. That is, if M_i are as above and M_∞ is a limit of the M_i 's with $\gamma_\infty : [0, \ell] \rightarrow M_\infty$ a limit geodesic segment in M_∞ such that one point on γ_∞ is regular (possibly an endpoint), then every interior point of γ_∞ is a regular point.*

Note that in Section 3 of [CC00a] a much weaker statement is shown. Namely, there it is shown (see, in particular, Corollary 3.10 in [CC00a]) that in a noncollapsed limit of spaces with a uniform lower Ricci curvature bound, any pair of regular points can be connected by a curve consisting entirely of almost regular points. See [CC00a] for the precise statement. See also the three last paragraphs on page 408 of [CC00a], where it is discussed that one would like to know that \mathcal{R} is connected in the collapsed case.

As a consequence of (1) in Corollary 1.5, we get Theorem 1.7.

Proof of Theorem 1.7. By [Col97] (see also Section 7 of [CC97]) the regular set of a noncollapsed limit of spaces with uniformly bounded Ricci curvatures is an open set. This follows since by [Col97] the following two are equivalent for such a limit:

- (1) A tangent cone at x is \mathbb{R}^n .
- (2) An open neighborhood of x is a $C^{1,\beta}$ Riemannian manifold.

By Corollary 1.5.1 the regular points in γ_∞ are also a closed set, hence the theorem follows. The theorem follows from this together with (1) of Corollary 1.5. □

The following is an effective version of the regular set being convex.

THEOREM 1.8 (Rate of blow-ups along geodesics). *There exist $c(n), r_0(n) > 0$ and $\alpha(n) > 1$ such that if M_∞ is as in [Theorem 1.7](#) with $\gamma_\infty : [0, 2\ell] \rightarrow M_\infty$ a limit minimizing unit speed geodesic with $\ell \leq 1, r \leq r_0\ell$, and*

$$(1.7) \quad d_{GH}(B_r(\gamma_\infty(\ell)), B_r(0)) < \varepsilon r,$$

then for all $0 < s < 1$,

$$(1.8) \quad d_{GH}(B_{cs^{\alpha r}}(\gamma_\infty(s\ell)), B_{cs^{\alpha r}}(0)) < \varepsilon c s^\alpha r.$$

Here $B_t(0) \subset \mathbb{R}^n$ is the Euclidean ball.

Moreover, if all M_i 's are Einstein, then the curvature R blows-up at $\gamma(0)$ at most to the power -2α . That is, after choosing c even smaller we get by combining (1.8) with [\[Col97\]](#) that all balls $B_{cs^{\alpha r}}(\gamma(s))$ are smooth and

$$(1.9) \quad \sup_{B_{cs^{\alpha r}}(\gamma(s))} |R| \leq s^{-2\alpha} r^{-2}.$$

Recall that a ball $B_r(p)$ in a manifold M is said to have *bounded geometry* if the (sectional) curvature R is bounded by r^{-2} and the injectivity radius at p is at least r . The next corollary is, for simplicity only, stated for Ricci flat manifolds, though it holds with obvious changes for Einstein manifolds. Roughly speaking, it says that in an Einstein manifold regions with bounded geometry propagates throughout the manifold (as any pair of points in the manifold obviously can be joined by a minimizing geodesic and thus bounded geometry near one point mean by the corollary bounded geometry near the other).

COROLLARY 1.9. *Given an integer n , there exist constants $\alpha = \alpha(n) \geq 1$ and $C = C(n) > 0$ such that the following holds. Suppose that M^n is a Ricci flat n -manifold and $\gamma : [0, 2L] \rightarrow M$ is a unit speed minimizing geodesic. Then the radius of balls centered at $\gamma(r)$ that have bounded geometry decay at most like $C\left(\frac{r}{L}\right)^{-\alpha}$ from $\gamma(L)$ to $\gamma(0)$.*

[Theorem 1.8](#) follows from iterating the following lemma.

LEMMA 1.10. *Given an integer n , there exist $\varepsilon = \varepsilon(n), \delta = \delta(n), r_0 = r_0(n) > 0$, so that if M^n is a smooth manifold with $|\text{Ric}| \leq \varepsilon$ and $\gamma : [0, 2] \rightarrow M$ is a unit speed minimizing geodesic with $r \leq r_0$ and*

$$(1.10) \quad d_{GH}(B_r(\gamma_\infty(1)), B_r(0)) < \varepsilon r,$$

then

$$(1.11) \quad d_{GH}(B_{\delta r}(\gamma_\infty(1/2)), B_{\delta r}(0)) < \varepsilon \delta r.$$

Proof. [Theorem 1.1](#) above gives the assertion, except with 2ε instead of ε and at ν instead of at $\frac{1}{2}$, where ν is close to 1 depending on ε , but independent of r . By [\[Col97\]](#) (see also Section 7 of [\[CC97\]](#)) the metric on the ball $B_{\frac{r}{2}}(\gamma(\nu))$

is $C^{1,\beta}$ with fixed small scale invariant $C^{1,\beta}$ norm of the difference of the metric and the flat $g_{i,j} = \delta_{i,j}$ Euclidean metric on the ball, provided ε is fixed small. Hence, going to a smaller scale gives ε as opposed to 2ε . Repeating this argument $\frac{1}{2\nu}$ many times yields the claim. \square

We note that there exist a limit M_∞ of a noncollapsing sequence of smooth 4-manifolds M_i^4 with $\text{Ric}_{M_i} \geq 0$ and a unit speed geodesic $\gamma_\infty : [0, 1] \rightarrow M_\infty$ such that $\gamma_\infty(1)$ is a smooth point, but the curvature blows up faster than quadratically at $\gamma_\infty(0)$. This follows from one of the examples in [CNa] that show that there is such a limit where one tangent cone at $\gamma_\infty(0)$ is smooth and another not; cf. also Section 8 of [CC97], [Per97], and Section 4 in this article. In the Ricci flat case the Eguchi-Hanson metrics ([EH78]) show that quadratic blow up of the curvature (and thus linear blow up of the geometry) is the best that one can hope for. This would correspond to that α can be chosen to be 1 in Corollary 1.9. In fact, we conjecture that this is the case.

Conjecture 1.11. α in Corollary 1.9 can be chosen to be 1. (A similar statement should hold for general Einstein manifolds.)

An affirmative answer to this conjecture would have various applications. In particular, it would immediately give the following conjecture.

Conjecture 1.12. If M^n is an open Ricci flat manifold with Euclidean volume growth and one tangent cone at infinity is smooth, then all tangent cones at infinity are smooth. (A similar statement should hold for local tangent cones of noncollapsed limits of Einstein manifolds.)

For later use, we conclude this subsection by mentioning that there is a natural stratification of the singular set of M_∞ based on tangent cones; see top of page 410 of [CC97]. This is valid even in the case of noncollapsed limit of manifolds with a uniform lower Ricci curvature bound. Namely,

$$(1.12) \quad \mathcal{S}_k \equiv \{x : x \text{ is singular and no tangent cone at } x \text{ splits off a } \mathbb{R}^{k+1} \text{ factor}\}.$$

That is, no tangent cone at x is isometric to $\mathbb{R}^{k+1} \times Y$ for some metric space Y . Thus

$$\mathcal{S}_0 \subset \mathcal{S}_1 \subset \dots \subset \mathcal{S}_n.^2$$

1.3. *Branching geodesics and local dimension.* A geodesic is said to be branching if there exists another geodesic that coincide with γ on an open subset, but that at some point the two curves depart (branch) from each other.

²It was shown in [CC97] that $\mathcal{S} = \mathcal{S}_{n-2}$ and that $\dim \mathcal{S}_k \leq k$; hence the conclusion that noncollapsed limits of Einstein manifolds are smooth outside a closed subset of Hausdorff codimension 2. See [Col98], [Che01], [Fuk06], [Gal98], [Wei07] for surveys of these results.

Precisely, there does not exist a common extension of the two geodesics. Obviously, for smooth or even $C^{1,\beta}$ manifolds, branching cannot occur as geodesics are entirely determined by their initial conditions (initial velocity). Even for Alexandrov spaces it follows directly from the Toponogov triangle comparison theorem that geodesics do not branch; see page 384 of [GP91] and [BGP92]. However, for general limits of manifolds with lower Ricci curvature bounds, it is unknown whether or not geodesics can branch in the interior; cf. [CNb]. Some simple branching that potentially could come from one-dimensional pieces have been ruled out in Section 5 of [CC00a]; see the example below. Moreover, we will recall below the known examples of limit spaces that have geodesics that start out tangent and then branch. An immediate corollary of the results above is

COROLLARY 1.13. *If M_∞ is a noncollapsing limit of a sequence of n -manifolds with uniformly bounded Ricci curvature, and if γ_∞ is a branching limit geodesic, then γ_∞ is entirely contained in the singular set of M_∞ .*

In [CC97] and [CC00a] the following two examples of metric spaces were discussed. It was shown there that one of them could in fact occur as a limit space whereas the other could not.

Example 1.14 (The horn and the trumpet; see Example 8.77 of [CC97] and Example 5.5 of [CC00a]). As shown in [CC97], the metric horn Y^5 , with metric

$$(1.13) \quad dr^2 + \left(\frac{1}{2}r^{1+\varepsilon}\right)^2 g^{\mathbb{S}^4},$$

arises as the limit of a collapsing sequence, (M_i^8, g_i) . The trumpet is the space obtained by attaching at the origin, a line segment, $[-j, 0]$, to the horn, Y^5 . It follows from Theorem 5.1 of [CC00a] (see Example 5.5 in [CC00a]), that for no $j > 0$ does the trumpet arise as the limit of a sequence of manifolds with uniform lower Ricci curvature bounds.

For the horn all points have a unique tangent cone. At the tip the tangent cone is equal to the half-line $[0, \infty)$ and all other points have tangent cone equal to \mathbb{R}^5 . The trumpet also has unique tangent cones, but on the entire closed line segment tangent cones are equal to \mathbb{R} . In particular, for the trumpet there are two open (nonempty) subsets so that on one the tangent cone is unique and equal to one Euclidean space and on the other tangent cones are also unique, but equal to a different Euclidean space. It was conjectured in [CC00a] that this should not happen for limits of manifolds with a uniform lower Ricci curvature bound. The fact that the trumpet could not occur as a limit was given as support of this conjecture. However, the tools to show even simple generalizations of the trumpet (e.g., the trumpet cross a torus) cannot arise as a limit have remained elusive. We prove this conjecture in full in the

next subsection, but first let us apply [Theorem 1.3](#) to see that these generalized trumpets cannot occur as limit spaces. The proof of the full conjecture is in the same spirit, if technically more involved.

Example 1.15. Let $Z^5 \equiv [-j, 0] \cup Y^5$ be the trumpet constructed in [Example 1.14](#), and let $X \equiv Z^5 \times M^n$ be the trumpet cross an n -manifold. We claim X cannot arise as a Gromov-Hausdorff limit of manifolds with lower Ricci bounds. To prove this let $x, y \in X$ be points in X such that the tangent cones in a neighborhood of x are unique and equal to \mathbb{R}^{n+1} , while the tangent cones in a neighborhood of y are unique and equal to \mathbb{R}^{n+5} . Let γ be a limit geodesic connecting x and y , and note that the tangent cones at each point of γ are unique and are isometric to either \mathbb{R}^{n+1} or \mathbb{R}^{n+4} , with both arising as tangent cones at some interior point. However, as in the remark following [Theorem 1.3](#), the tangent cones are changing continuously, which is not possible if the tangent cones acquire both of only two possible values.

Horns are examples of length spaces where geodesics that are initially tangent branch, and trumpets are examples of length spaces where geodesic branch at some interior point.

In [\[CNb\]](#) we will construct almost Euclidean limit spaces where geodesics that are initially tangent branch; just like in the example of the horn, but with the additional property that the space is almost maximal.

1.4. Convexity of the regular set in general limits and constant local dimension. In this subsection we will state and prove a convexity result for general limits that follows from our main Hölder continuity result. Once we have that, we are in a position to prove a conjecture of Cheeger-Colding. They conjectured that the dimension of any limit is the same at almost every point; see [Example 1.15](#), where we use [Theorem 1.3](#) to prove this in a simplified setting. To make the general results precise we need to recall the renormalized limit measures and the measured Gromov-Hausdorff convergence. This summarizes some of the results in Section 1 of [\[CC97\]](#). These measures were first constructed by Fukaya, [\[Fuk87\]](#), who used a different argument from the one given in [\[CC97\]](#).

In the noncollapsed case, the limit measure exists without the necessity of passing to a subsequence, or of renormalizing the measure. The unique limit measure is just the Hausdorff measure, \mathcal{H}^n ; see Theorem 5.9 in [\[CC97\]](#). (If, for the sake of consistency, one does renormalize the measure, then one obtains a multiple of \mathcal{H}^n , where as usual, the normalization factor depends on the choice of base point.) However, in the collapsed case the renormalized limit measure on the limit space can depend on the particular choice of subsequence; see [Example 1.24](#) in [\[CC97\]](#).

Let M^n satisfy $\text{Ric}_{M^n} \geq -(n-1)$. Fix p , and define the renormalized volume function by

$$(1.14) \quad \underline{V}(x, r) = \underline{\text{Vol}}(B_r(x)) = \frac{\text{Vol}(B_r(x))}{\text{Vol}(B_1(p))}.$$

Let $(M_i^n, p_i, \underline{V}_i)$ be a pointed sequence of n -dimensional manifolds with reference points p_i and renormalized measures \underline{V}_i defined with respect to these reference points. Combining the proof of Gromov's compactness theorem with a modification of the proof of the theorem of Arzela-Ascoli, one obtains that a subsequence of these metric-measure spaces converges to a metric-measure space. The limit will automatically satisfy a local doubling condition both metrically and measure-wise. (In fact, it satisfies a Bishop-Gromov volume inequality.) See Section 1 of [CC97] for details. The Radon measure ν uniquely defined from such a limit $\underline{V}_i \rightarrow \nu$ is said to be a renormalized limit measure on the Gromov-Hausdorff limit M_∞ .

Suppose that M_∞ is a measured Gromov-Hausdorff limit with renormalized measure ν . If $A \subset M_\infty$ has renormalized measure zero, ie, $\nu(A) = 0$, then for any pair of balls in M_∞ , almost all limit minimal geodesics from one ball to the other intersect A in a set of measure zero. Precisely, we have the following. (It is a direct consequence of the Segment Inequality Theorem 2.6 in [CC00b]; see also Theorem 2.11 in [CC96]. Below we are using the notation from Theorem 2.11.) Let A_1, A_2 be open subsets of M_∞ with $\gamma_{a_1, a_2} : [0, \overline{a_1}, \overline{a_2}] \rightarrow M_\infty$ a limit minimal geodesic connecting a_1 and a_2 , and let

$$(1.15) \quad I_{a_1, a_2}(A) = \inf_{\gamma_{a_1, a_2}} |t : \gamma_{a_1, a_2}(t) \in A|,$$

where $|\cdot|$ denotes the measure of a set and the infimum in (1.15) is taking over all minimal limit geodesics connecting a_1 and a_2 . Equip the product $A_1 \times A_2$ with the product measure $\nu \times \nu$. Then we have the following lemma.

LEMMA 1.16. *If $\nu(A) = 0$, then for $\nu \times \nu$ almost every $(a_1, a_2) \in A_1 \times A_2$, we have*

$$(1.16) \quad |I_{a_1, a_2}(A)| = 0.$$

Proof. Apply Theorem 2.6 of [CC00b] to the indicator function of A . \square

We will also need the notion of a regular point in the collapsed case. We say that $x \in M_\infty$ is a k -regular point if every tangent cone is equal to \mathbb{R}^k . There are examples (see [Men01]) where one, but not all, of the tangent cones

at a point is \mathbb{R}^k , so uniqueness is a nontrivial assumption.³ We will write

$$(1.17) \quad \mathcal{R}_k \equiv \{x : x \text{ is } k\text{-regular}\}$$

for the set of k -regular points and

$$(1.18) \quad \mathcal{R} \equiv \cup_k \mathcal{R}_k$$

for the set of all regular points. The singular set \mathcal{S} is the complement of the regular set, so

$$(1.19) \quad \mathcal{S} = M_\infty \setminus \mathcal{R}.$$

In Theorem 2.1 of [CC97] it is shown that $\nu(\mathcal{S}) = 0$ (and hence \mathcal{R} is dense in M_∞). From this, together with Theorem 1.1 and the segment inequality in form of Lemma 1.16, we conclude

LEMMA 1.17. *For $\nu \times \nu$ almost every point $(a_1, a_2) \in A_1 \times A_2$, there exists a limit minimal geodesic from a_1 to a_2 whose interior lies entirely inside \mathcal{R}_k for some k . That is, the entire interior consists of k -regular for the same k .*

Proof. Applying Lemma 1.16 to $A = \mathcal{S}$ and using the fact that by Theorem 2.1 of [CC97] $\nu(\mathcal{S}) = 0$, it follows that for almost every a_1 and a_2 there is a limit minimal geodesic $\gamma : [0, \ell] \rightarrow M_\infty$ connecting them so that the intersection of γ with the regular set \mathcal{R} has full measure (and hence is dense) in $[0, \ell]$. For any such pair (a_1, a_2) it now follows easily from Corollary 1.5 that if $0 < s < t < \ell$ with $\gamma(s) \in \mathcal{R}_{k_s}$, and $\gamma(t) \in \mathcal{R}_{k_t}$, then $k_s = k_t$.

Namely, it follows from (1) of Corollary 1.5 that for each k , the intersection

$$(1.20) \quad \check{\gamma} \cap \mathcal{R}_k$$

of the interior of the geodesic is closed relative to the interior. Since $k \leq n$ by [Col97] (see also [CC97]), there are at most finitely many \mathcal{R}_k 's that are nonempty. It follows that the intersection of $\mathcal{R} = \cup_k \mathcal{R}_k$ with the interior of γ is closed relative to the interior, and since it is also dense, it follows that the regular set is all of the interior. Since $\{\mathcal{R}_k\}_k$ are all pairwise disjoint, the intersection of each \mathcal{R}_k with the interior of the geodesic is closed, and the union is all of the interior, it now follows that there is only one k so that $\check{\gamma} \cap \mathcal{R}_k$ is nonempty. □

We now have that the dimension of the tangent cone is ν almost everywhere the same. Results of this form were originally proved in the four-dimensional Einstein case in the fundamental works of [BKN89] and [Tia90]. In the general noncollapsed case the result was first proved in [CC97], where the following collapsed version was conjectured to hold as well.

³In the noncollapsing case if one tangent cone is \mathbb{R}^n , then all are; see Theorem 0.3 in [Col97].

THEOREM 1.18. *There is a unique k so that*

$$(1.21) \quad \nu(\mathcal{R} \setminus \mathcal{R}_k) = 0.$$

Combining this with that $\nu(\mathcal{S}) = 0$ by Theorem 2.1 of [CC97], it follows that $\nu(M_\infty \setminus \mathcal{R}_k) = 0$. We call this k the dimension of M_∞ .

Remark 1.19. It is not clear that the dimension of the regular set is equal to the Hausdorff dimension of the limit space.

Proof. This is a consequence of Lemma 1.17 together with the following technical result (see Corollary A.4 below) that we prove in an appendix:

- almost every pair $(x, y) \in M_\infty \times M_\infty$ is in the interior of a limit geodesic; that is, almost every pair lies on a limit geodesic that can be extended as a limit geodesic on both sides.

To see the result now assume there exists k, l such that $\nu(\mathcal{R}_k), \nu(\mathcal{R}_l) > 0$. Then by the segment inequality and the above statement there exists a limit minimizing geodesic γ_∞ that intersects both \mathcal{R}_k and \mathcal{R}_l in the interior while satisfying Lemma 1.17. Hence $k = l$, as claimed. \square

1.5. \mathcal{R}_k is connected and weakly convex, and the isometry group of a limit is a Lie group. We give two more applications of the Hölder continuity here, one pertaining the convexity structure of the regular set and the other to the isometry group of limit spaces. Recall that it was conjectured in Section 4 of [CC00a] that the isometry group for any limit is a Lie group. In fact, it was proven in Section 4 of [CC00a] that the isometry group is a Lie group provided that one could prove that the regular set is connected in a certain weak sense. It was also shown in [CC00a] that in the noncollapsed case the regular set is connected in this sense and, thus, in the noncollapsed case the isometry group is a Lie group.

Now we introduce two notions of convexity. We call a ν -measurable set U a.e.-convex if for $\nu \times \nu$ almost every pair $(x, y) \in U \times U$ it holds that there exists a minimizing geodesic $\gamma \subseteq U$ that connects the two. For instance consider the example $\mathbb{R}^n \setminus \{0\}$.

We also consider the notion of a weakly convex subset. Given two points $x, y \in X$ of a length space X we say that a curve γ connecting them is an ε -geodesic if

$$||\gamma| - d(x, y)| \leq \varepsilon^2 d(x, y).$$

We say that a subset $U \subseteq X$ is weakly convex if the induced length space distance on U is the same as the restricted metric. In other words if $x, y \in U$, then U may not contain a minimizing geodesic connecting x and y , but for each $\varepsilon > 0$, there is an ε -geodesic connecting x and y that is contained completely

inside U . Again, consider the example of $\mathbb{R}^n \setminus \{0\}$. Now we state our convexity theorem.

THEOREM 1.20. *The following hold:*

- (1) \mathcal{R}_k is a.e.-convex.
- (2) \mathcal{R}_k is weakly convex.

Proof. The first statement is just a restatement of [Lemma 1.17](#) and [Theorem 1.18](#). For the second statement, note that the a.e.-convexity of \mathcal{R}_k implies that a.e. $z_0 \in \mathcal{R}_k$ has the property that for almost every $z_1 \in \mathcal{R}_k$, there exists a limit minimizing geodesic γ_{z_0,z_1} connecting z_0 and z_1 such that $\bar{\gamma}_{z_0,z_1} \subseteq \mathcal{R}_k$. Let us denote

$$(1.22) \quad \mathcal{R}_k^c \equiv \{z_0 : \text{for a.e. } z_1 \in \mathcal{R}_k \text{ there exists a minimizing } \gamma_{z_0,z_1} \text{ with } \bar{\gamma} \subseteq \mathcal{R}_k\}$$

as the collection of such z_0 's and

$$(1.23) \quad \mathcal{R}_k^{z_0} \equiv \{z_1 : \exists \gamma_{z_0,z_1} \text{ with } \bar{\gamma}_{z_0,z_1} \subseteq \mathcal{R}_k\},$$

as the corresponding set of z_1 's.

Now let $x, y \in \mathcal{R}_k$ be arbitrary, and for $\varepsilon > 0$ fixed, let us define $r_i \equiv \varepsilon^2 10^{-i}$. We will define $\{x_i\}, \{y_i\}$ in the following manner. Let

$$x_1 \in B_{r_1}(x) \cap \mathcal{R}_k^c, y_1 \in B_{r_1}(y) \cap \mathcal{R}_k^{x_1} \cap \mathcal{R}_k^c,$$

with $\gamma_1 \subseteq \mathcal{R}_k$ a unit speed minimizing geodesic connecting them. Now we define x_{i+1} and y_{i+1} inductively. Given x_i , let

$$x_{i+1} \in B_{r_{i+1}}(x) \cap \mathcal{R}_k^{x_i} \cap \mathcal{R}_k^c,$$

with

$$\gamma_{i+1}^x \subseteq \mathcal{R}_k$$

a minimizing geodesic connecting x_i to x_{i+1} , and similarly given y_i , let

$$y_{i+1} \in B_{r_{i+1}}(y) \cap \mathcal{R}_k^{y_i} \cap \mathcal{R}_k^c,$$

with

$$\gamma_{i+1}^y \subseteq \mathcal{R}_k$$

a minimizing geodesic connecting them. Now we can let γ be the unit speed curve that is the join of the curves $\{\gamma_i^x\}, \gamma_1$ and $\{\gamma_i^y\}$. We have that γ connects x and y with $\gamma \subseteq \mathcal{R}_k$ and

$$(1.24) \quad |\gamma| = \sum (|\gamma_i^x| + |\gamma_i^y|) + |\gamma_1| \leq d(x, y) + \varepsilon^2,$$

as claimed. □

As a simple consequence of this, we have that \mathcal{R}_k is connected. This leads us to our next application, which follows from a mildly more uniform version of [Lemma 1.17](#) and [Theorem 1.20](#). In [\[FY94\]](#) it was shown that for Alexandrov spaces, the isometry group is a Lie group, and in [\[CC00a\]](#) it was shown that for noncollapsed limits of manifold with a uniform lower Ricci curvature bound, the isometry group is a Lie group. In fact, in [Theorem 4.5](#) in [\[CC00a\]](#) it was shown that for general locally compact metric spaces for which the regular set is dense and where each \mathcal{R}_k is connected in a weak sense, then the isometry group is a Lie group. We use a mild generalization of [Theorem 4.5](#) in [\[CC00a\]](#) as well as [Appendices A and B](#) to prove the following.

THEOREM 1.21. *The isometry group of a limit space M_∞ is a Lie group.*

Proof. Let k be the dimension of M_∞ (see [Theorem 1.18](#)) with \mathcal{R}_k the dense collection of k -regular points. Let

$$(1.25) \quad (\mathcal{R}_k)_{\varepsilon,\delta} \subseteq \mathcal{R}_k,$$

be the subset such that $x \in (\mathcal{R}_k)_{\varepsilon,\delta}$ if and only if $\forall 0 < r < \delta$, we have that

$$d_{GH}(B_r(x), B_r^k(0)) < \varepsilon r,$$

where $B_r^k(0)$ is the r -ball in \mathbb{R}^k . To apply [Theorem 4.5](#) of [\[CC00a\]](#) it is enough to find a point $x \in \mathcal{R}_k$ such that almost every $y \in \mathcal{R}_k$ has the property that for every $\varepsilon > 0$, there exist a $\delta > 0$ and a geodesic $\gamma_{x,y}$ connecting x and y such that $\gamma_{x,y} \subseteq (\mathcal{R}_k)_{\varepsilon,\delta}$. (In fact, [Theorem 4.5](#) of [\[CC00a\]](#) requires that such a connectedness property hold for *every* $y \in \mathcal{R}_k$. However, it is easy to check that the proof goes through verbatim if it is only assumed to hold for almost every $y \in \mathcal{R}_k$).

To find such an $x \in \mathcal{R}_k$ we will actually show that a.e. $x \in \mathcal{R}_k$ has this property. In fact, by [Corollary A.4](#) we note that a.e. $x \in \mathcal{R}_k$ has the property that for almost every $y \in \mathcal{R}_k$, we have a limit minimizing geodesic $\gamma_{x,y}$ such that x and y are interior points of a limit minimizing geodesic $\gamma_{x,y}$. So fix such an $x \in \mathcal{R}_k$, and let \mathcal{R}_k^x be the collection of y 's such that there exists such a limit minimizing geodesic $\gamma_{x,y}$. However, the fact that for every $\varepsilon > 0$ there exists a $\delta > 0$ such that $\gamma_{x,y} \subseteq (\mathcal{R}_k)_{\varepsilon,\delta}$ now follows from [Theorem B.1](#). \square

1.6. Examples of noncollapsed limit spaces. Both as a supplement to the main results and to show sharpness of the main results, we construct various new examples of noncollapsed limits with lower Ricci bounds in [Section 4](#).

Although much more restrictive, it is instructive when putting the theorems and examples of this paper into context to begin by looking at Alexandrov spaces. For spaces with such lower sectional bounds, it was conjectured by Burago, and proven by Petrunin [\[Pet98\]](#), that tangent cones on the interior of a minimizing geodesic are isometric. Of course it has been known since [\[CC96\]](#) for limit spaces with only lower Ricci bounds that tangent cones need not be

unique. In particular, there is no hope that tangent cones on the interior of a minimizing geodesic need be unique for limit spaces with only lower Ricci bounds.

However [Theorem 1.2](#) does potentially suggest a version of Burago's conjecture for limit spaces with only lower Ricci bounds. Namely, it is reasonable to ask if tangent cones that come from the same sequence of rescalings are unique on the interior of a minimizing geodesic. [Example 4.1](#) constructs a limit space that shows that this is not the case. More specifically, [Example 4.1](#) is a noncollapsed limit space X with a minimizing limit geodesic $\gamma \subseteq X$ such that at each distinct point of γ the tangent cone is unique. But for any $s \neq t$, we have that the tangent cones at $\gamma(s)$ and $\gamma(t)$ are not isometric. In particular, tangent cones from the same sequence of rescalings along γ are not isometric, and we see that a generalized Burago conjecture for limits with only lower Ricci bounds cannot hold.

Now although two tangent cones $X_{\gamma(s)}$, $X_{\gamma(t)}$ from the same sequence of rescalings on the interior of a minimizing geodesic γ need not be isometric, it does follow from [Theorem 1.2](#) that they change at a continuous rate, in fact at a $C^{\alpha(n)}$ -Hölder rate. It even follows from the proof that the Gromov-Hausdorff map from $B_1X_{\gamma(s)}$ to $B_1X_{\gamma(t)}$ is $C^{\frac{1}{2}}$ bounded on sets of arbitrarily full measure of $B_1X_{\gamma(s)}$. The natural question is whether this is a sharp result, and it might even be hoped that the Gromov-Hausdorff maps can be controlled in a Lipschitz fashion. [Example 4.2](#) shows, however, that this is not the case and that, in fact, the $\frac{1}{2}$ -Hölder exponent is sharp. More specifically, for each $\delta > 0$, [Example 4.2](#) constructs a noncollapsed limit space X_δ with a limit minimizing geodesic $\gamma \subseteq X_\delta$ so that tangent cones from the same sequence of rescalings along γ change at a $C^{1/2}$ -Hölder rate but not at a $C^{1/2+\delta}$ -Hölder rate. Thus we will see that [Theorem 1.3](#) is sharp. In fact, this also has the consequence of showing that the estimates of [Section 2.2](#) are sharp; namely, the estimate $\int_\gamma f_{B_r(\gamma(s))} |\text{Hess}_h|^2 \leq C$ cannot be replaced with the stronger estimate $\int_{B_r(\gamma(s))} |\text{Hess}_h|^2 \leq C$, where h is the parabolic approximation function. If it could, the techniques of [Section 3](#) would show that the Gromov-Hausdorff maps are effectively Lipschitz, which does not hold by the above example.

1.7. Outline of the Proof of [Theorem 1.1](#). The bulk of the rest of the paper deals with the proof that tangent cones change in a Hölder way along a minimizing geodesic, that is, the proof of [Theorem 1.1](#).

Before getting into the actual strategy of the proof let us try to explain why it might be true and, in particular, why it requires substantially new estimates. Consider therefore a minimizing geodesic $\gamma : [0, 1] \rightarrow M$ that is parametrized by unit speed in an n -dimensional manifold M with $\text{Ric}_M \geq -(n-1)$. By the almost splitting theorem of [\[CC96\]](#), if $\delta > 0$ is fixed and

$\delta \leq s < \tau < t \leq 1 - \delta$, then for $r_0 = r_0(\delta, n) > 0$ sufficiently small and r with $r_0 > r > 0$, the ball $B_{\frac{3r}{2}}(\gamma(\tau))$ almost splits. This implies the balls $B_r(\gamma(\tau - \frac{r}{2}))$ and $B_r(\gamma(\tau + \frac{r}{2}))$ are Gromov-Hausdorff close to each other. This allows one to compare balls with different centers, but with same radii, centered along a minimizing geodesic. This is exactly what we would like to do. The downside with this argument is that as the radii become smaller, the distance between the pair of centers of the balls that we can compare become smaller as well. One may think that if we iterate this argument along the geodesic going from $\gamma(s)$ and to $\gamma(t)$, then perhaps we get the desired estimate. The issue is that we would have to iterate this process roughly $\frac{t-s}{r}$ many times, while the error induced from each iteration is roughly r^β , where $\beta(n)$ is a small dimensional constant that comes from the Abresch-Gromoll inequality. Thus, when we iterate this process $\frac{t-s}{r}$ times we get that the scale invariant Gromov-Hausdorff distance between the first and last ball is roughly bounded by $\frac{t-s}{r} r^\beta = (t-s)r^{\beta-1}$, which converges to ∞ as $r \rightarrow 0$, and so in other words blow up. It is therefore clear that this naive argument does not work, rather we need better estimates that we can integrate up from a neighborhood of $\gamma(s)$ to a neighborhood of $\gamma(t)$.

To examine and explain the better estimates that we need, we will need to explain how the almost splitting in [CC96] is proven. The overall strategy of the proof is to first approximate certain distance functions with functions with better properties.⁴ The better property that was needed in [CC96] was an L^2 -bound for the hessian, which the distance functions themselves may not have; see Remark 4.102 in [CC96]. With the hessian bound one can integrate over geodesics and use the outcome in combination with the first variation formula to turn it into information about the Gromov-Hausdorff distance.

Before we explain in more detail the better estimates that we prove here, and how they give us the desired Hölder continuity, let us focus on the crucial L^2 -bound for the hessian of the approximating functions. To see what may be possible let us again examine a minimizing geodesic $\gamma : [0, 1] \rightarrow M$. Obviously, in the interior of the geodesic the distance to one of the endpoints is a smooth function d and the Bochner formula applied to d is simply the matrix Riccati equation. Moreover, a simple argument (which we give in Section 3 and that has roots in an old paper of E. Calabi [Cal67]) shows that

$$(1.26) \quad \int_{\delta}^{1-\delta} |\text{Hess}_d|^2 \leq \frac{C}{\delta}.$$

⁴The basics of this overall strategy was already present in the earlier papers [Col96a]–[Col97].

The key improved hessian estimate that we show is a version of this estimate for the approximating function. Moreover, it is easy to see that if one applies the Cauchy-Schwarz inequality to this hessian bound and integrates along the geodesic, then one get an infinitesimal version of the desired Hölder estimate. Where the Hölder exponent $\frac{1}{2}$ comes from is the Cauchy-Schwarz inequality.

We will next be more specific about the proof of [Theorem 1.1](#) and how it differs from the proofs of the almost maximal, almost metric cone, and almost splitting theorems in [\[Col96a\]](#)–[\[Col97\]](#), [\[CC96\]](#).

[Section 2](#) below contains the new functional estimates of the paper. [Section 2.1](#) is dedicated to a new mean value inequality and the proof of a new excess estimate. Recall that given points $p, q \in M$, we have the excess function

$$(1.27) \quad e(x) \equiv d(p, x) + d(x, q) - d(p, q).$$

Along a minimizing geodesic γ connecting p and q , we have that e takes its minimum value $e|_\gamma \equiv 0$. A simple estimate using the Lipschitz nature of $e(x)$ then gives for $x \in B_r(\gamma(t))$, where $\gamma(t)$ is some interior point of the geodesic, that $e(x) \leq Cr$. It is an important estimate by Abresch and Gromoll that this can be improved to the statement

$$(1.28) \quad e(x) \leq Cr^{1+\alpha(n)},$$

where α is a small dimensional constant and $x \in B_r(\gamma(t))$. This estimate plays a key role in the estimates of [\[CC96\]](#). In the proof of [Theorem 1.1](#) it is important to have an improvement of this estimate. Namely, if we were on a smooth manifold with *bounded curvature*, then we would expect an estimate of the form $e(x) \leq Cr^2$. This is because $e(x)$ would be a smooth function that would obtain a minimum at $\gamma(t)$. In the case of a lower Ricci bound, taking $\alpha \equiv 1$ seems to be a strong statement. However, [Theorem 2.8](#) says that if we only ask for this to hold at most points, then this is possible. More precisely, it is proved that

$$(1.29) \quad \int_{B_r(\gamma(t))} e \leq Cr^2.$$

In fact, combining this with $|\nabla e| \leq 2$ immediately gives a new proof of the Abresch-Gromoll estimate.

[Section 2.2](#) is then focused on building new approximation functions to the distance function $d_p(x)$. In [\[CC96\]](#) a key point is to approximate d_p by a harmonic function h . Although d_p is clearly not smooth, they are able to prove useful estimates on this harmonic approximation. Most importantly, with the help of the Abresch-Gromoll inequality, they prove an estimate on the hessian

$$(1.30) \quad \int_{B_r(\gamma(t))} |\text{Hess}_h|^2 \leq Cr^{-2(1-\alpha)}.$$

Although this estimate blows up with r , it is better than the scale invariant estimate that was proven and used in [Col97]. For our purposes this is not enough; see Section 2.2 for a more detailed explanation. It is important in our situation that we be able to take $\alpha \equiv 1$ in order to get the full L^2 -bound on the hessian; cf. the discussion in the beginning of the subsection. To make improvements in terms of getting a better hessian bound it will be important to consider a new class of approximating functions. Instead of the harmonic approximation to d_p we will consider parabolic approximation. The idea is that instead of approximating a distance function on a ball with a harmonic function, one ought to do better by approximating by the heat equation. The harmonic approximation can then be thought of as the limit when one flows to $t \rightarrow \infty$. By flowing for a relative short amount of time the approximation should resemble the original distance more and yet serve as a regularization. Precisely, we will flow d_p by the heat flow for roughly time r^2 . These functions will turn out to have much better properties than the harmonic approximations. Even so, the estimate with $\alpha \equiv 1$ may fail to hold for some ball $B_r(\gamma(t))$; see Example 4.2. What we will prove in Theorem 2.19 is that it holds for *most* balls $B_r(\gamma(t))$; that is,

$$(1.31) \quad \int_{\gamma} \int_{B_r(\gamma(t))} |\text{Hess}_h|^2 \leq C.$$

See Theorem 2.19 for a more precise statement.

Section 3 is then dedicated to finishing the proof of Theorem 1.1. We begin in Section 3.1 by proving an infinitesimal version of the estimate (mentioned earlier in this subsection), which is itself quite instructive and gives rise to a Jacobi field estimate. To then extend this infinitesimal version and finish the proof of Theorem 1.1 we must actually construct mappings between the balls $B_r(\gamma(s))$ and $B_r(\gamma(t))$ and use the estimates of Section 2 to prove the Gromov-Hausdorff properties of these maps. The map itself will be the gradient flow induced by $-\nabla d_p$. This mapping is of course only a measurable map and, in general, controlling the gradient flow of a Lipschitz function without hessian estimates requires some technical work. The results of Section 3.2 will show that to control this map it is enough to control *nearby* smooth functions. One of the primary technical challenges is to show that *most* geodesics that begin and end near one another remain close. Namely, if $x \in B_r(\gamma(t))$ and $\gamma_{p,x}$ is the geodesic connecting p and x , then it is not at all clear that the geodesics $\gamma_{p,x}(u)$ and $\gamma(u)$ even remain near one another as u varies. In this case the gradient flow mapping may not even map $B_r(\gamma(t))$ near $B_r(\gamma(s))$, much less define a Gromov-Hausdorff approximation. Section 3.3 deals with this issue, which requires essentially every tool developed in this paper. Finally, Section 3.4 finishes the proof of Theorem 1.1.

2. Hessian bounds for approximations of distance functions

Throughout this section (M^n, g) has $\text{Ric} \geq -(n - 1)$ and p, q are points in M with $d(p, q) = d_{p,q} \leq 1$. Obviously, one can consider points further apart by applying the estimates of this section recursively. We will also assume, for simplicity, that M is complete, though these estimates are purely local and this is much stronger than what is needed. We will be dealing often with the functions

- $d^-(x) \equiv d(p, x)$,
- $d^+(x) \equiv d(p, q) - d(q, x)$,
- and the excess function $e(x) \equiv d(p, x) + d(x, q) - d(p, q) \equiv d^-(x) - d^+(x)$.

In the proof of the local almost splitting theorem in Section 6 of [CC96] (see also [Col97]) a key point is to approximate the partial Busemann functions d^+ and d^- by harmonic functions b^\pm on a ball B_r centered at a point of small excess. The key observation for those results is that in B_r the hessian of the functions b^\pm is bounded in a fashion that is better than scale invariant, at least in an L^2 sense. In other words, a scale invariant bound would be that for some constant $C(n)$, one has

$$\int_{B_r} |\text{Hess}_b|^2 \leq C r^{-2}$$

for all $0 < r < 1$,⁵ but in [CC96] the better estimate

$$\int_{B_r} |\text{Hess}_b|^2 \leq C r^{-2+\alpha}$$

is proved for some dimensional $\alpha > 0$. This estimate is enough to prove a local splitting theorem on B_r . This key L^2 -bound on the hessian is then, in those papers, integrated over all geodesic segments within the B_r ball. The resulting integral is integrated once more and used in combination with the first variation formula and turned into estimates on distances.

On the other hand, one can instead take two balls far apart relative to r . In particular, if one takes balls $B_r(x)$ and $B_r(y)$ with say $d(x, y) \geq \delta d_{p,q}$ and tries to compare these, then the hessian being bounded in a way that is better than scale invariant on each r -ball is not enough. In particular, it is not enough to iterate the local splitting theorem. Using this type of argument and the estimates of [CC96], the version of [Theorem 1.1](#) one would obtain is in fact that

$$d_{GH}(B_r(\gamma(s)), B_r(\gamma(t))) \leq C|t - s| r^{\frac{\alpha}{2}}$$

for some small $\alpha > 0$. For any $\alpha < 2$ this cannot be used to compare tangent cones.

⁵The scale invariant bound was used in [Col97].

Therefore, we need a sharper hessian bound that does not degenerate with r . The sharpest one could hope for in this context is an actual L^2 -bound; namely, $\int_{B_r} |\text{Hess}_b|^2 \leq C$. Unfortunately a first difficulty is that [Example 4.2](#) tells us that such a bound can indeed fail under a lower Ricci curvature bound. What will turn out to be true, and is in fact a sharp estimate in its precise form, is that this sort of bound holds *for most* balls B_r along the geodesic. More precisely,⁶ if σ is a minimizing geodesic between x_r and y , then we have an estimate of the form

$$\int_{\delta d_{x,y}}^{\int_{\delta d_{x,y}}^{(1-\delta)d_{x,y}}} \int_{B_r(\sigma(t))} |\text{Hess}_b|^2 \leq C.$$

Actually, this estimate only holds for a different comparison function. In fact, instead of considering the harmonic approximations of d^\pm , we will consider parabolic approximations by flowing d^\pm by the heat equation some chosen amount of time.⁷ For technical reasons this allows for certain pointwise estimates that may fail for the harmonic approximation.

The improvement that allowed one to go from the scale invariant bound for the hessian of b in [[Col97](#)] to a better than scale invariant bound in [[CC96](#)], with a dimensional exponent α , came from bringing in the Abresch-Gromoll inequality ([[AG90](#)]) and getting a better average gradient bound than that of [[Col97](#)]. Recall that the Abresch-Gromoll inequality is a bound for the excess of thin triangles. The Abresch-Gromoll inequality was used in [[CC96](#)] in combination with the Laplacian comparison theorem to get an improved bound for the average of the difference between the norm of the gradient of the approximation to the distance function and the norm of the gradient of distance function itself (which is of course 1). To prove our better hessian bound we begin with a mean value inequality that will allow us to get better bound for the norm of the gradient of the approximation. It will also give us a better excess bound. In the second subsection that follows we apply this mean value inequality to get the desired hessian bound.

2.1. Mean value and integral excess inequalities. In this subsection we will record a direct consequence of the mean value inequality for almost sub-solutions of the heat equation on a Riemannian manifold (M^n, g) with a lower Ricci curvature bound $\text{Ric} \geq -(n-1)$. As an application we get an integral inequality for the excess which is sharp. This excess bound, as well as the mean value inequalities, is used in the next subsection when we prove the estimates for the hessian of the approximations of distance functions and in [Section 3](#).

⁶[Theorem 2.19](#) is actually a bit more general.

⁷The chosen time is r^2 , which is the scale invariant amount of time corresponding to balls of radius r .

The next lemma will also be applied in the next subsection to get a good bound for the average of $|\left| \nabla h_t^\pm \right|^2 - 1|$, where h_t^\pm are approximations to the distance functions. This good bound is one of the keys to get the desired hessian bound.

We will below assume M is complete. However, the estimates are purely local and this is not needed.

LEMMA 2.1. *If $u : M \times [0, r^2] \rightarrow \mathbb{R}$ is a nonnegative continuous function $u(x, t) = u_t(x)$ with compact support for each fixed time, $0 < r < R$, and $(\partial_t - \Delta)u \geq -c_0$ in the distribution sense, then*

$$(2.1) \quad \int_{B_r(x)} u_0 \leq c(n, R) [u_{r^2}(x) + c_0 r^2].$$

Remark 2.2. The above more generally gives that

$$\int_{B_r(x)} u_0 \leq c(n, R) \left[\inf_{y \in B_r(x)} u_{r^2}(y) + c_0 r^2 \right],$$

hence an L^1 -Harnack inequality.

The proof relies on the following heat kernel estimate. The estimate is similar in nature to estimates proved by Li-Yau in [LY86], however the nature of the estimate, which is a little more general than those in [LY86], is such that we are required to use different techniques for the proof. Namely, the existence of a good cutoff functions as in [CC96] are required.

LEMMA 2.3. *Let $H_t(x, y)$ be the heat kernel with $0 < r \leq R$ and $t \leq R^2$. Then we have*

- (1) *if $y \in B_{10\sqrt{t}}(x)$, then $\frac{c^{-1}(n, R)}{\text{Vol}(B_{10\sqrt{t}}(x))} \leq H_t(x, y) \leq \frac{c(n, R)}{\text{Vol}(B_{10\sqrt{t}}(x))}$;*
- (2) $\int_{M \setminus B_r(x)} H_t(x, y) dv_g(y) \leq c(n, R) r^{-2} t.$

Proof. Let ψ^r be a cutoff function on $B_{20r}(x)$ as in [CC96]. Hence $\psi^r(y) = 1$ on $B_{10r}(x)$, $\psi^r(y) = 0$ outside $B_{20r}(x)$ and we have the estimates $r |\nabla \psi^r|, r^2 |\Delta \psi^r| \leq c(n, R)$. Let

$$\psi_t^r(y) \equiv \int H_t(y, z) \psi^r(z)$$

denote the solution to the heat equation. Then we have that

$$(2.2) \quad \begin{aligned} |\Delta \psi_t^r|(y) &= \left| \int \Delta_y H_t(y, z) \psi^r(z) \right| \\ &= \left| \int \Delta_z H_t(y, z) \psi^r(z) \right| = \left| \int H_t(y, z) \Delta \psi^r(z) \right| \leq cr^{-2}, \end{aligned}$$

where we can interchange the laplacians because ψ^r has compact support. Thus we get that

$$(2.3) \quad |\psi_t^r(y) - \psi^r(y)| \leq \int_0^t |\Delta \psi_s^r| ds \leq cr^{-2}t.$$

In particular, if we then take $t_r = \frac{1}{2c}r^2$, we get that $|\psi_{t_r}^r(x) - 1| \leq \frac{1}{2}$, and hence we get the two equations

$$(2.4) \quad \frac{1}{2} \leq \int H_{t_r}(x, z) \psi^r(z) \leq \int_{B_{20r}(x)} H_{t_r}(x, z),$$

$$(2.5) \quad \int_{B_{20r}(x)} H_{t_r}(x, z) \leq \int H_{t_r}(x, z) \leq 1.$$

In particular, we find that there must be at least one point $z \in B_{20r}(x)$ such that $\frac{1}{2Vol(B_{10\sqrt{t}}(x))} \leq H_{t_r}(x, z) \leq \frac{2}{Vol(B_{10\sqrt{t}}(x))}$. However, a straightforward application of the Li-Yau Harnack inequality ([LY86]) now proves the first statement for $t = r^2$ and any $y \in B_{10r}(x)$.

To prove the second statement the setup is similar. In this case let $\phi(y) = 1 - \psi^r(y)$ where ψ^r is now a cutoff function like above with $\psi^r(y) = 1$ in $B_{r/2}(x)$ and $\psi^r(y) = 0$ outside $B_r(x)$. If we let ϕ_t denote the solution of the heat equation, then the same argument as above gives that

$$\phi_t(x) \leq c(n, R) r^{-2}t.$$

Finally this gives us that

$$(2.6) \quad \int_{M \setminus B_r(x)} H_t(x, y) dv_g(y) \leq \int H_t(x, y) \phi(y) dv_g(y) = \phi_t(x) \leq cr^{-2}t,$$

as claimed. □

Now we can finish [Lemma 2.1](#)

Proof of Lemma 2.1. Differentiating, using the heat equation, in particular, that H is a fundamental solution, and integrating by parts yields

$$(2.7) \quad \begin{aligned} \frac{d}{ds} \left(\int u(y, s) H(x, y, r^2 - s) dy \right) &= \int \partial_t u H - \int u \partial_t H = \int \partial_t u H - \int u \Delta H \\ &= \int H (\partial_t - \Delta) u \geq -c_0 \int H = -c_0. \end{aligned}$$

Since $u(x, r^2) = \lim_{s \rightarrow r^2} \int u(y, s) H(x, y, r^2 - s) dy$, the claim follows by integration, provided

$$(2.8) \quad \int u(y, 0) H(x, y, r^2) dy \geq c \int_{B_r(x)} u(y, 0) dy.$$

This however follows by [Lemma 2.3](#) since $u \geq 0$ and

$$(2.9) \quad \inf_{B_r(x)} H(x, \cdot, r^2) \geq \frac{c}{\text{Vol}(B_r(x))}.$$

□

Applying [Lemma 2.1](#) to a function that is constant in time gives (cf. Theorem 9.22 in [\[GT83\]](#)).

COROLLARY 2.4. *If $u \in C_c(M)$ is a nonnegative function with $\Delta u \leq c_0$ in the distributional sense, then for each $x \in M$ and $0 < r \leq R$,*

$$(2.10) \quad \int_{B_r(x)} u \leq c(n, R) [u(x) + c_0 r^2].$$

Remark 2.5. The above more generally gives

$$\int_{B_r(x)} u_0 \leq c(n, R) [\inf_{y \in B_r(x)} u_{r^2}(y) + c_0 r^2],$$

hence an L^1 -Harnack inequality.

To use the above to prove the integral excess inequality we need good cutoff functions, which follows from [\[CC96\]](#) and a standard covering argument. For a closed subset $C \subseteq M$ and $0 < r_0 < r_1$, we define the annulus $A_{r_0, r_1}(C) \equiv T_{r_1}(C) \setminus T_{r_0}(C)$, where $T_r(C)$ is the r -tubular neighborhood of C .

LEMMA 2.6. *For every $0 < r_0 < 10r_1 \leq R$, there exists a function $\psi : A_{r_0, r_1}(C) \rightarrow \mathbb{R}$ such that*

- (1) $\psi \geq 0$ with $\psi(x) = 1$ for $x \in A_{3r_0, r_1/3}(C)$ and $\psi(x) = 0$ for $x \notin A_{2r_0, r_1/2}(C)$.
- (2) $|\nabla\psi| \leq c(n, R) r_0^{-1}$ and $|\Delta\psi| \leq c(n, R) r_0^{-2}$ in $A_{2r_0, 3r_0}(C)$.
- (3) $|\nabla\psi| \leq c(n, R) r_1^{-1}$ and $|\Delta\psi| \leq c(n, R) r_1^{-2}$ in $A_{r_1/3, r_1/2}(C)$.

Proof. Let $\{x_i\} \in A_{3r_0, r_1/3}(C)$ be some maximal subset of points such that $B_{r_i/16}(x_i)$ are disjoint, where $r_i = d(x_i, C)$. By maximality $B_{r_i/4}(x_i)$ cover $A_{3r_0, r_1/3}(C)$. Also if two balls at x_i, x_j overlap, then the ratio of r_i and r_j is bounded by 4, hence the usual volume comparison arguments tell us that the collection $\{B_{r_i/2}(x_i)\}$ overlap at most $c(n, R)$ times at any point.

It follows from Theorem 6.33 of [\[CC96\]](#) that we can construct nonnegative functions $\psi_i : M \rightarrow \mathbb{R}$ with compact support and with $\psi_i = 1$ on $B_{r_i/4}(x_i)$, $\psi_i = 0$ outside $B_{r_i/2}(x_i)$ and $r_i |\nabla\psi_i|, r_i^2 |\Delta\psi_i| \leq c(n, R)$.

Consider first the function

$$\bar{\psi}(x) = \sum \psi_i(x).$$

We have that $\bar{\psi}(x)$ vanishes for $x \notin A_{2r_0, r_1/2}(C)$ and satisfies the sought after bounds as the supports of each ψ_i intersect each point at most $c(n, R)$ times.

Further, since $\{B_{r_i/2}(x_i)\}$ cover $A_{3r_0, r_1/3}(C)$, we have for $x \in A_{3r_0, r_1/3}(C)$ that $1 \leq \bar{\psi}(x) \leq c(n, R)$, and so if we let $f : [0, \infty) \rightarrow \mathbb{R}$ be a fixed smooth function such that $f(s) = 0$ for s near zero and $f(s) = 1$ for $s \geq 1$, then $\psi(x) = f(\bar{\psi}(x))$ is our desired function. \square

In the next section we will use [Lemma 2.1](#) in combination with the following lemma.

LEMMA 2.7. *If h solve the heat equation, $\phi \geq 0$ has compact support and is time independent, $|\phi|, |\nabla\phi|, |\Delta\phi| \leq K_1$, and $|\nabla h| \leq K_2$ on $\{\phi > 0\}$, then $(\partial_t - \Delta)[|\nabla h|^2 \phi^2] \leq c = c(n, K_1, K_2)$.*

Proof. By the elementary inequality $2ab \leq a^2 + b^2$ and since $|\nabla|\nabla h|^2|^2 \leq 4|\text{Hess}_h|^2|\nabla h|^2$, we have

$$(2.11) \quad 4\phi|\langle \nabla|\nabla h|^2, \nabla\phi \rangle| \leq 2\varepsilon\phi^2|\nabla|\nabla h|^2|^2 + \frac{2}{\varepsilon}|\nabla\phi|^2 \leq 8\varepsilon\phi^2|\nabla h|^2|\text{Hess}_h|^2 + \frac{2}{\varepsilon}|\nabla\phi|^2.$$

Choose $\varepsilon > 0$ so small so that $8K_1^2K_2^2\varepsilon \leq 2$. Then by the Botcher formula, we have

$$(2.12) \quad \begin{aligned} \Delta[|\nabla h|^2 \phi^2] &= \phi^2 \Delta|\nabla h|^2 + 2\langle \nabla|\nabla h|^2, \nabla\phi^2 \rangle + |\nabla h|^2 \Delta\phi^2 \\ &\geq 2\phi^2|\text{Hess}_h|^2 + 2\phi^2\langle \nabla\Delta h, \nabla h \rangle - 2(n-1)\phi^2|\nabla h|^2 \\ &\quad + 4\phi\langle \nabla|\nabla h|^2, \nabla\phi \rangle + |\nabla h|^2 \Delta\phi^2 \\ &\geq 2\phi^2\langle \nabla\Delta h, \nabla h \rangle - c. \end{aligned}$$

Using that $\Delta h = \partial_t h$, so $\partial_t|\nabla h|^2 = 2\langle \nabla\Delta h, \nabla h \rangle$, and that ϕ is independent of time gives the claim. \square

We finish by stating and proving the integral inequality for the excess, which is one of the main results of this section. Recall that the excess of $p, q \in M$ is the function

$$(2.13) \quad e_{p,q}(x) \equiv d(p, x) + d(x, q) - d(p, q) \geq 0.$$

If $\gamma(t)$ is a minimizing geodesic connecting p and q , then e attains its minimum value $e|_\gamma \equiv 0$ on γ . If M had uniform estimates on its curvature and injectivity radius, then e would be a smooth function near the interior of γ , and one would expect for $x \in B_r(\gamma(t))$ the estimate $e(x) \leq Cr^2$. In the case of only a lower Ricci curvature bound on M this is a lot to ask for. However, an important estimate by Abresh and Gromoll gives

$$(2.14) \quad e(x) \leq Cr^{1+\alpha(n)},$$

where $\alpha(n)$ is a small dimensional constant and $x \in B_r(\gamma(t))$. The next theorem is an improvement of this statement, where we show that even if we cannot take $\alpha \equiv 1$, that in fact we can at *most* points. More precisely,

THEOREM 2.8. *Let $p, q \in M$ with $d_{p,q} \equiv d(p, q) \leq 1$ and $0 < \varepsilon < 1$. If $x \in A_{\varepsilon d_{p,q}, 2d_{p,q}}(\{p, q\})$ satisfies $e(x) \leq r^2 d_{p,q} \leq \bar{r}^2(n, \varepsilon) d_{p,q}$, then*

$$\int_{B_{r d_{p,q}}(x)} e \leq c(n, \varepsilon) r^2 d_{p,q}.$$

Remark 2.9. Let us observe that when combined with the estimate $|\nabla e| \leq 2$, we recover the original Abresch-Gromoll estimate.

Proof. Let ψ be given by the previous lemma where $C \equiv \{p, q\}$. Set $\bar{e} \equiv \psi e$, and note that

$$(2.15) \quad \Delta \bar{e} = \Delta \psi e + 2\langle \nabla \psi, \nabla e \rangle + \psi \Delta e \leq \frac{c(n, \varepsilon)}{d_{p,q}}.$$

This estimate depends on e being appropriately small where $\Delta \psi$ is large and vice versa. We can therefore apply [Corollary 2.4](#) to \bar{e} to get the result. \square

2.2. Parabolic approximation. In this subsection we will show the desired hessian bound $\int_{\delta d_{x,y}}^{(1-\delta)d_{x,y}} \int_{B_{\bar{\varepsilon}(\sigma(t))}} |\text{Hess}|^2 \leq C$ for the parabolic approximation to the distance function. However, before proving that we will need several lemmas.

Let $\psi^\pm : M \rightarrow \mathbb{R}$ be the cutoff functions given by [Lemma 2.6](#) such that for some fixed $\delta > 0$, we have

$$\psi^- = \begin{cases} 1 & \text{on } A_{\frac{\delta}{4}d_{p,q}, 8d_{p,q}}(p) \\ 0 & \text{on } M \setminus A_{\frac{\delta}{16}d_{p,q}, 16d_{p,q}}(p), \end{cases} \quad \psi^+ = \begin{cases} 1 & \text{on } A_{\frac{\delta}{4}d_{p,q}, 8d_{p,q}}(q) \\ 0 & \text{on } M \setminus A_{\frac{\delta}{16}d_{p,q}, 16d_{p,q}}(q), \end{cases}$$

and let $\psi = \psi^+ \psi^-$. Set

$$(2.16) \quad M_{r,s} \equiv A_{rd_{p,q}, sd_{p,q}}(p) \cap A_{rd_{p,q}, sd_{p,q}}(q),$$

and let h_t^\pm and e_t be solutions to the heat equation $(\partial_t - \Delta) = 0$ on M with $h_0^\pm = \psi d^\pm$, $e_0 = \psi e$. In particular, $h_0^\pm = d^\pm$, $e_0 = e$ on $M_{\delta/4, 8}$, and by uniqueness $e_t = h_t^- - h_t^+$.

We start with the following lemma.

LEMMA 2.10. *There exists $c(n, \delta)$ such that*

$$(2.17) \quad \Delta h_t^-, \Delta e_t, -\Delta h_t^+ \leq \frac{c(n, \delta)}{d_{p,q}}.$$

Proof. We prove it for e_t ; the proof can be repeated verbatim for the others. Note first that

$$(2.18) \quad \Delta e_0 = e \Delta \psi + 2\langle \nabla \psi, \nabla e \rangle + \psi \Delta e \leq \frac{c(n, \delta)}{d_{p,q}}.$$

This inequality holds distributionally, and because e_0 has compact support it holds distributionally on functions that themselves may not have compact support. Now if $H_t(x, y) = H(x, y, t)$ is the heat kernel, then $e_t(x) = \int_{M_{\delta/16,16}} H_t(x, y) \psi(y) e(y) dv_g(y)$. Thus we get

$$\begin{aligned}
 (2.19) \quad \Delta e_t(x) &= \int_{M_{\delta/16,16}} \Delta_x H_t(x, y) \psi(y) e(y) dy \\
 &= \int_{M_{\delta/16,16}} \Delta_y H_t(x, y) \psi(y) e(y) dy \leq \frac{c(n, \delta)}{d_{p,q}}. \quad \square
 \end{aligned}$$

From this we get

LEMMA 2.11. *There exists $c(n, \delta)$ such that for each $\varepsilon \leq \bar{\varepsilon}(n, \delta)$ and $x \in M_{\delta/2,4}$, the following holds for each $y \in B_{10d_\varepsilon}(x)$, where $d_\varepsilon = \varepsilon d_{p,q}$:*

- (1) $|e_{d_\varepsilon^2}(y)| \leq c(\varepsilon^2 d_{p,q} + e(x))$.
- (2) $|\nabla e_{d_\varepsilon^2}(y)| \leq c\left(\varepsilon + \frac{\varepsilon^{-1}e(x)}{d_{p,q}}\right)$.
- (3) $\left|\frac{d}{dt} e_{d_\varepsilon^2}(y), |\Delta e_{d_\varepsilon^2}(y)|\right| \leq c\left(\frac{1}{d_{p,q}} + \frac{\varepsilon^{-2}e(x)}{d_{p,q}^2}\right)$.
- (4) $\int_{B_{d_\varepsilon}(y)} |\text{Hess}_{e_{d_\varepsilon^2}}|^2 \leq c\left(\frac{1}{d_{p,q}} + \frac{\varepsilon^{-2}e(x)}{d_{p,q}^2}\right)^2$.

Remark 2.12. In particular, if we have the pointwise estimate $e(x) \leq \varepsilon^2 d_{p,q}$, then on $B_{\varepsilon d_{p,q}}(x)$ we have the inequalities $|e_{d_\varepsilon^2}| \leq c\varepsilon^2 d_{p,q}$, $|\nabla e_{d_\varepsilon^2}| \leq c\varepsilon$, and $\left|\frac{d}{dt} e_{d_\varepsilon^2}, |\Delta e_{d_\varepsilon^2}, \int_{B_{d_\varepsilon}} |\text{Hess}_{e_{d_\varepsilon^2}}|^2\right| \leq \frac{c}{d_{p,q}}$.

Proof. It follows from the previous lemma that

$$(2.20) \quad \Delta e_t(x) \leq \frac{c}{d_{p,q}}.$$

By the definition of the heat equation this means that

$$(2.21) \quad e_t(x) = e_0(x) + \int_0^t (\Delta e_s) ds \leq e(x) + \frac{c}{d_{p,q}}t.$$

Hence, for all $t \in [d_\varepsilon^2/4, 4d_\varepsilon^2]$, we get that

$$(2.22) \quad e_t(x) \leq e(x) + c\varepsilon^2 d_{p,q}.$$

In particular, we can apply the Li-Yau Harnack inequality ([LY86]) for $t = d_\varepsilon^2$ and $y \in B_{10d_\varepsilon}(x) = B_{10\sqrt{t}}(x)$ to get that there is a constant $c(n, \delta)$ such that $e_{d_\varepsilon^2}(y) \leq c(\varepsilon^2 d_{p,q} + e(x))$, which proves the first statement. To see the third statement observe first that the two claims it consists of are equivalent since e_t satisfies the heat equation. Using this the third statement follows from the Li-Yau gradient estimate combined with the previous lemma and the first statement. The second statement follows from the first statement together with the equivalence of the inequalities in the third statement, the previous lemma, and the Li-Yau gradient estimate [LY86]. The final statement

is proved using a Bochner formula as in [CC96] (see pages 217–218 and 228 there), [Col96a]–[Col97], and also Theorem 2.19. \square

We can now begin to estimate the approximation functions h^\pm themselves.

LEMMA 2.13. *There exists $c(n, \delta)$ such that for every $\varepsilon \leq \bar{\varepsilon}(n, \delta)$ and $x \in M_{\delta/2,4}$, we have*

$$(2.23) \quad |h_{d_\varepsilon^\pm}^\pm - d^\pm|(x) \leq c(\varepsilon^2 d_{p,q} + e(x)).$$

Remark 2.14. A first important difference between the parabolic approximations h^\pm and the harmonic approximations of [CC96], [Col97] is that the error term above is controlled *pointwise* by e , as opposed to the L^∞ -norm of e on $B_{\varepsilon d_{p,q}}(x)$.

Proof. As in the proof of the last lemma we see that because

$$(2.24) \quad \Delta h^- \leq \frac{c}{d_{p,q}},$$

and

$$(2.25) \quad -\frac{c}{d_{p,q}} \leq \Delta h^+,$$

then from Lemma 2.10 we immediately get for every $x \in M_{\delta/2,4}$ that

$$(2.26) \quad h_{d_\varepsilon^-}^-(x) \leq d^-(x) + c\varepsilon^2 d_{p,q}$$

and

$$(2.27) \quad d^+(x) - c\varepsilon^2 d_{p,q} \leq h_{d_\varepsilon^+}^+(x).$$

These are equivalent to $h_{d_\varepsilon^-}^-(x) - d^-(x) \leq c\varepsilon^2 d_{p,q}$ and $-(h_{d_\varepsilon^+}^+(x) - d^+(x)) \leq c\varepsilon^2 d_{p,q}$. The reverse inequalities follow from

$$(2.28) \quad h_{d_\varepsilon^-}^-(x) - d^-(x) = h_{d_\varepsilon^+}^+(x) - d^+(x) + e_{d_\varepsilon^2}(x) - e(x),$$

since by the last lemma,

$$(2.29) \quad |e_{d_\varepsilon^2}| \leq c(\varepsilon^2 d_{p,q} + e(x)). \quad \square$$

An obvious, but important, corollary of the last lemma is that $h_{d_\varepsilon^\pm}^\pm$ and d^\pm are automatically close at x when the excess $e(x)$ is small. More generally, we would like to prove smallness results along ε -geodesics. Recall that an ε -geodesic between p and q is simply a unit speed curve σ such that $|\sigma| - d(p, q) \leq \varepsilon^2 d_{p,q}$. The following obvious lemma tells us the basics of what we need to know about ε -geodesics.

LEMMA 2.15. *The following statements hold:*

- (1) *Let σ be an ε -geodesic connecting p and q , and let $z \in \sigma$. Then $e(z) \leq \varepsilon^2 d_{p,q}$.*
- (2) *Let $x \in M$ such that $e(x) \leq \varepsilon^2 d_{p,q}$. Then there exists an ε -geodesic σ such that $x \in \sigma$.*

We can now prove the promised corollary.

COROLLARY 2.16. *There exists $c(n, \delta)$ so that for every ε -geodesic σ connecting p, q , and any $x \in \sigma \cap M_{\delta/2,4}$,*

$$(2.30) \quad |h_{d_\varepsilon^\pm} - d^\pm| \leq c\varepsilon^2 d_{p,q}.$$

This can equivalently be stated that for each t with $\frac{\delta}{2}d_{p,q} < t < (1 - \frac{\delta}{2})d(p, q)$, we have that

$$(2.31) \quad |h_{d_\varepsilon^\pm}(\sigma(t)) - t| \leq c\varepsilon^2 d_{p,q}.$$

Proof. By [Lemma 2.13](#), and because σ is unit speed, the statements hold for each z with $e(z) \leq \varepsilon^2 d_{p,q}$ and $z \in M_{\delta/2,4}$. However, by [Lemma 2.15](#), the excess estimate does, in fact, hold for each $z \in \sigma$ as claimed. \square

The next lemma gives a sharp upper bound for the gradient of h^\pm .

LEMMA 2.17. *There exists $c(n, \delta)$ such that for all $x \in M_{\delta/2,4}$ and $\varepsilon \leq \bar{\varepsilon}(n, \delta)$, we have that*

$$(2.32) \quad |\nabla h_{d_\varepsilon^\pm}| \leq 1 + c d_\varepsilon^2.$$

Proof. Note first that

$$(2.33) \quad \begin{aligned} |\nabla h_0^\pm| &\leq |\nabla \psi| d^\pm + \psi |\nabla d^\pm| \leq c(n), \\ |\nabla h_0^\pm| &= 1 \text{ on } M_{\delta/4,8}, \\ |\nabla h_0^\pm| &= 0 \text{ outside } M_{\delta/16,16}. \end{aligned}$$

By the Bochner formula we can choose $c(n)$ so that

$$(2.34) \quad (\partial_t - \Delta)[e^{-ct} |\nabla h_t^\pm|^2] \leq 0.$$

Thus by the parabolic maximum principle and the Li-Yau ([\[LY86\]](#)) upper bound for the heat kernel for all $x \in M_{\delta/2,4}$ and $t \leq 4 d_\varepsilon^2$,

$$(2.35) \quad \begin{aligned} e^{-ct} |\nabla h_t^\pm|^2(x) &\leq \int_{M_{\delta/16,16}} H_t(x, y) |\nabla h_0^\pm|^2(y) \\ &\leq \int_{M_{\delta/4,8}} H_t(x, y) + c \int_{M_{\delta/16,16} \setminus M_{\delta/4,8}} H_t(x, y) \leq 1 + ct. \end{aligned}$$

This implies [\(2.32\)](#) as claimed. \square

We will next combine the above with [Corollary 2.16](#) and [Lemma 2.1](#) to get

THEOREM 2.18. *There exists a constant $c(n, \delta)$ such that for all $\varepsilon \leq \bar{\varepsilon}(n, \delta)$, we have*

$$(1) \text{ If } x \in M_{\delta,2} \text{ with } e(x) \leq \varepsilon^2 d_{p,q}, \text{ then } \int_{B_{10d_\varepsilon}(x)} \left| |\nabla h_{d_\varepsilon^\pm}|^2 - 1 \right| \leq c\varepsilon.$$

(2) If σ is an ε -geodesic connecting p and q , then

$$\int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{10d_\varepsilon}(\sigma(s))} \left| |\nabla h_{d_\varepsilon^\pm}|^2 - 1 \right| \leq c\varepsilon^2.$$

Proof. We will prove the claims for h^- ; the argument for h^+ is the same with obvious changes. Set

$$w_t = 1 + ct - |\nabla h_t^-|^2,$$

where $c(n, \delta)$ is chosen from the last lemma so that $w_t \geq 0$ on $M_{\delta/2,4}$. It follows from Lemma 2.7 that

$$(2.36) \quad (\partial_t - \Delta) [\phi^2 w_t] \geq -c,$$

where $\phi = \phi^+ \phi^-$ and ϕ^\pm are given by Lemma 2.6 similarly to ψ^\pm except $\phi = 1$ on $M_{\delta,2}$ and $\phi = 0$ outside $M_{\delta/2,4}$. By Lemma 2.1 for all $y \in M_{\delta/2,4}$,

$$(2.37) \quad \int_{B_{10\sqrt{t}}(y)} w_t \leq c \left(\inf_{B_{10\sqrt{t}}(y)} w_{2t} + t \right).$$

Set $t = d_\varepsilon^2$. To complete the proof we need to show there is a point in $B_{10d_\varepsilon}(y)$ where w_{2t} is small. To do this let σ be an ε -geodesic connecting p and q . In (1) assume σ is the piecewise geodesic passing through x as in Lemma 2.15. To prove (1) note that by Corollary 2.16,

$$(2.38) \quad \begin{aligned} & |h_{2d_\varepsilon^-}(x) - h_{2d_\varepsilon^-}(\sigma(d_{p,x} - 10d_\varepsilon)) - 10d_\varepsilon| \\ & \leq |d^-(x) - d^-(\sigma(d_{p,x} - 10d_\varepsilon)) - 10d_\varepsilon| + c\varepsilon^2 d_{p,q} = c\varepsilon^2 d_{p,q}. \end{aligned}$$

Combining this with the Cauchy-Schwarz inequality and the fundamental theorem of calculus gives

$$(2.39) \quad \begin{aligned} \int_{d_{p,x}-10d_\varepsilon}^{d_{p,x}} w_{2d_\varepsilon^2} &= \int_{d_{p,x}-10d_\varepsilon}^{d_{p,x}} \left(1 + cd_\varepsilon^2 - |\nabla h_{2d_\varepsilon^-}|^2 \right) (\sigma(s)) ds \leq 10d_\varepsilon \\ &+ cd_\varepsilon^3 - \frac{1}{10d_\varepsilon} \left(\int_{d_{p,x}-10d_\varepsilon}^{d_{p,x}} \nabla_{\dot{\sigma}} h_{2d_\varepsilon^-} ds \right)^2 \\ &= 10d_\varepsilon + cd_\varepsilon^3 - \frac{1}{10d_\varepsilon} \left(h_{2d_\varepsilon^-}(\sigma(d_{p,x})) - h_{2d_\varepsilon^-}(\sigma(d_{p,x} - 10d_\varepsilon)) \right)^2 \\ &\leq 10d_\varepsilon - 10d_\varepsilon + 2c\varepsilon^2 d_{p,q} + c^2\varepsilon^4 d_{p,q}^2 = 2c\varepsilon^2 d_{p,q} + c^2\varepsilon^4 d_{p,q}^2. \end{aligned}$$

In particular, there is some point of $\sigma(d_{p,x} - 10d_\varepsilon, d_{p,x})$ with $w_{2d_\varepsilon^2} \leq c\varepsilon$. From this the first statement follows.

The argument for (2) is similar. As before, by [Corollary 2.16](#) for all s with $\delta d_{p,q} < s < (1 - \delta) d_{p,q}$,

$$(2.40) \quad \left| \left(h_{2d_\varepsilon}^-(\sigma(s)) - h_{2d_\varepsilon}^-(\sigma(\delta d_{p,q})) \right) - (s - \delta d_{p,q}) \right| \leq c\varepsilon^2 d_{p,q}.$$

Arguing as before, we get that

$$(2.41) \quad \int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} w_{2d_\varepsilon}(\sigma(s)) ds \leq c\varepsilon^2 d_{p,q}$$

and

$$(2.42) \quad \begin{aligned} & \int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{10d_\varepsilon}(\sigma(s))} \left| |\nabla h_{d_\varepsilon}^-|^2 - 1 \right| \\ & \leq \int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{10d_\varepsilon}(\sigma(s))} w_{d_\varepsilon} + c\varepsilon^2 d_{p,q} \\ & \leq c \int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \inf_{B_{10d_\varepsilon}(\sigma(s))} w_{2d_\varepsilon} + c\varepsilon^2 d_{p,q} \\ & \leq c \int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} w_{d_\varepsilon}(\sigma(s)) + c\varepsilon^2 d_{p,q} \leq c\varepsilon^2 d_{p,q}. \end{aligned} \quad \square$$

We will next use the above estimates to prove the following main estimate. For convenience, we repeat a few previous estimates to have them collected under one theorem. (Given the estimates above the proof follows very similar arguments in [[Col96a](#)]-[[Col97](#)], [[CC96](#)].)

THEOREM 2.19. *There exists a constant $c(n, \delta)$ such that for all $\varepsilon \leq \varepsilon(n, \delta)$, any $x \in M_{\frac{\delta}{2}, 4}$ with $e(x) \leq \varepsilon^2 d_{p,q}$, and any ε -geodesic σ connecting p and q , there exists $r \in [\frac{1}{2}, 2]$ with*

- (1) $|h_{rd_\varepsilon}^\pm - d^\pm|(x) \leq c\varepsilon^2 d_{p,q}$.
- (2) $\int_{B_{d_\varepsilon}(x)} \left| |\nabla h_{rd_\varepsilon}^\pm|^2 - 1 \right| \leq c\varepsilon$.
- (3) $\int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{d_\varepsilon}(x)} \left| |\nabla h_{rd_\varepsilon}^\pm|^2 - 1 \right| \leq c\varepsilon^2$.
- (4) $\int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{d_\varepsilon}(\sigma(s))} |\text{Hess}_{h_{rd_\varepsilon}^\pm}|^2 \leq \frac{c}{d_{p,q}^2}$.

Proof. (1) is [Corollary 2.16](#) while (2) and (3) are contained in [Theorem 2.18](#). The proof of (4) uses the Bochner formula as in [[CC96](#)] (see also [[Col96a](#)]-[[Col97](#)] for a very similar argument). To begin with, for any $\sigma(s)$ it follows from [Theorem 6.33](#) of [[CC96](#)] that we can construct a cutoff function ϕ such that $\phi(y) = 1$ on $B_{d_\varepsilon}(\sigma(s))$ and vanishes outside $B_{3d_\varepsilon}(\sigma(s))$ while satisfying the estimates $d_\varepsilon |\nabla \phi|, d_\varepsilon^2 |\Delta \phi| \leq c(n)$. Further, let $a(t)$ be a smooth function in time with $0 \leq a \leq 1$ and $a(t) = 1$ for $t \in [\frac{1}{2}d_\varepsilon^2, 2d_\varepsilon^2]$, vanishing for

$t \notin [\frac{1}{4}d_\varepsilon^2, 4d_\varepsilon^2]$ and satisfying $|a'| \leq 10d_\varepsilon^{-2}$. By the Bochner formula and since $(\partial_t - \Delta)h^\pm = 0$, we have

$$(2.43) \quad -\frac{1}{2}(\partial_t - \Delta) (|\nabla h^\pm|^2 - 1) = -\frac{1}{2}(\partial_t - \Delta) |\nabla h^\pm|^2 = |\text{Hess}_{h^\pm}|^2 + \text{Ric}(\nabla h^\pm, \nabla h^\pm).$$

Multiplying by $2a(t)\phi(y)$ and integrating we see that for each t ,

$$(2.44) \quad \begin{aligned} & 2 \int_M a(t)\phi |\text{Hess}_{h_t^\pm}|^2 \\ &= \int_M a(t)\phi \Delta (|\nabla h_t^\pm|^2 - 1) \\ &\quad - 2 \int_M a(t)\phi \text{Ric}(\nabla h_t^\pm, \nabla h_t^\pm) - \int_M a(t)\phi \partial_t (|\nabla h_t^\pm|^2 - 1) \\ &= \int_M a(t) (|\nabla h_t^\pm|^2 - 1) \Delta \phi \\ &\quad - 2 \int_M a(t)\phi \text{Ric}(\nabla h_t^\pm, \nabla h_t^\pm) - \int_M a(t)\phi \partial_t (|\nabla h_t^\pm|^2 - 1). \end{aligned}$$

For the last equality we integrated by parts (in space). It follows that

$$(2.45) \quad \begin{aligned} & 2 \int_{B_{d_\varepsilon}(\sigma(s))} a(t) |\text{Hess}_{h_t^\pm}|^2 \\ &\leq \frac{c}{d_\varepsilon^2} \int_{B_{3d_\varepsilon}(\sigma(s))} \left| |\nabla h_t^\pm|^2 - 1 \right| + 2(n-1) \int_{B_{3d_\varepsilon}(\sigma(s))} |\nabla h_t^\pm|^2 \\ &\quad - \int_{B_{3d_\varepsilon}(\sigma(s))} a(t)\phi \partial_t (|\nabla h_t^\pm|^2 - 1). \end{aligned}$$

Integrating over time, integrating by parts (in time), and using the Bishop-Gromov volume comparison theorem to bound the volume of the ball $B_{3d_\varepsilon}(\sigma(s))$ by the volume of the concentric ball $B_{d_\varepsilon}(\sigma(s))$ yields

$$(2.46) \quad \int_{\frac{1}{2}d_\varepsilon^2}^{2d_\varepsilon^2} \left(\int_{B_{d_\varepsilon}(\sigma(s))} |\text{Hess}_{h_t^\pm}|^2 \right) dt \leq c d_\varepsilon^{-2} \int_{\frac{1}{4}d_\varepsilon^2}^{4d_\varepsilon^2} \left(\int_{B_{3d_\varepsilon}(\sigma(s))} \left| |\nabla h_t^\pm|^2 - 1 \right| + c d_\varepsilon^2 \right) dt.$$

Now this inequality holds for each $s \in [\delta d_{p,q}, (1-\delta)d_{p,q}]$, and hence if we integrate over this interval, we get

$$(2.47) \quad \begin{aligned} & \int_{\frac{1}{2}d_\varepsilon^2}^{2d_\varepsilon^2} \left(\int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{d_\varepsilon}(\sigma(s))} |\text{Hess}_{h_t^\pm}|^2 \right) dt \\ &\leq c d_\varepsilon^{-2} \int_{\frac{1}{4}d_\varepsilon^2}^{4d_\varepsilon^2} \left(\int_{\delta d_{p,q}}^{(1-\delta)d_{p,q}} \int_{B_{3d_\varepsilon}(\sigma(s))} \left| |\nabla h_t^\pm|^2 - 1 \right| + c d_\varepsilon^2 \right) dt. \end{aligned}$$

Hence, for some $r \in [\frac{1}{2}, 2]$ the claim holds for $t = r d_\varepsilon^2$. □

We conclude this section with some estimates, which will be useful in [Section 3](#).

LEMMA 2.20. *Let $x \in M_{\delta,2}$ with σ_x a unit speed minimizing geodesic from p to x . Then for any $\delta \leq s < t \leq d_{p,x}$, the following estimates hold:*

- (1) $\int_{\delta}^{d_{p,x}} \left| |\nabla h_{r^2}^-|^2 - 1 \right| \leq \frac{c(n,\delta)}{d_{p,q}} (e(x) + r^2).$
- (2) $\int_{\delta}^{d_{p,x}} \left| \langle \nabla h_{r^2}^-, \nabla d^- \rangle - 1 \right| \leq \frac{c(n,\delta)}{d_{p,q}} (e(x) + r^2).$
- (3) $\int_s^t \left| \nabla h_{r^2}^- - \nabla d^- \right| \leq \frac{c(n,\delta)\sqrt{t-s}}{\sqrt{d_{p,q}}} (\sqrt{e(x)} + r).$

Proof. (1) and (2) are contained in the proof of [Theorem 2.18](#) above. For (3) note that

$$(2.48) \quad \begin{aligned} |\nabla h^- - \nabla d^-|^2 &= |\nabla h^-|^2 + 1 - 2\langle \nabla h^-, \nabla d^- \rangle \\ &\leq \left| |\nabla h^-|^2 - 1 \right| + 2\left| \langle \nabla h^-, \nabla d^- \rangle - 1 \right|. \end{aligned}$$

Combining this with (1), (2), and the Cauchy-Schwarz inequality gives (3). \square

3. Gromov-Hausdorff approximations

This section is dedicated to completing the proof of [Theorem 1.1](#). Throughout this section (M^n, g) satisfies $\text{Ric} \geq -(n-1)$ and $\gamma : [0, 1] \rightarrow M$ is a unit speed minimizing geodesic with $\gamma(0) = p$ and $\gamma(1) = q$. For points $\gamma(s), \gamma(t) \in \gamma([\delta, 1 - \delta])$. In order to prove [Theorem 1.1](#), we will need to construct a Gromov-Hausdorff map between the balls $B_r(\gamma(s))$ and $B_r(\gamma(t))$. To construct this map we will flow by the gradient of the distance function $-\nabla d_p$. Of course, this gradient flow is not well defined at every point, and the distance function is far from a smooth function, both of which cause certain technical difficulties. These difficulties will be addressed in [Section 3.2](#). Even if these basic difficulties were to be ignored, the most troublesome issue is that if $z \in B_r(\gamma(t))$ and $\gamma_{p,z}$ is a minimizing geodesic connecting p and z , then there is no reason at all $\gamma_{p,z}(u)$ needs to remain near $\gamma(u)$ for u not near t . In this case the gradient flow map does not even map $B_r(\gamma(t))$ near $B_r(\gamma(s))$, much less construct for us a Gromov-Hausdorff map. We will show in [Section 3.3](#) that for a set of large measure in $B_r(\gamma(t))$, the mentioned geodesics $\gamma_{p,z}$ in fact will remain near γ . Finally in [Section 3.4](#) we will finish the proof of [Theorem 1.1](#).

Let us begin with a couple definitions that will be used throughout this section. First let

$$(3.1) \quad \psi_s : M \rightarrow M$$

be the gradient flow defined by $-\nabla d_p$. It is understood that ψ_s is a measurable map that is defined only on a set of full measure. A main technical issue to be dealt with is knowing that *most* points near γ remain near γ under this flow. For this reason we are interested in the following sets.

Definition 3.1. For $0 < s < t < 1$, define the set $\mathcal{A}_s^t(r) \equiv \{z \in B_r(\gamma(t)) : \psi_u(z) \in B_{2r}(\gamma(t-u)) \ \forall 0 \leq u \leq t-s\}$.

So $\mathcal{A}_s^t(r)$ defines the set of points in $B_r(\gamma(t))$ that remain a distance of $2r$ from γ through the gradient flow, at least up until time s . We will show the volume of $\mathcal{A}_s^t(r)$ is a Hölder function of s .

3.1. Hessian bound along a geodesic and consequences. In this short subsection we give an L^2 -bound for the hessian of the distance function to the end point $p = \gamma(0)$ of a minimizing geodesic segment $\gamma : [0, 1] \rightarrow M$. This L^2 -bound holds in a manifold with $\text{Ric} \geq -(n-1)$, and it is an infinitesimal version of the L^2 that we obtained in the previous section. (It should be compared with Theorem 2 of [Cal67] and, in particular, its proof; see, e.g., page 674 there.) As a direct consequence of this we get a bound for the distortion of distances along the geodesic that is the infinitesimal version of the desired Hölder bound. The problem of course is that the bound is infinitesimal, and here “sufficiently small” may depend on the manifold and geodesic in question, which is not terribly useful. The estimates of [Theorem 2.19](#) may be viewed as a nonlocal version of this, and in a sense the entire purpose of these estimates and the constructions of this section are about taking the following basic infinitesimal estimate and making it less local in nature. Nonetheless, this estimate will be directly used in the proof of [Proposition 3.6](#).

LEMMA 3.2. *Let $\gamma : [0, 1] \rightarrow M$ be a minimizing geodesic as above, and let $p = \gamma(0)$ and $q = \gamma(1)$. Then*

$$(3.2) \quad \int_{\delta}^{1-\delta} |\text{Hess}_{d_p}|^2 \leq \frac{c(n)}{\delta}.$$

Proof. If $d_p(x)$ is the distance function to p , then on $\gamma([\delta, 1-\delta])$, we have the estimate

$$|\Delta d_p| \leq \frac{c(n)}{\delta}.$$

The upper bound is the usual comparison principle while the lower bound follows because $d_p(x) + d_q(x)$ obtains a smooth minimum on γ ; hence,

$$\Delta d_p \geq -\Delta d_q \geq -\frac{c(n)}{\delta}$$

on $\gamma([\delta, 1-\delta])$ as claimed. Thus we can integrate the equation

$$\frac{d}{dt} \Delta d_p(\gamma(t)) + |\text{Hess}_{d_p}|^2(\gamma(t)) \leq n-1$$

to get the claim. □

Integrating this lemma and using the Cauchy-Schwarz inequality gives

COROLLARY 3.3. *If J is a Jacobi field on γ that vanishes at p and $s, t \in [\delta, 1 - \delta]$, then*

$$(3.3) \quad 1 - \frac{c(n)}{\sqrt{\delta}} \sqrt{t-s} \leq \frac{|J|(t)}{|J|(s)} \leq 1 + \frac{c(n)}{\sqrt{\delta}} \sqrt{t-s}.$$

Proof. Since $\frac{d}{dt}|J|^2 = \text{Hess}_{d_p}(J, J)$, we get from the lemma that

$$(3.4) \quad \left| \frac{d}{dt} \log |J|^2 \right| \leq |\text{Hess}_{d_p}|,$$

which implies that

$$(3.5) \quad \left| \log \frac{|J|^2(t)}{|J|^2(s)} \right| \leq \int_s^t |\text{Hess}_{d_p}| \leq \sqrt{t-s} \sqrt{\int_\delta^{1-\delta} |\text{Hess}_{d_p}|^2} \leq \frac{c(n)}{\sqrt{\delta}} \sqrt{t-s}.$$

From this the claim easily follows. \square

3.2. The gradient flow. This subsection is dedicated to addressing the issue that we are flowing by the gradient flow of a function that is not smooth. We begin with the next lemma, which in essence tells us that we do not need good estimates on d_p in order to take its gradient flow. Instead, we only need to know that there exists nearby functions for which we have the required estimates. A related estimate was shown in [CC97], though there is the important but subtle difference that here we are controlling the gradient flow map, while in [CC97] the map in question was a projection map. The reasoning behind this difference is that we will need to compare balls over large distances, and a projection map will break down over such distances while the gradient flow will not.

LEMMA 3.4. *Let σ_1, σ_2 be two unit speed geodesics in M , and let $h : M \rightarrow \mathbb{R}$ be a smooth function. Then the following estimate holds:*

$$(3.6) \quad \left| \frac{d}{dt} d(\sigma_1(t), \sigma_2(t)) \right| \leq |\nabla h - \sigma'_1|(\sigma_1(t)) \\ + |\nabla h - \sigma'_2|(\sigma_2(t)) + \inf \int_{\gamma_{\sigma_1(t), \sigma_2(t)}} |\text{Hess}_h|,$$

where \inf is taken with respect to all minimizing geodesics connecting $\sigma_1(t)$ to $\sigma_2(t)$ and the derivative is meant in the sense of forward difference quotients at nondifferentiable points.

Proof. First note that without loss we can assume we are estimating at $t = 0$, and by an approximation argument we can assume that for every s in a small neighborhood of 0, the geodesic from $\sigma_1(s)$ to $\sigma_2(s)$ is unique. We call

these geodesics τ_s and let $l_s \equiv d(\sigma_1(s), \sigma_2(s))$ be their lengths. Now we have the following computation:

$$\begin{aligned}
 (3.7) \quad & \int_0^t \int_0^{l_s} l_s \operatorname{Hess}_h(\dot{\tau}_s, \dot{\tau}_s)(\tau_s(v)) dv ds \\
 &= \int_0^t l_s (\langle \nabla h, \dot{\tau}_s \rangle(\tau_s(l_s)) - \langle \nabla h, \dot{\tau}_s \rangle(\tau_s(0))) \\
 &= \int_0^t l_s (\langle \sigma'_2, \dot{\tau}_s \rangle(\tau_s(l_s)) - \langle \sigma'_1, \dot{\tau}_s \rangle(\tau_s(0))) \\
 &\quad + \int_0^t l_s (\langle \nabla h - \sigma'_1, \dot{\tau}_s \rangle(\tau_s(l_s)) - \langle \nabla h - \sigma'_2, \dot{\tau}_s \rangle(\tau_s(0))) \\
 &= \frac{1}{2} (l_t^2 - l_0^2) + \int_0^t l_s (\langle \nabla h - \sigma'_1, \dot{\tau}_s \rangle(\tau_s(l_s)) - \langle \nabla h - \sigma'_2, \dot{\tau}_s \rangle(\tau_s(0))).
 \end{aligned}$$

Rearranging terms and dividing by t gives

$$\begin{aligned}
 (3.8) \quad & \frac{1}{2t} [d^2(\sigma_1(t), \sigma_2(t)) - d^2(\sigma_1(0), \sigma_2(0))] \leq \frac{1}{t} \int_0^t l_s |\nabla h - \sigma'_1| + \frac{1}{t} \int_0^t l_s |\nabla h - \sigma'_2| \\
 & \quad + \frac{1}{t} \int_0^t \int_0^{l_s} l_s |\operatorname{Hess}_h|(\tau_s(v)) dv ds.
 \end{aligned}$$

Letting t tend to zero and dividing by $d(\sigma_1(0), \sigma_2(0))$ gives the result. □

The next result is the primary use of the scaled segment inequality of [CC96] (see Theorem 2.11 there). This lemma will be combined with the estimates of Theorem 2.19 in order to see that Lemma 3.4 can be applied to control the gradient flow map.

LEMMA 3.5. *Let $t \in (\delta, 1-\delta)$, $0 \leq s \leq t-\delta$, and let $c_s^t : B_r(\gamma(t)) \times B_r(\gamma(t))$ be the characteristic function of the set $\mathcal{A}_s^t(r) \times \mathcal{A}_s^t(r)$. Then we have the following:*

$$\begin{aligned}
 (3.9) \quad & \int_{B_r(\gamma(t)) \times B_r(\gamma(t))} c_s^t(x, y) \left(\int_{\gamma_{\psi_s(x), \psi_s(y)}} |\operatorname{Hess}_h| \right) \\
 & \leq C(n, \delta) r \left(\frac{\operatorname{Vol}(B_r(\gamma(t-s)))}{\operatorname{Vol}(B_r(\gamma(t)))} \right)^2 \int_{B_{5r}(\gamma(t-s))} |\operatorname{Hess}_h|.
 \end{aligned}$$

Proof. We begin with the computation

$$\begin{aligned}
 (3.10) \quad & \int_{B_r(\gamma(t)) \times B_r(\gamma(t))} c_s^t(x, y) \left(\int_{\gamma_{\psi_s(x), \psi_s(y)}} |\text{Hess}_h| \right) \\
 &= \int_{\mathcal{A}_s^t(r) \times \mathcal{A}_s^t(r)} \int_{\gamma_{\psi_s(x), \psi_s(y)}} |\text{Hess}_h| \\
 &\leq C(n, \delta) \int_{\psi_s(\mathcal{A}_s^t(r)) \times \psi_s(\mathcal{A}_s^t(r))} \int_{\gamma_{x, y}} |\text{Hess}_h|,
 \end{aligned}$$

where the last inequality follows from the volume comparison under the gradient flow. Since $\psi_s(\mathcal{A}_s^t(r)) \subseteq B_{2r}(\gamma(t-s))$, by definition we may apply the scaled segment inequality to get

$$\begin{aligned}
 (3.11) \quad & \int_{\psi_s(\mathcal{A}_s^t(r)) \times \psi_s(\mathcal{A}_s^t(r))} \int_{\gamma_{x, y}} |\text{Hess}_h| \\
 &\leq C(n) r \text{Vol}(\psi_s(\mathcal{A}_s^t(r))) \int_{B_{5r}(\gamma(t-s))} |\text{Hess}_h| \\
 &\leq C(n) r \text{Vol}(B_{5r}(\gamma(t-s))) \int_{B_{5r}(\gamma(t-s))} |\text{Hess}_h| \\
 &\leq C(n) r \text{Vol}(B_r(\gamma(t-s)))^2 \int_{B_{5r}(\gamma(t-s))} |\text{Hess}_h|,
 \end{aligned}$$

where the last inequalities follow from volume monotonicity. Finally, by dividing out by $\text{Vol}(B_r(\gamma(t)))^2$ and using volume comparison one more time, we have our result. \square

3.3. Volume comparison. We are now in a position to tackle the technical heart of the construction. The goal of this section is to prove the following proposition, which gives at least some base control over the drifting of points under the gradient flow. In particular, the next proposition tells us that for *most* points $z \in B_r(\gamma(t))$, the minimizing geodesic $\gamma_{p, z}$ remains near γ for a definite amount of time.

PROPOSITION 3.6. *There exists $r_0(n, \delta)$ and $\varepsilon(n, \delta)$ such that if $\delta < t' < t < 1 - \delta$ with $|t - t'| \leq \varepsilon$, then for all $r \leq r_0$ we have*

$$(3.12) \quad \frac{1}{2} \leq \frac{\text{Vol}(\mathcal{A}_{t'}^t(r))}{\text{Vol}(B_r(\gamma(t)))} \leq 2.$$

We will need an improvement on this in the proof of [Theorem 2.19](#), namely that this volume ratio is behaving in a Hölder fashion; but this alone has at least one useful consequence we will quickly discuss. Notice that

$$\text{Vol}(B_r(\gamma(t-t'))) \geq C(n) \text{Vol}(\psi_{t-t'}(\mathcal{A}_{t'}^t(r))) \geq C(n) \text{Vol}(B_r(\gamma(t)))$$

and that by applying [Proposition 3.6](#) to the geodesic $\bar{\gamma}(t) \equiv \gamma(d_{p,q} - t)$ we obtain the reverse inequality

$$\text{Vol}(B_r(\gamma(t))) \geq C(n)\text{Vol}(B_r(\gamma(t - t')))$$

for $|t - t'| \leq \varepsilon(n, \delta)$. Iterating this immediately gives us

COROLLARY 3.7. *There exists $r_0(n, \delta)$ and $C(n, \delta)$ such that for all $s, t \in (\delta, 1 - \delta)$ and for any $r \leq r_0$, we have that*

$$(3.13) \quad C^{-1} \leq \frac{\text{Vol}(B_r(\gamma(s)))}{\text{Vol}(B_r(\gamma(t)))} \leq C.$$

This gives the interesting result that two points in the interior of a limit geodesic are absolutely continuous with respect to the renormalized limit measure relative to one another. There is, in fact, a stronger version of this we will get to shortly. First we finish the proposition.

Proof of [Proposition 3.6](#). Let us fix $t \in (\delta, 1 - \delta)$ and define

$$(3.14) \quad S_t \equiv \{s \in (\delta, 1 - \delta) : \frac{1}{2} < \frac{\text{Vol}(B_r(\gamma(s)))}{\text{Vol}(B_r(\gamma(t)))} < 2 \forall r \leq r_0\},$$

where $r_0 \leq \bar{\varepsilon}(n, \delta)$, where $\bar{\varepsilon}(n, \delta)$ is from [Theorem 2.19](#). We will first claim that there is an $\varepsilon(n, \delta)$ such that $[t - \varepsilon, t + \varepsilon] \subseteq S_t$, which we note is a strictly weaker claim than that of the proposition.

Notice first that since M is a smooth manifold, for all r sufficiently small (depending on M),

$$\frac{\text{Vol}(B_r(\gamma(s)))}{w_n r^n}$$

is uniformly close to one for every s . In particular, it is easy to see that S_t is an open set. We will find $\varepsilon(n, \delta)$ such that $[t - \varepsilon, t + \varepsilon] \cap S_t$ is closed, and then the claim will follow.

To do this we begin by finding the relevant estimates; these will make heavy use of [Theorem 2.19](#) and [Lemma 2.20](#). So let $\varepsilon > 0$ not yet be specified, and let $t' \in \bar{S}_t \cap [t - \varepsilon, t + \varepsilon]$, with either $|t' - t| = \varepsilon$ or with t' being the closest point of $\bar{S}_t \setminus S_t$ to t , where \bar{S}_t is the closure of S_t . Note that $t' \neq t$ by openness. We of course wish to show $t' \equiv t - \varepsilon$ for ε effectively chosen. We can assume without loss of generality that $t' < t$, and get that

$$(3.15) \quad \frac{1}{2} \leq \frac{\text{Vol}(B_r(\gamma(s)))}{\text{Vol}(B_r(\gamma(t)))} \leq 2 \forall s \in [t', t] \text{ and } \forall r \leq r_0.$$

Now recall the excess function $e_{p,q}(x) \equiv d(p, x) + d(x, q) - d(p, q)$, and let

$$(3.16) \quad I_s^r \equiv \int_{B_r(\gamma(t)) \times B_r(\gamma(t))} \int_0^s c_u^t(x, y) \left(\int_{\gamma_{\psi_u(x), \psi_u(y)}} |\text{Hess}_{h_{r,2}}| \right) du dv_g(x) dv_g(y),$$

where $h_{r,2}$ is the parabolic approximation function from [Subsection 2.2](#) and c_u^t is the characteristic function $\mathcal{A}_u^t(r) \times \mathcal{A}_u^t(r)$. Let us define

$$(3.17) \quad T_\eta^r \equiv \left\{ x \in B_r(\gamma(t)) : e_{p,q}(x) \leq \eta^{-1}r^2 \right. \\ \left. \text{and } \int_{\{x\} \times B_r(\gamma(t))} \int_0^{t-t'} c_s^t(x, y) \left(\int_{\gamma\psi_s(x), \psi_s(y)} |\text{Hess}_{h_{r,2}}| \right) \leq \eta^{-1}I_{t-t'}^r \right\},$$

and with $x \in T_\eta^r$, let us define

$$(3.18) \quad T_\eta^r(x) \equiv \left\{ y \in B_r(\gamma(t)) : \int_0^{t-t'} c_s^t(x, y) \left(\int_{\gamma\psi_s(x), \psi_s(y)} |\text{Hess}_{h_{r,2}}| \right) ds \leq \eta^{-2}I_{t-t'}^r \right\}.$$

For the proof of the claim we will end up picking η some fixed small constant, though because we will need it later we will be very explicit about the dependence of ε on the choice of η . Note from the integral excess inequality [Theorem 2.8](#) that

$$(3.19) \quad \frac{\text{Vol}(T_\eta^r)}{\text{Vol}(B_r(\gamma(t)))} \geq 1 - C(n, \delta)\eta,$$

and hence

$$(3.20) \quad \frac{\text{Vol}(T_\eta^r(x))}{\text{Vol}(B_r(\gamma(t)))} \geq 1 - C(n, \delta)\eta \quad \forall x \in T_\eta^r.$$

Note also from [Lemma 3.5](#), [Theorem 2.19](#) and [\(3.15\)](#) that

$$(3.21) \quad I_{t-t'}^r = \int_0^{t-t'} \int_{B_r(\gamma(t)) \times B_r(\gamma(t))} c_u^t(x, y) \left(\int_{\gamma\psi_u(x), \psi_u(y)} |\text{Hess}_{h_{r,2}}| \right) \\ \leq C(n, \delta) r \int_0^{t-t'} \left(\frac{\text{Vol}(B_r(\gamma(t-u)))}{\text{Vol}(B_r(\gamma(t)))} \right)^2 \int_{B_{5r}(\gamma(t-s))} |\text{Hess}_{h_{r,2}}| \\ \leq C(n, \delta) r \int_0^{t-t'} \int_{B_{5r}(\gamma(t-s))} |\text{Hess}_{h_{r,2}}| \\ \leq C(n, \delta) r \sqrt{t-t'} \left(\int_\delta^{1-\delta} \int_{B_{5r}(\gamma(s))} |\text{Hess}_{h_{r,2}}|^2 \right)^{1/2} \\ \leq C(n, \delta) \sqrt{t-t'} r.$$

It follows from [Lemma 2.20](#) that if $x \in T_\eta^r$ and $y \in T_\eta^r(x)$, then for unit speed minimal geodesics σ_x from p to x and τ_s from $\psi_s(x)$ to $\psi_s(y)$, we have

$$(3.22) \quad \int_{t'}^t |\nabla h_{r,2} - \nabla d_p| \leq \eta^{-1/2} C(n, \delta) \sqrt{t-t'} r$$

and

$$(3.23) \quad \int_{t'}^t \int_{\tau_s} c_s^t(x, y) |\text{Hess}_{h_r, 2}| \leq \eta^{-2} C(n, \delta) \sqrt{t - t'} r.$$

Now let us give an imprecise outline of how the proof of the claim will proceed. We wish to estimate the volume of the set of points $z \in B_r(\gamma(t))$ for which $\gamma_{p,z}(u)$ remains near $\gamma(u)$ for all $t' \leq u \leq t$. Volume monotonicity tells us that if this set is large, relative to $\text{Vol}(B_r(\gamma(t)))$, then the volume of $B_r(\gamma(t'))$ is bounded below by the volume of $B_r(\gamma(t))$. The argument will be symmetric in t and t' , and thus we will be able estimate the points $z \in B_r(\gamma(t'))$ for which the geodesics $\gamma_{q,z}$ remain near γ , and hence also bound $\text{Vol}(B_r(\gamma(t)))$ from below by $\text{Vol}(B_r(\gamma(t')))$.

To simplify matters for our outline, let us assume briefly that $\gamma(t) \in T_\eta^r$. Then for any $x \in T_\eta^r(\gamma(t)) \cap T_\eta^r$, we may use (3.22) and (3.23), along with Lemma 3.4, so that we will be able to conclude that

$$d(\gamma_{p,x}(t'), \gamma(t')) < C\eta^{-2}\sqrt{t - t'}r \leq C\eta^{-2}\sqrt{\varepsilon}r.$$

In particular, the minimizing geodesics between $\phi_{t-u}(x) = \gamma_{p,x}(u)$ and $\gamma(u)$ cannot grow in length too quickly, and by fixing $\eta > 0$ and $\varepsilon(n, \delta) > 0$ correspondingly small, we have the desired conclusion of the last paragraph.

The primary issue with this outline is that there is no reason we can assume $\gamma(t) \in T_\eta^r$. Instead, we will connect the points $x \in T_\eta^r$ to $\gamma(t)$ by a piecewise geodesic whose length is not much larger than r . The vertices of this piecewise geodesic will be denoted by $\{x_i\}$, with $x_0 = x$, and will satisfy

$$(3.24) \quad x_{i+1} \in T_\eta^{r_i}(x_i) \cap T_\eta^{r_{i+1}}.$$

It will turn out that this is enough to show that the piecewise geodesics with vertices defined by $\{\psi_{t-u}(x_i)\}$ will also have length roughly equal to r which, in particular, shows the desired conclusion that $\psi_{u-t} = \gamma_{p,x}(u)$ does not stray too far from $\gamma(u)$. Now let us proceed to make this all rigorous.

Let $0 < \mu(n, \delta, \eta) < \frac{1}{10}$ be chosen momentarily with $r_i \equiv \mu^i r$. Let

$$(3.25) \quad x \equiv x_0 \in T_\eta^r$$

be arbitrary, and let us define x_i inductively in two steps as follows. First, given $x_i \in T_\eta^{r_i}$, let

$$(3.26) \quad x_{i+1} \in T_\eta^{r_i}(x_i) \cap T_\eta^{r_{i+1}}.$$

Note that by a simple volume comparison argument using (3.19), if we choose $\mu \equiv \mu(n, \delta)\eta^{\frac{1}{n}}$, with $\mu(n, \delta)$ sufficiently small, then for all $\eta \leq \eta_0(n, \delta)$ sufficiently small the sets $T_\eta^{r_{i+1}}$ and $T_\eta^{r_i}(x_i)$ will have nonempty intersection by their almost maximal volume properties. Hence such a x_{i+1} will always exist.

Now we wish to end this induction after a finite number of steps with a specially chosen last x_I . The claim is that for all I large enough, it automatically holds that we can pick the vertex x_I with the property that

$$(3.27) \quad d(\psi_s(x_I), \gamma(t - s)) \leq \left(1 + \frac{\mu}{10}\right) r_I$$

for all $s \leq \varepsilon(n, \delta, \eta)$. We should note that *a priori* we make and need no claims about effective control over how large I has to be chosen, only that there exists such an I . To see that such an I exists is where the Jacobi estimate of [Lemma 3.3](#) comes in. So let us define

$$(3.28) \quad H_r \equiv \{y \in B_r(\gamma(t)) : d(\psi_s(y), \gamma(t - s)) \leq (1 + 2C(n, \delta)\sqrt{s})r \quad \forall s \leq t - \delta\},$$

where $C(n, \delta)$ in the definition is chosen to be twice the constant from [Lemma 3.3](#). Because ψ_s is a smooth map in a neighborhood of $\gamma([0, 1 - \delta])$, and because Jacobi fields satisfy the estimates of [Lemma 3.3](#), we see that

$$(3.29) \quad \lim_{r \rightarrow 0} \frac{\text{Vol}(H_r)}{\text{Vol}(B_r(\gamma(t)))} = 1.$$

In particular, there exists $\varepsilon(n, \delta, \eta) \equiv \varepsilon(n, \delta)\eta^{\frac{1}{2n}}$ such that for I sufficiently large, we may pick

$$x_I \in T_\eta^{r_I-1}(x_{I-1}) \cap H_r,$$

and hence

$$d(\psi_s(x_I), \gamma(t - s)) \leq \left(1 + \frac{\mu}{10}\right) r_I$$

for all $s \leq \varepsilon(n, \delta, \eta)$ as claimed. Note that although I depends on the manifold and geodesic in question the constant $\varepsilon(n, \delta, \eta)$ does not.

Now let $\sigma(s)$ be the piecewise geodesic with vertices $\{\psi_s(x_i)\}_0^I$, and let $\sigma_i(s)$ be the segments connecting $\psi_s(x_i)$ to $\psi_s(x_{i+1})$. Assume i is such that

$$(3.30) \quad \psi_s(x_{i+1}) \in B_{(1+\mu)r_{i+1}}(\gamma(t - s)),$$

for all $s \leq t - t'$, and let

$$(3.31) \quad s_i \equiv \min\{t - t', \sup\{u : \psi_s(x_i) \in B_{(1+\mu)r_i}(\gamma(t - s)) \forall s \leq u\}\}.$$

Therefore s_i is the maximum s , up to $t - t'$, such that $\psi_s(x_i)$ remains in $B_{(1+\mu)r_i}(\gamma(t - s))$. Now for any such i as in our assumption and all $s \leq s_i$, we have that the characteristic function $c_i^s(x_i, x_{i+1})$ is identically one. Hence by [Lemma 3.4](#) and [equations \(3.22\),\(3.23\)](#), we have that

$$(3.32) \quad \|\sigma_i(s_i) - \sigma_i(0)\| \leq C(n, \delta)\eta^{-2}\sqrt{t - t'}r_i \leq \frac{\mu}{10}r_i,$$

where the last inequality holds so long as $|t - t'| \leq \varepsilon(n, \delta, \eta) \equiv \varepsilon(n, \delta)\eta^{4+\frac{1}{2n}}$ are chosen sufficiently small. In particular, we see that

$$|\sigma_i(s_i)| < \left(1 + \frac{1}{2}\mu\right) r_i,$$

and hence

$$\psi_{s_i}(x_i) \in B_{(1+\mu)r_i}(\gamma(t - s_i)),$$

and thus we have that $s_i \equiv t - t'$. Therefore, we have shown that for all i such that

$$\psi_s(x_{i+1}) \in B_{(1+\mu)r_{i+1}}(\gamma(t - s)) \forall s \leq t - t',$$

we have that

$$\psi_s(x_i) \in B_{(1+\mu)r_i}(\gamma(t - s)) \forall s \leq t - t'.$$

In particular, since this holds for $i = I - 1$, it holds for all i . Hence we have that for all $\eta \leq \eta_0(n, \delta)$, there exists $\mu(n, \delta, \eta) = \mu(n, \delta)\eta^{\frac{1}{n}}$ and $\varepsilon(n, \delta, \eta) = \varepsilon(n, \delta)\eta^{4+\frac{1}{2n}}$ such that if $x \in T_\eta^r$, then $\psi_s(x) \in B_{(1+\mu)r}(\gamma(t - s))$ for all $s \leq t - t'$. This, in particular, implies that

$$(3.33) \quad T_\eta^r \subseteq \mathcal{A}_t^s \forall s \leq t - t'.$$

We are nearly done with the claim. To finish it note that this implies that

$$(3.34) \quad \begin{aligned} \frac{\text{Vol}(B_r(\gamma(t - t')))}{\text{Vol}(B_r(\gamma(t)))} &\geq \frac{1}{(1 + C(n)\mu)^n} \frac{\text{Vol}(B_{(1+\mu)r}(\gamma(t - t')))}{\text{Vol}(B_r(\gamma(t)))} \\ &\geq \frac{1}{(1 + C(n)\mu)^n} \frac{\text{Vol}(\psi_{t'}(T_\eta^r))}{\text{Vol}(B_r(\gamma(t)))} \\ &\geq \frac{1}{(1 + C(n)\mu)^n(1 + C(n)\varepsilon)^n} \frac{\text{Vol}(T_\eta^r)}{\text{Vol}(B_r(\gamma(t)))} \\ &\geq \frac{1 - C\eta}{(1 + C(n)\mu)^n(1 + C(n)\varepsilon)^n}. \end{aligned}$$

Hence, for $\eta(n, \delta)$ sufficiently small, we have that

$$\frac{\text{Vol}(B_r(\gamma(t - t')))}{\text{Vol}(B_r(\gamma(t)))} > \frac{1}{2}.$$

To see the reverse inequality we argue in a verbatim manner with respect to the gradient flow by the function $-\nabla d_q$, which shows that $t' \in S_t$ and hence $t' = t - \varepsilon(n, \delta)\eta^{4+\frac{1}{2n}}$, which proves the claim. The proof of the proposition follows immediately because with $t' = t - \varepsilon$, we see that $T_\eta^r \subseteq \mathcal{A}_t^s$ for all $s \leq \varepsilon$. □

3.4. *Proof of Theorem 1.1.* We can now finish the proof of Theorem 1.1.

Proof of Theorem 1.1. Let us begin by summing up some of the technical constructions obtained in the proof of Proposition 3.6. It was shown that for every $\eta \leq \eta_0(n, \delta)$ and $r \leq r_0(n, \delta)$, there exists $\mu \equiv \mu(n, \delta)$ and $\varepsilon \equiv \varepsilon(n, \delta)$ such that if $x \in T_\eta^r$ and $y \in T_\eta^r \cap T_\eta^r(x)$, then the following hold:

$$(3.35) \quad \psi_s(x) \in B_{(1+\mu\eta^{\frac{1}{n}})r}(\gamma(t-s)) \quad \forall s \leq \varepsilon\eta^{2\frac{1+2n}{n}},$$

$$(3.36) \quad |d(\psi_s(x), \psi_s(y)) - d(x, y)| \leq \mu\eta^{\frac{1}{n}}r \quad \forall s \leq \varepsilon\eta^{2\frac{1+2n}{n}},$$

with the additional property that

$$(3.37) \quad \frac{\text{Vol}(T_\eta^r)}{\text{Vol}(B_r(\gamma(t)))}, \quad \frac{\text{Vol}(T_\eta^r(x))}{\text{Vol}(B_r(\gamma(t)))} \geq 1 - C(n, \delta)\eta.$$

Given this we see that T_η^r is a $C(n, \delta)\eta^{\frac{1}{n}}$ -dense subset. Further, for $s \leq \varepsilon\eta^{2\frac{1+2n}{n}}$, we see that

$$(3.38) \quad \begin{aligned} \text{Vol}(B_r(\gamma(t-s))) &\geq (1 - C(n, \delta)\eta)\text{Vol}(B_{(1+\mu\eta^{\frac{1}{n}})r}(\gamma(t-s))) \\ &\geq (1 - C(n, \delta)\eta)\text{Vol}(\psi_s(T_\eta^r)) \\ &\geq (1 - C(n, \delta)\eta)(1 - C(n, \delta)\eta^{4n+2})\text{Vol}(T_\eta^r) \\ &\geq (1 - C\eta)\text{Vol}(B_r(\gamma(t))), \end{aligned}$$

while we can get the opposite inequality by considering the flow by $-\nabla d_q$. Hence for $s \leq \varepsilon\eta^{2\frac{1+2n}{n}}$, we get

$$(3.39) \quad 1 - C\eta \leq \frac{\text{Vol}(B_r(\gamma(t)))}{\text{Vol}(B_r(\gamma(t-s)))} \leq 1 + C\eta.$$

It follows from the above that

$$\frac{\text{Vol}(\psi_s(T_\eta^r))}{\text{Vol}(B_{(1+\mu\eta^{\frac{1}{n}})r}(\gamma(t-s)))} \geq 1 - C\eta$$

and, in particular, that $\psi_s(T_\eta^r)$ is $C(n, \delta)\eta^{\frac{1}{n}}$ -dense in $B_r(\gamma(t-s))$. Given all this now let $x, y \in T_\eta^r$ be arbitrary points. The volume constraints on T_η^r , $T_\eta^r(x)$ and $T_\eta^r(y)$ guarantee that there exists a point

$$z \in T_\eta^r \cap T_\eta^r(x) \cap T_\eta^r(y) \cap B_{C(n, \delta)\eta^{\frac{1}{n}}}(x).$$

It then follows from [equation \(3.35\)](#) that for $s \leq \varepsilon\eta^{2\frac{1+2n}{n}}$, we have

$$\begin{aligned}
 (3.40) \quad |d(\psi_s(x), \psi_s(y)) - d(x, y)| &\leq |d(\psi_s(z), \psi_s(y)) - d(z, y)| + |d(\psi_s(x), \psi_s(y)) \\
 &\quad - d(\psi_s(z), \psi_s(y))| + |d(x, y) - d(x, z)| \\
 &\leq |d(\psi_s(z), \psi_s(y)) - d(z, y)| + |d(\psi_s(x), \psi_s(z))| \\
 &\quad + |d(x, z)| \leq C\eta^{\frac{1}{n}}.
 \end{aligned}$$

Rearranging and letting $\eta = \varepsilon^{-n} s^{\frac{n}{2(1+2n)}}$, we see that

$$d_{GH}(B_r(\gamma(t)), B_r(\gamma(t-s))) \leq C(n, \delta) s^{\frac{1}{2(1+2n)}},$$

as claimed. □

We quickly note the following corollary of [equation \(3.39\)](#).

COROLLARY 3.8. *For $s, t \in [\delta, 1 - \delta]$ and all $r \leq r_0(n, \delta)$, we have that*

$$(3.41) \quad \left| \frac{\text{Vol}(B_r(\gamma(t)))}{\text{Vol}(B_r(\gamma(t-s)))} - 1 \right| \leq C(n, \delta) |t - s|^{\frac{n}{2(1+2n)}}.$$

4. Examples

In this section we construct new examples of limits of Riemannian manifolds $(M_i^n, g_i, p_i) \rightarrow (X, d_X, p)$ that satisfy $\text{Ric}_i \geq 0$ and a noncollapsing assumption $\text{Vol}(B_1(p_i)) \geq v > 0$. These examples are specifically meant to show the sharpness of the theorems of this paper and will illustrate what can happen along the interior of a minimizing geodesic in a limit space, in fact a limit minimizing geodesic. Specifically, [Example 4.1](#) will exhibit a limit space X with a limit minimizing geodesic γ such that tangent cones from the same sequence of rescalings along γ are not constant. [Example 4.2](#) will push this example further to show that for each $\delta > 0$, there is a limit space such that the rate of change of these tangent cones is not $C^{1/2+\delta}$. The constructions are based on multiply warped products and smoothing.

For Alexandrov spaces, tangent cones are unique and Petrunin ([\[Pet98\]](#)) a conjecture of Yu. Burago asserting that tangent cones at any two points in the interior of a geodesic are isometric. It is far too optimistic to think that such a result should hold for limit spaces with only lower Ricci bounds. For instance, take a limit space $Y \times \mathbb{R}$ where the tangent cone at $p \in Y$ is nonunique. As in [\[CC97\]](#) one can even assume that this is a noncollapsed limit space. If we consider the geodesic $\gamma \equiv \{p\} \times \mathbb{R}$, then clearly the tangent cones at each point along the geodesic are not isometric. However, what does hold is that tangent cones coming from the same sequence of rescalings are all unique. (In fact, we even have that for all $r > 0$ and any $s < t$, $B_r(\gamma(s))$ and $B_r(\gamma(t))$ are isometric.) One might conjecture that analogous to the Alexandrov case, tangent cones

from the same sequence of rescalings are always unique. However, [Example 4.1](#) of this section shows that this is not the case. [Example 4.1](#) is a noncollapsed limit space X with a minimizing geodesic $\gamma \subseteq X$ such that at each point of γ the tangent cone is unique, but for any $s \neq t$, we have that the tangent cones at $\gamma(s)$ and $\gamma(t)$ are not isometric. In particular, tangent cones from the same sequence of rescalings along γ are not isometric, and any form of Burago's conjecture for limits with only lower Ricci bounds must fail.

[Theorem 1.3](#) gives us that tangent cones along the interior of a geodesic of a limit space change at most at a $C^{\alpha(n)}$ -Hölder rate and, in fact, an analysis of the proof shows that *most* points change at a $C^{\frac{1}{2}}$ -Hölder rate. We would now like to see that these estimates are sharp. In particular, let X be a limit space and $\gamma : [a, b] \rightarrow X$ a unit speed limit minimizing geodesic with $r_i \rightarrow 0$ some fixed sequence such that the respective rescalings $(X, r_i^{-1}d_X)$ at each $\gamma(t)$ converge to a limit tangent cone. This gives us a well-defined map $\gamma : [a, b] \rightarrow \mathcal{M}$, where \mathcal{M} is the collection of compact metric spaces, by assigning to each $\gamma(s)$ the closed unit ball $\bar{B}_1(\gamma(s))$ in the tangent cone at $\gamma(s)$. [Theorem 1.3](#) implies that when \mathcal{M} is equipped with the Gromov-Hausdorff metric, this is a $C^{\alpha(n)}$ Hölder continuous map and that for sets of large measure in each tangent cone, there are in fact $C^{\frac{1}{2}}$ -Hölder maps. For each $\delta > 0$, in [Example 4.2](#) we construct a noncollapsed limit space X_δ with a limit minimizing geodesic $\gamma \subseteq X_\delta$ so that this induced map is not $C^{1/2+\delta}$. Thus we will see that [Theorem 1.3](#) is sharp.

Topologically our examples of limit spaces are of the form of $C(S(M))$; that is, the cone over the suspension of a smooth compact manifold M . Generally speaking this will give rise to two singular rays, the cone rays through the suspension points of $S(M)$. It is on these geodesic rays where we will construct limits with bad geodesic behavior.

4.1. Example: nonconstant tangent cones. The purpose of this section is to construct a limit space X with a limit minimizing geodesic γ such that tangent cones coming from the same sequence of rescalings along γ are not constant. We begin by letting $M = \mathbb{S}^3$ be the three sphere, g_0 the round metric of constant curvature 1, and V_1, V_2, V_3 a right invariant orthonormal basis. For any numbers $\{m_1, m_2, m_3\} \in \mathbb{R}$, we can consider the right invariant metric $g^{\mathbb{S}^3}$ on \mathbb{S}^3 defined by $\langle V_j, V_k \rangle_g = e^{2m_j} \delta_{jk}$. If $m_j(r, s)$ are smooth for $r \in (0, \infty)$ and $s \in (0, \pi)$, then we can define a metric on $C(S(\mathbb{S}^3))$ by

$$(4.1) \quad g \equiv dr^2 + a(r)^2 (ds^2 + b(s)^2 g^{\mathbb{S}^3}(r, s)),$$

where $a(r)$ and $b(s)$ are any smooth positive warping factors, which will be chosen later. We will require two constraints on the functions $m_j(r)$. First we require that

$$(4.2) \quad \sum m_j(r, s) = \text{const}$$

be independent of r and s . This has the effect of fixing the volume element for each of the right invariant metrics on \mathbb{S}^3 . We also require that

$$(4.3) \quad \langle g', \dot{g} \rangle = 4 \sum m'_j(r, s) \dot{m}_j(r, s) = 0.$$

This turns out to be a cross term in the Ricci curvature on $C(S(\mathbb{S}^3))$, which we want to vanish to show positivity. Given these two conditions we have the following computation.

LEMMA 4.1. *Let $(C(S(\mathbb{S}^3)), g)$ be a metric as above. Then at any smooth point of $C(S(\mathbb{S}^3))$, we have the following:*

- (1) $\text{Ric}_{rr} = -4\frac{a''}{a} - \sum(m'_k)^2$.
- (2) $\text{Ric}_{ss} = -3\frac{b''}{b} - \sum(\dot{m}_k)^2 + a^2 \left[-\frac{a''}{a} - 3\left(\frac{a'}{a}\right)^2\right]$.
- (3) $\text{Ric}_{jj} = \text{Ric}_{\mathbb{S}^3_{jj}} + e^{2m_j} \left[b^2 \left(-\frac{b''}{b} - 2\left(\frac{\dot{b}}{b}\right)^2 - 3\frac{\dot{b}}{b}\dot{m}_j - m''_j \right) + a^2 b^2 \left(-\frac{a''}{a} - 3\left(\frac{a'}{a}\right)^2 - 4\frac{a'}{a}m'_j - m''_j \right) \right]$.
- (4) $\text{Ric}_{rs} = \text{Ric}_{rj} = \text{Ric}_{sj} = \text{Ric}_{jk} = 0$.

Here $\text{Ric}^{\mathbb{S}^3}$ is the Ricci curvature on the three sphere with the induced right invariant metric $g^{\mathbb{S}^3}(r, s)$.

Now to make appropriate choices of the functions $a(r), b(s)$, we consider the following:

$$(4.4) \quad a(r) = \begin{cases} a_0 r & \text{for } r \leq t_0/2 \\ a_0 r \left(1 - \frac{a_1}{\log(-\log(r_0 r))}\right) & \text{on } r \in [t_0, 1] \\ a_0 r/2 & \text{for } r \geq 2 \\ |a'| \leq 2a_0, a'' < 0 & \text{on } r \in [t_0/2, 2], \end{cases}$$

$$(4.5) \quad b(s) = \begin{cases} \sin(s) & \text{on } s \notin [t_0/4, \pi - t_0/4] \\ \sin(s) \left(1 - \frac{b_1}{\log(-\log(s_0 \sin(s)))}\right) + b_0 & \text{on } s \in [t_0/2, \pi - t_0/2] \\ |\dot{b}| \leq 2, b'' \leq -b/2 & \text{on } s \in [t_0/4, \pi - t_0/4], \end{cases}$$

where $a_0 < 1$ and $0 < a_1, b_1, r_0, s_0, t_0$ are appropriately small constants that will be fixed and $b_0 = o(t_0)$ is chosen below. To see the existence of such functions let us briefly consider $b(s)$; the construction is similar for $a(r)$. In this case if we let $b_0 \equiv \sin(\frac{t_0}{3}) \left(\frac{b_1}{\log(-\log(s_0 \sin(\frac{t_0}{3}))}\right)$, then we can define

$$\bar{b}(s) \equiv \min \left\{ \sin(s), \sin(s) \left(1 - \frac{b_1}{\log(-\log(s_0 \sin(s)))}\right) + b_0 \right\}.$$

We see that \bar{b} satisfies all the requirements of b away from $\frac{t_0}{3}$ and it satisfies the requirements globally in a distributional sense. Hence, we can smoothen \bar{b}

near $\frac{t_0}{3}$ to construct the desired function b . From these functions we have the following lemma.

LEMMA 4.2. *There exist constants $0 < a_0, a_1, b_1, r_0, s_0, m_0$, and $0 < a_2, b_2$ such that for all t_0 sufficiently small, if the $m_j(r, s)$ additionally satisfy*

- (1) $\text{Ric}^{\mathbb{S}^3}(r, s) \geq 1$;
- (2) $m_j \leq -m_0$;
- (3) $|m'_j| \leq \frac{a_2(\frac{1}{r})}{\log(-\log r_0 r)(-\log r_0 r)}$, $|m''_j| \leq a_2 r^{-2}$;
- (4) $|\dot{m}_j| \leq \frac{b_2(\frac{\cos s}{\sin s})}{\log(-\log(s_0 \sin s))(-\log(s_0 \sin s))}$, $|m''_j| \leq b_2 \sin^{-2} s$;
- (5) $m'_j \equiv 0$ for $r \notin [t_0, 1]$ and $\dot{m}_j, m'_j \equiv 0$ for $s \notin [t_0, \pi - t_0]$;

then the induced metric space $(C(S(\mathbb{S}^3)), dr^2 + a(r)^2(ds^2 + b(s)^2 g_{\mathbb{S}^3}(r, s)))$ has nonnegative Ricci curvature at each smooth point. Further, for $s \notin [t_0, \pi - t_0]$, it is isometric to $dr^2 + a^2(r)(S(\mathbb{S}^3, g_e))$, where a is concave and $S(\mathbb{S}^3, g_e)$ represents the suspension over a small ellipse.

Remark 4.3. The key use of the above is that the conditions on m'_j and \dot{m}_j are nonintegrable. This is crucial, in particular, for smoothing out possible limit spaces to actual smooth manifolds. Because $a(r)$ is concave we can modify the metric $dr^2 + a^2(r)(S(\mathbb{S}^3, g_e))$ into a smooth metric near the singular lines.

Proof. We first observe the following computations for all b_1, s_0, r_0, t_0 sufficiently small:

$$(4.6) \quad \frac{a''(r)}{a(r)} \leq \begin{cases} -\frac{a_1}{r^2 \log(-\log(r_0 r))^2(-\log(r_0 r))} & \text{on } r \in [t_0, 1] \\ 0 & \text{on } r \notin [t_0, 1], \end{cases}$$

$$(4.7) \quad \frac{b''(s)}{b(s)} \leq \begin{cases} -1 & \text{on } s \notin [t_0/4, \pi - t_0/4] \\ -\frac{1}{2} - \left(\frac{\cos s}{\sin s}\right)^2 \frac{b_1}{\log(-\log(s_0 \sin s))^2(-\log(s_0 \sin s))} & \text{on } s \in [t_0/2, \pi - t_0/2] \\ -\frac{1}{2} & \text{on } s \in [t_0/4, \pi - t_0/4]. \end{cases}$$

The positivity of Ric_{rr} and Ric_{ss} is thus easy to check from Lemma 4.1, the equations above, and the conditions on m_j with a_2 and b_2 sufficiently small relative to a_1 and b_1 , respectively. To check positivity of the Ric_{jj} term note the inequalities $|a^2 m''_j| \leq a_2$ and $|b^2 m''_j| \leq b_2$ as well as $|a'| \leq 2a_0$ and $|b'| \leq 2$. Combining these with the first condition gives positivity for the Ricci curvature in the \mathbb{S}^3 directions. \square

As an immediate consequence of the above we want to construct a non-collapsed limit space (X, d) with a minimizing geodesic whose tangent cones coming from the same sequence of rescalings are not constant. We pick our

metric functions by the formula

$$m_j(r, s) \equiv \psi_{t_0}(s)m_j(r) - \bar{m}_j,$$

where $\psi_{t_0}(s)$ is a cutoff function that is 1 in $[\frac{t_0}{2}, \pi - \frac{t_0}{2}]$ with support in $[t_0, \pi - t_0]$, $m_j(r)$ are smooth functions of r with support in $[\frac{1}{2}, 1]$, and the \bar{m}_j 's are constants. Now recall that the conditions

$$(4.8) \quad \sum m_j(r, s) = \text{const}, \quad \sum m'_j(r, s)\dot{m}_j(r, s) = 0$$

must be satisfied in order to apply [Lemma 4.1](#). The first condition is equivalent to

$$(4.9) \quad \sum m_j(r) = 0$$

for each r and the second is equivalent to

$$(4.10) \quad \sum m_j^2(r) \equiv \text{const}$$

being independent of r . Hence, we *a priori* have that the $m_j(r)$'s may take values in a circle of possible values.

Now a quick computation tells us that $|m'_j(r, s)| \leq \sum |m'_j(r)|$ and $|\dot{m}_j(r, s)| \leq c|\dot{\psi}|$. Let $m_j(r)$ be fixed and nonconstant with support in $[\frac{1}{2}, 1]$, and let $\bar{m}_j \equiv 2m_0$ be fixed with m_0 sufficiently large as in [Lemma 4.2\(2\)](#) and $m_j(r)$ satisfying the estimates of [Lemma 4.2\(3\)](#). We can thus pick $a(r)$ and $b(s)$ as in [Lemma 4.2](#) such that for all t_0 sufficiently small, there is a cutoff function $\psi_{t_0}(s)$ so that the conditions of [Lemma 4.2](#) are satisfied for the defined $m_j(r, s) \equiv \psi_{t_0}(s)m_j(r) - 2m_0$. Note that the existence of such a ψ_{t_0} follows because the condition on $|\dot{m}_j|$ is nonintegrable. Thus for each $t_0 > 0$ sufficiently small, we have a metric space

$$(C(S(\mathbb{S}^3)), dr^2 + a_{t_0}(r)^2(ds^2 + b_{t_0}^2(s)g^{\mathbb{S}^3}(r, s)))$$

that has nonnegative Ricci curvature at each smooth point. Note that $a_{t_0}(r)$ and $b_{t_0}(s)$ here actually depend on t_0 , though only in a small neighborhood of the singular rays and in the term b_0 , which decays faster than linearly in t_0 . Near the singular rays the metric space has a standard structure from [Lemma 4.2](#), and because $a(r)$ is concave, the metric can be smoothed out to even have positive sectional curvature near the singular ray. In particular, we get a smooth Riemannian manifold with nonnegative Ricci curvature that is homeomorphic to \mathbb{R}^5 .

For each i sufficiently large, take $t_0 \leq i^{-1}$ to produce a smooth space (\mathbb{R}^5, g_i) that is isometric to $(C(S(\mathbb{S}^3)), dr^2 + a_i(r)^2(ds^2 + b_i^2(s)g^{\mathbb{S}^3}(r)))$ outside increasingly small neighborhoods of the singular rays, where $g(r)$ is the family of metrics on \mathbb{S}^3 defined by the metric functions $m_j(r, s) \equiv m_j(r) - 2m_0$ and $t_0 \equiv 0$. That is, $g(r)$ represents the induced metric on \mathbb{S}^3 when $\psi(s) \equiv 1$ is

taken to be identically one and t_0 is taken to be zero in equations (4.4) and (4.5). As $i \rightarrow \infty$ and hence $t_0 \rightarrow 0$, we get that

$$(\mathbb{R}^5, g_i) \xrightarrow{GH} (C(S(\mathbb{S}^3)), dr^2 + a(r)^2(ds^2 + b^2(s)g^{\mathbb{S}^3}(r))).$$

If $\gamma(r)$ is thus one of the singular rays in $C(S(\mathbb{S}^3))$, then for each r we see that the tangent cone of the limiting metric space at $\gamma(r)$ is

$$(\mathbb{R} \times C(\mathbb{S}^3), dt^2 + ds^2 + s^2 g(r)).$$

In particular, we see that tangent cones from the same sequence of rescalings are changing along the geodesic, as claimed.

4.2. *Example: Hölder $\frac{1}{2}$ is sharp.* The purpose of the next example is to refine the previous construction so that the metrics $g^{\mathbb{S}^3}(r, s)$ are sufficiently irregular so as to show that the Hölder continuity of Theorem 1.3 is sharp. In fact, for each $\delta > 0$, we will construct a limit space such that along the interior of a minimizing geodesic there are tangent cones from the same sequence of rescalings that change at a $C^{\frac{1}{2}}$ -Hölder rate, but not at a $C^{\frac{1}{2}+\delta}$ -Hölder rate. Another consequence of this example is that the hessian estimates from Theorem 2.19 are sharp. More precisely, the estimate $\int_{\gamma} f_{B_\varepsilon(\gamma(r))} |\text{Hess}_h|^2 \leq C$ from Theorem 2.19 cannot be replaced with $\int_{B_\varepsilon(\gamma(r))} |\text{Hess}_h|^2 \leq C$ for each point $\gamma(r)$.

In the example we are interested only in the rate of change of the tangent cones along the interior of a limit geodesic. Hence, we will only worry about constructing $g(r)$ in a neighborhood of $r = 1$. The rest of the space is much better behaved, and it is not difficult to see how to smoothen out the construction on the rest of the space as in the previous example by cutting up the $a(r)$ function. Now as in the previous subsection, the example will be homeomorphic to $C(S(\mathbb{S}^3))$ equipped with a metric of the form

$$g \equiv dr^2 + a(r)^2 (ds^2 + b(s)^2 g^{\mathbb{S}^3}(r, s)),$$

where $g^{\mathbb{S}^3}(r, s)$ is a smooth two parameter family of metrics on \mathbb{S}^3 , all defined by the relations $\langle V_j, V_k \rangle_g = e^{2m_j} \delta_{jk}$ for a fixed right invariant basis $\{V_j\}$ that is orthonormal with respect to the standard metric. The metric functions $m_j(r, s)$ are again assumed to satisfy the conditions that

$$(4.11) \quad \sum m_j(r, s) = \text{const}, \quad \sum m'_j(r, s) \dot{m}_j(r, s) = 0,$$

so that Lemma 4.1 still holds. As in the last example we define the function $b(s)$ by equation (4.5). However, we will define the function $a(r)$ by $a(r) \equiv 2 - |r - 1|^{1+\delta}$, at least in the neighborhood $[1 - \delta, 1 + \delta]$. Note that the simple estimates

$$(4.12) \quad a < 2, \quad \frac{a'}{a} \leq |r - 1|^\delta, \quad \frac{a''}{a} < -\frac{\delta}{|r - 1|^{1-\delta}}$$

hold in $r \in (1 - \delta, 1) \cup (1, 1 + \delta)$ for all small $\delta > 0$. Now $a(r)$ is not a smooth function, which initially prohibits us from using it in the warped product construction; but the following observations take care of that. We see that the estimate for $\frac{a'}{a}$ holds on all $(1 - \delta, 1 + \delta)$ because a is C^1 , and the estimate on $\frac{a''}{a}$ holds distributionally on all of $(1 - \delta, 1 + \delta)$. Hence, we can smoothen $a(r)$ slightly to smooth functions that satisfy estimates (4.12) to as close of a degree as we like. Using these functions in place of $a(r)$ in the below construction we can limit these smoothings and simply assume $a(r) = 2 - |r - 1|^{1+\delta}$. Now by using Lemma 4.1, we have the following version of Lemma 4.2.

LEMMA 4.4. *There exist constants $0 < b_1, s_0, m_0$ and $0 < a_2(\delta), b_2(\delta)$ such that for all t_0 and δ sufficiently small, if the $m_j(r, s)$ additionally satisfy*

- (1) $m_j \leq -m_0$;
- (2) $|m'_j| \leq a_2|r - 1|^{-\frac{1-\delta}{2}}, |m''_j| \leq a_2 \sin^{-2} s$;
- (3) $|\dot{m}_j| \leq \frac{b_2\left(\frac{\cos s}{\sin s}\right)}{\log(-\log(s_0 \sin s))(-\log(s_0 \sin s))}, |m_{j\ddot{}}| \leq b_2 \sin^{-2} s$;
- (4) $\dot{m}_j, m'_{j\dot{}} \equiv 0$ for $s \notin [t_0, \pi - t_0]$;

then the induced metric space $(C(S(\mathbb{S}^3)), dr^2 + a(r)^2(ds^2 + b(s)^2g_{\mathbb{S}^3}(r, s)))$ has nonnegative Ricci curvature at each smooth point with $r \in [1 - \delta, 1 + \delta]$. Further, for $s \notin [t_0, \pi - t_0]$, it is isometric to $dr^2 + a^2(r)(S(\mathbb{S}^3, g_e))$, where a is concave and $S(\mathbb{S}^3, g_e)$ represents the suspension over a small ellipse.

Remark 4.5. It is important in condition (2) that the bound on m'' be in terms of s since the a'' term is not positive enough to control a $|r - 1|^{-2}$ term, which is unlike the previous example and causes some complications in the construction. Again we have that because $a(r)$ is concave we can modify the metric $dr^2 + a^2(r)(S(\mathbb{S}^3, g_e))$ into a smooth metric near the singular lines.

Proof. The proof is much the same as the computations for Lemma 4.2; we point out the main observations required in the computation. Note that using Lemma 4.1 we see that the requirement on m'_j is precisely what is needed to guarantee that Ric_{rr} is positive. We also see by using equation (4.12) that

$$(4.13) \quad -\frac{a''}{a} - 3\left(\frac{a'}{a}\right)^2 - 4\left|\frac{a'}{a}\right||m'_j| > 0,$$

for δ small, so that Ric_{ss} is positive and most of the terms in Ric_{jj} are controlled. The remaining obstacle is the m''_j term from Lemma 4.1, and this is precisely controlled by the assumption $|m''_j| \leq a_2 \sin^{-2} s$. Hence the Ricci curvature is positive. □

To define the metric functions $m_j(r, s)$ let us begin by fixing $m_j(r) : [1 - \delta, 1 + \delta] \rightarrow \mathbb{R}^3$ such that

$$(4.14) \quad \sum_j m_j(r) = 0, \quad \sum_j m_j^2(r) = c$$

for some fixed constant c and such that the map $m_j(r)$ is $C^{\frac{1+\delta}{2}}$ Hölder with

$$|m'_j(r)| \leq a_2 |r - 1|^{-\frac{1-\delta}{2}},$$

as in Lemma 4.4. The construction of the example will be slightly more complicated than Example 4.1. There we used one cutoff function in the definition of the metric functions $m_j(r, s)$, and its primary purpose was to make the metric one that we could be sure could be smoothed off. However because $|m''_j(r)| \approx |r - 1|^{-\frac{3}{2}}$, there is no hope to force positivity of the Ricci tensor if we continued in the manner of the last example. To this end we define for each $i \in \mathbb{N}$ the function $m_{ij}(r) : [1 - \delta : 1 + \delta] \rightarrow \mathbb{R}^3$, which is a smooth approximation of $m_j(r)$. We can easily construct such smoothings so that $m_{ij}(r) = m_j(r)$ outside smaller and smaller neighborhoods of $r = 1$ with $\sum_j m_{ij}(r) = 0$, $\sum_j m_{ij}^2(r) = c$ and such that $|m'_{ij}(r)| \leq a_2 |r - 1|^{-\frac{1-\delta}{2}}$ with $|m''_{ij}(r)| \leq a_2 i^2$. With that in place, let $t_i \rightarrow 0$ be a decreasing sequence of positive numbers such that for each i , we can define the cutoff functions

$$\psi_i(s) \equiv \begin{cases} 1 & \text{for } s \in [(3t_i + t_{i+1})/4, (t_{i-1} + 3t_i)/4]t_i \\ 0 & \text{for } s \notin [(t_i + t_{i+1})/2, (t_{i-1} + t_i)/2], \end{cases}$$

and with the properties that

$$(4.15) \quad |\dot{\psi}_i| \leq \frac{b_2 \left(\frac{\cos s}{\sin s}\right)}{\log(-\log(s_0 \sin s))(-\log(s_0 \sin s))}$$

and

$$(4.16) \quad |\psi_i| \leq b_2 \sin^{-2} s,$$

as in Lemma 4.4. Note that such a condition is possible because the right-hand sides of each inequality are nonintegrable, though this forces the ratios $\frac{t_{i+1}}{t_i}$ to be tending to zero. Now let us consider the following metric functions:

$$(4.17) \quad m_{Nj}(r, s) \equiv \sum_{i=1}^N \psi_i(s) m_{ij}(r) - \bar{m},$$

where \bar{m} is a constant. Note that the m_{Nj} do satisfy the requirements of a metric function and that by construction, for c sufficiently small and \bar{m} sufficiently large, we have that the conditions of Lemma 4.4 are satisfied for each N . Hence for each N , we now have a metric space $(C(S(\mathbb{S}^3)), g_N)$ that has positive Ricci curvature at each smooth point and can be smoothed near

the singular lines to obtain a sequence of smooth manifolds $(\mathbb{R}_N^5, \tilde{g}_N)$ with the property that

$$(\mathbb{R}^5, \tilde{g}_N) \rightarrow (C(S(\mathbb{S}^3)), g_\infty).$$

If $\gamma(r)$ is a singular ray in this limit space, then at the point $\gamma(r)$ if the sequence $t_i \rightarrow 0$, then the resulting tangent cone is isometric to

$$(\mathbb{R} \times C(\mathbb{S}^3), dt^2 + ds^2 + s^2g(r)).$$

Here $g(r)$ is the metric on \mathbb{S}^3 induced by the metric functions $m_j(r)$. Hence, in a neighborhood of $r = 1$, we have the metric cones are changing at a $C^{\frac{1+\delta}{2}}$ -Hölder rate and not at a $C^{\frac{1}{2}+\delta}$ rate. This constructs the desired limit space.

Appendix A. Extending geodesics

This section is dedicated to proving a technical lemma. Recall that on a smooth Riemannian manifold (M, g, p) , almost every pair of points $(x, y) \in M \times M$ lies in the *interior* of a minimizing geodesic. We wish to show that on a Ricci limit space M_∞ , similarly $\nu \times \nu$ almost every pair $(x, y) \in M_\infty$ lies in the interior of a limit minimizing geodesic γ . We will in fact prove a more effective version of this. This result can be thought of as a higher degree of freedom analogue that the cut locus set Cl_x of a point $x \in M_\infty$ has zero measure, a result proven in [Hon11]. The key point for both results is to identify this critical point set in terms of excess functions, which are themselves much easier to estimate and control than geodesics when passing to limits.

We begin with a few definitions. Recall that for $x, y \in M_\infty$, we define the excess function

$$(A.1) \quad e_{x,y}(z) \equiv d(x, z) + d(z, y) - d(x, y).$$

Thus the excess is how much the triangle inequality fails being an equality. Note that $e_{x,y}(z) = 0$ if and only if z lies on the interior of a minimizing geodesic connecting x and y . Similarly, for $(x, y) \in M_\infty \times M_\infty$, we define the following diagonal excess function by

$$(A.2) \quad e_{(z,w)}(x, y) \equiv \frac{1}{\sqrt{2}}d_{M_\infty}(x, y) + d_{M_\infty \times M_\infty}((x, y), (z, w)) - \frac{1}{\sqrt{2}}d_{M_\infty}(z, w).$$

Note that $\frac{1}{\sqrt{2}}d_{M_\infty}(x, y)$ is the distance of the point (x, y) from the diagonal $M_\infty \subseteq M_\infty \times M_\infty$, and hence the reference to this as a diagonal excess function. We similarly have that $e_{(z,w)}(x, y) = 0$ if and only if (x, y) lies on the interior of a minimizing geodesic from (z, w) to the diagonal M_∞ . We define the following cutlocus and effective cutlocus sets:

$$(A.3) \quad \text{Cl}(M_\infty) \equiv \{(x, y) \in M_\infty \times M_\infty : e_{(z,w)}(x, y) > 0 \forall (z, w) \neq (x, y)\}.$$

$$(A.4) \quad \text{Cl}(M_\infty, r) \equiv \{(x, y) \in M_\infty \times M_\infty : e_{(z,w)}(x, y) > 0 \forall (z, w) \notin B_{\sqrt{2}r}^{M \times M}(x, y)\}.$$

$$(A.5) \quad \text{Cl}(M_\infty, r, \varepsilon) \equiv \{(x, y) \in M_\infty \times M_\infty : e_{(z,w)}(x, y) \geq \varepsilon^2 \forall (z, w) \notin B_{\sqrt{2}r}^{M \times M}(x, y)\}.$$

We see that a point (x, y) is not in the set $\text{Cl}(M_\infty)$ if and only if there is a point (z, w) and a minimizing geodesic from D to (z, w) which contains (x, y) as an interior point. We will see from the below lemma that this implies there is a geodesic in M_∞ that contains both the points x and y as interior points. On the other hand, (x, y) is not in $\text{Cl}(M_\infty, r)$ if and only if there is a geodesic in M_∞ containing x and y such that these points are at least distance r from the boundary of the geodesic, a point which also follows from the lemma below. Finally, the point (x, y) is not in $\text{Cl}(M_\infty, r, \varepsilon)$ if and only if there is an ε -geodesic with likewise properties. We will see by the end of this section that geodesic can be replaced by limit geodesic for each of these statements. Important for us is that the sets $\text{Cl}(M_\infty, r, \varepsilon)$ are compact.

LEMMA A.1. *Let $(x, y) \in M_\infty \times M_\infty$ and (z, z) be a point of the diagonal closest to (x, y) . Then if $(\gamma_1(t), \gamma_2(t))$ is a minimizing geodesic in $M_\infty \times M_\infty$ connecting (x, y) to (z, z) , the join curve $\gamma \equiv \gamma_1 \cup \gamma_2$ in M_∞ is a minimizing geodesic connecting x to y . Further, we have that z is the midpoint of this geodesic.*

Proof. Assume this is not the case. Then there is a curve $\sigma : [0, \overline{x, y}] \rightarrow M_\infty$ connecting x and y satisfying $|\sigma| < |\gamma|$. Then if we consider the curve $(\sigma(t), \sigma(\overline{x, y} - t)) : [0, \frac{1}{2}\overline{x, y}] \rightarrow M_\infty \times M_\infty$, this curve connects (x, y) to the diagonal and has length strictly less than that of (γ_1, γ_2) , which is a contradiction.

To see that z is the midpoint we can just check the possibilities. So let $(x, y) \in M_\infty \times M_\infty$, and let $\gamma : [0, \overline{x, y}] \rightarrow M_\infty$ be any minimizing geodesic between x and y with $z \in \gamma$ such that the point (z, z) is a point on the diagonal closest to (x, y) . Hence for some $s \in [0, 1]$, we have that $z = \gamma(s\overline{x, y})$. Since a minimizing geodesic in $M_\infty \times M_\infty$ projects to minimizing geodesics in each factor, we must have that the minimizing geodesic from (x, y) to (z, z) is of the form $(\gamma(st), \gamma(\overline{x, y} - t(1-s)))$. Hence if we compute the length as a function of s , we get $l(s)^2 = (s^2 + (1-s)^2)\overline{x, y}^2$. It is easy to check this is minimized only for $s = \frac{1}{2}$. \square

Now we begin with the following estimate, which should be seen as a generalization of certain estimates on exponential maps obtained in [CC97]. We begin by proving the estimate on smooth manifolds (M^n, g, p) with $\text{Ric} \geq -(n-1)$. We will then subsequently see that the estimates hold on limit spaces.

In the below lemma we are using for a subset $S \subseteq M$ the partial annulus

$$A_{\delta, \delta^{-1}}(S) \equiv \left\{ (x, y) \in M \times M : p_D(x, y) \in S, \delta \leq \frac{1}{\sqrt{2}}d(x, y) \leq \delta^{-1} \right\},$$

where p_D is the projection map to the diagonal and we are identifying S with its image in the diagonal.

LEMMA A.2. *For each $0 < \delta < 1$, $R > 0$ and any $\varepsilon \geq 0$, there exists $C(n, \delta, R)$ such that for any $S \in M$ with $S \subseteq B_R(p)$, we have that $\text{Vol}(\text{Cl}(M, r, \varepsilon) \cap A_{\delta, \delta^{-1}}(S)) \leq C r \text{Vol}(B_1(p))$.*

Proof. First it is enough to prove the claim for $\text{Cl}(M, r)$ since the constant involved is independent of ε . Note again that the distance function on $M \times M$ to the diagonal can be written $d_D(x, y) = \frac{1}{\sqrt{2}}d(x, y)$. In particular, the laplacian of this distance function on $M \times M$ satisfies

$$\Delta d_D(x, y) \leq \frac{n - 1}{d_D(x, y)}.$$

Let us define the tube

$$T_s(S) \equiv \{(x, y) \in M \times M : p_D(x, y) \in S, d_D(x, y) \leq s\},$$

and note then that $A_{s_0, s_1}(S) = T_{s_1} \setminus T_{s_0}$. The estimate on the laplacian of d_D then tells us that at any smooth point of $\partial T_s(S)$, the mean curvature is uniformly bounded from above in terms of s . To finish the proof we simply observe, as is in the case for the standard cut locus of a point, that the effective cutlocus $\text{Cl}(M, r)$ intersects each minimal geodesic leaving the diagonal D on a set of measure at most r . Thus if $\chi_{\text{Cl}(M, r)}$ is the characteristic function of $\text{Cl}(M, r)$, we have by a coarea formula and the mean curvature estimate that

$$\begin{aligned} \text{Vol}(\text{Cl}(M, r) \cap A_{\delta, \delta^{-1}}(S)) &= \int_{\delta}^{\delta^{-1}} \left(\int_{\partial T_s(S)} \chi_{\text{Cl}(M, r)} \right) ds \\ &\leq c(n, \delta) r \text{Vol}(\partial T_{\delta}(S)) \leq C(n, \delta) r \text{Vol}(B_1(S)) \\ &\leq C(n, \delta, R) r \text{Vol}(B_1(p)), \end{aligned}$$

as claimed. □

Now let us point out the following two stability properties of $\text{Cl}(M, r, \varepsilon)$. To begin with, if

$$(A.7) \quad (M_i, g_i, p_i) \rightarrow (M_{\infty}, d_{\infty}, p_{\infty}),$$

then we can define

$$(A.8) \quad \text{Cl}(M_i, r, \varepsilon) \xrightarrow{GH} \text{Cl}_{\infty}(r, \varepsilon).$$

Note first that for each $\eta > 0$, we have

$$(A.9) \quad \text{Cl}(M_\infty, r - \eta, \varepsilon + \eta) \subseteq \text{Cl}_\infty(r, \varepsilon) \subseteq \text{Cl}(M_\infty, r, \varepsilon),$$

This follows from the stability of the excess function under Gromov-Hausdorff limits. We secondly have that

$$B_\eta(\text{Cl}(M, r, \varepsilon)) \subseteq \text{Cl}(M, r + \eta, \varepsilon)$$

for each $\eta > 0$. Thus by using these observations and a covering argument, we may limit in precisely the manner of [Hon11] to obtain the corresponding result in the limit space.

PROPOSITION A.3. *For each $0 < \delta < 1$, $R > 0$ and any $\varepsilon > 0$, there exists $C(n, \delta, R)$ such that for any $S \in M_\infty$ with $S \subseteq B_R(p_\infty)$, we have that*

$$(A.10) \quad \nu(\text{Cl}(M_\infty, r, \varepsilon) \cap A_{\delta, \delta^{-1}}(S)) \leq C r.$$

Now if $(x, y) \in \text{Cl}_\infty(r, \varepsilon)$, then there exists a *limit* minimizing geodesic γ with x and y as interior points that are at least a distance r from the boundary of γ . Thus as a consequence of the results of this section and the previous stability properties of $\text{Cl}(M_\infty, r, \varepsilon)$, we can let $\varepsilon \rightarrow 0$ to have the following.

COROLLARY A.4. *The following statements hold for each $S \subseteq M_\infty$, $0 < \delta < 1$, $R > 0$ and $r > 0$:*

- (1) *If $(x, y) \in \text{Cl}(M_\infty, r)$, then there exists a limit minimizing geodesic γ with x and y as interior points that are at least a distance r from the boundary of γ .*
- (2) *If $S \subseteq B_R(p_\infty)$, then $\nu(\text{Cl}(M_\infty, r) \cap A_{\delta, \delta^{-1}}(S)) \leq C(n, \delta, R) r$.*
- (3) *$\nu \times \nu$ almost every pair of points (x, y) lies in the interior of some limit minimizing geodesic.*

Appendix B. Reifenberg property for collapsed limits

For noncollapsed limits, a key regularity of a neighborhood of the regular set come from a Reifenberg type property; see Appendix 1 of [CC97]. This property roughly says that on all scales the space is Gromov-Hausdorff close to Euclidean space. It is shown in [CC97] that this implies that a neighborhood of the regular set for noncollapsed limits is a C^α manifold.

In the general, not necessary noncollapsed case, we have the following (uniform) Reifenberg property for geodesics contained in the regular set.

THEOREM B.1. *Suppose that $\gamma : [0, \ell] \rightarrow M_\infty$ is a limit geodesic whose interior consists of k -regular points. Given $\varepsilon > 0$, and $\ell > s_2 > s_1 > 0$, there exists $r_0 > 0$ such that for all $r_0 > r > 0$ and all $s_2 \geq s \geq s_1$,*

$$(B.1) \quad d_{GH}(B_r(\gamma(s)), B_r^{\mathbb{R}^k}(0)) < \varepsilon r.$$

Proof. By compactness of the closed interval $[s_1, s_2]$, the theorem would follow if we knew that for each $s \in [s_1, s_2]$, there exists a $\delta = \delta(s) > 0$ and a $r_0 = r_0(s) > 0$ such that for all $t \in (s - \delta, s + \delta)$ and all $r_0 > r > 0$,

$$(B.2) \quad d_{GH}(B_r(\gamma(t)), B_r^{\mathbb{R}^k}(0)) < \varepsilon r.$$

However, this follows easily using that $\gamma(s)$ is a k -regular point combined with [Theorem 1.1](#). \square

In the noncollapsed case, when combined with the volume convergence theorem of [\[Col97\]](#) (cf. also Theorem 5.9 of [\[CC97\]](#) and Section 3 of [\[CC00a\]](#)), it follows that if γ is as in [Theorem B.1](#), then an entire neighborhood of $\gamma|_{[s_1, s_2]}$ consists of almost regular points. Or, to be precise, an entire neighborhood consists of (ε, k) -regular points in the sense of Definition 0.6 of [\[CC97\]](#).

A key difference between the collapsed and noncollapsed case is the following:

- By the Bishop–Gromov volume comparison theorem, once the volume is almost maximal on one scale, then it is also almost maximal on all smaller scales and hence by [\[Col97\]](#), also Gromov–Hausdorff close to n -dimensional Euclidean space on all smaller scales. This property in the noncollapsed case is where the Reifenberg property naturally occur; see Appendix 1 in [\[CC97\]](#).
- Even though there is no such monotonicity in the collapsed case, a key point in the collapsed case is that the Hölder continuity of tangent cones can at some level replace this monotonicity as is illustrated in [Theorem B.1](#).

[Theorem B.1](#) generalizes immediately to the situation where the tangent cones are unique and constant along the interior of a geodesic segment, as it played no role in the proof of this theorem that each point on the geodesic was k -regular. The only thing that mattered was that the tangent cone is unique at each interior point and independent of the particular point. Thus we have the following.

THEOREM B.2. *Suppose that $\gamma : [0, \ell] \rightarrow M_\infty$ is a limit geodesic and that at each interior point the tangent cone is unique and equal to a fixed pointed metric space $(Y, 0)$. (0 is the ‘cone’ tip.) Given $\varepsilon > 0$, and $\ell > s_2 > s_1 > 0$, there exists $r_0 > 0$ such that for all $r_0 > r > 0$ and all $s_2 \geq s \geq s_1$,*

$$(B.3) \quad d_{GH}(B_r(\gamma(s)), B_r^Y(0)) < \varepsilon r.$$

Note that for a k -regular point y there is no specific requirement on the rate of convergence as $r_i \rightarrow 0$ of the family of rescaled spaces $(M_\infty, y, r_i^{-1}d_\infty)$ to the tangent cone \mathbb{R}^k . Equivalently, prior to rescaling, the convergence to

\mathbb{R}^k takes place at the rate $o(r)$. For $\alpha > 0$, a point y is called (k, α) -regular⁸ if on sufficiently small balls $B_r(y)$ the convergence to \mathbb{R}^k takes place at the rate $o(r^{1+\alpha})$. The set of (k, α) -regular points is denoted $\mathcal{R}_{k,\alpha}$. In Section 3 of [CC00b] it was shown that $\nu(\mathcal{R}_k \setminus \mathcal{R}_{k,\alpha}) = 0$ for some $\alpha(n) > 0$ and that $\mathcal{R}_{k,\alpha}$ is a countable union of sets, each of which is bi-Lipschitz to a subset of \mathbb{R}^k . Finally, in Section 4 of [CC00b] it was shown for limit spaces that on the set $\mathcal{R}_{k,\alpha}$ any of the renormalized limit measures and the Hausdorff measure are mutually absolutely continuous. It follows that the collection of all renormalized limit measures determines a unique measure class.

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⁸The (k, α) -regular points were introduced in Section 3 of [CC00b] and should not be confused with the (ε, k) -regular points mentioned earlier in this paper that was introduced in definition 0.6 in [CC97].

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(Received: February 24, 2011)

(Revised: December 12, 2011)

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