

Hyperdiscriminant polytopes, Chow polytopes, and Mabuchi energy asymptotics

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This paper is dedicated to the memory of Eckart Viehweg

Abstract

Let $X^n \rightarrow \mathbb{P}^N$ be a smooth, linearly normal algebraic variety. It is shown that the Mabuchi energy of $(X, \omega_{FS}|_X)$ restricted to the Bergman metrics is completely determined by the X -hyperdiscriminant of format $(n - 1)$ and the Chow form of X . As a corollary it is shown that the Mabuchi energy is bounded from below for all degenerations in G if and only if the hyperdiscriminant polytope dominates the Chow polytope for all maximal algebraic tori H of G .

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1. Introduction and statement of results

Let $X^n \rightarrow \mathbb{P}^N$ be a smooth complex projective variety of degree $d \geq 2$ embedded by a very ample complete linear system. Let ω_{FS} denote the associated Fubini-Study Kähler form. We set $\omega := \omega_{FS}|_X$. To $\sigma \in G$ (the automorphism group of \mathbb{P}^N) we associate the *Bergman potential* $\varphi_\sigma \in C^\infty(X)$

$$\sigma^*\omega = \omega + \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi_\sigma > 0.$$

Let ν_ω denote the Mabuchi energy of (X, ω) . For any $\sigma \in G$, we define

$$\nu_\omega(\sigma) := \nu_\omega(\varphi_\sigma).$$

Let $\lambda : \mathbb{C}^* \rightarrow G$ be an algebraic one parameter subgroup of G . We shall refer to such maps, and their associated potentials $\varphi_{\lambda(t)}$, as *degenerations*. Three basic problems in the field of Kähler geometry are the following.

Problem 1. Give a *complete* description of the behavior of the Mabuchi energy along all degenerations. That is, describe

$$\lim_{|t| \rightarrow 0} \nu_\omega(\lambda(t)), \quad t \in \mathbb{C}^*.$$

Problem 2. Provide necessary and sufficient conditions in terms of the geometry of the embedding $X \rightarrow \mathbb{P}^N$ which ensure that ν_ω is *bounded below* along all degenerations.

Problem 3. Provide necessary and sufficient conditions in terms of the geometry of the embedding which ensure that ν_ω is *proper* along all degenerations.

In this paper we provide complete solutions to all of these problems. The author's solution is given in terms of the *X-resultant* (the Cayley-Chow form of X) and the *X-hyperdiscriminant* of format $(n-1)$ (the defining polynomial of the variety of tangent hyperplanes to $X \times \mathbb{P}^{n-1}$ in the Segre embedding). That the *X-resultant* appears in the *K-energy* is not new and is due to Gang Tian (see [22]). The author's original contribution is the discovery that the *X-hyperdiscriminant* *also* appears in the Mabuchi energy of an algebraic manifold. In fact, it is the hyperdiscriminant that *reflects the presence of the Ricci curvature*. The Chow form does not.

THEOREM A. *Let $X^n \hookrightarrow \mathbb{P}^N$ be a smooth, linearly normal, complex algebraic variety of degree $d \geq 2$. Let R_X denote the **X-resultant** (the Cayley-Chow form of X). Let $\Delta_{X \times \mathbb{P}^{n-1}}$ denote the **X-hyperdiscriminant** of format $(n-1)$ (the defining polynomial for the dual of $X \times \mathbb{P}^{n-1}$ in the Segre embedding).¹ Then there are norms such that the Mabuchi energy restricted to the Bergman metrics is given as follows:*

$$(1.1) \quad \nu_\omega(\varphi_\sigma) = \deg(R_X) \log \frac{\|\sigma \cdot \Delta_{X \times \mathbb{P}^{n-1}}\|^2}{\|\Delta_{X \times \mathbb{P}^{n-1}}\|^2} - \deg(\Delta_{X \times \mathbb{P}^{n-1}}) \log \frac{\|\sigma \cdot R_X\|^2}{\|R_X\|^2}.$$

Remark 1. The norms which appear on the right-hand side of (1.1) are conformally equivalent to the standard norms on the respective spaces of polynomials. These norms are described in Section 4 and were first constructed in [22].

¹We collect all of the basic definitions in Section 2.

Remark 2. The Mabuchi energy restricted to G is not manifestly, and most likely not, a convex function.

Theorem A reduces the problem of bounding the Mabuchi energy from below to the problem of analyzing the simultaneous G orbit of the resultant and hyperdiscriminant polynomials inside certain irreducible G modules $\mathbb{S}_{\lambda_{\bullet}}(\mathbb{C}^{N+1})$ and $\mathbb{S}_{\mu_{\bullet}}(\mathbb{C}^{N+1})$ respectively. The precise definitions of these modules appear in Section 2 below. We are now prepared to state our fundamental corollary; it provides the first complete algebraic characterization of the existence of a lower bound for the Mabuchi energy on the space of Bergman metrics.

COROLLARY. *Let $X^n \hookrightarrow \mathbb{P}^N$ be a smooth, linearly normal complex algebraic variety of degree $d \geq 2$. Let $R := R_X$ denote the X -resultant and $\Delta := \Delta_{X \times \mathbb{P}^{n-1}}$ denote the X -hyperdiscriminant. There is a constant $C > 0$ such that*

$$(1.2) \quad \nu_{\omega}(\varphi_{\sigma}) \geq -C \text{ for all } \sigma \in G$$

if and only if

$$\overline{G \cdot [(R^{\deg(\Delta)}, \Delta^{\deg(R)})]} \cap \overline{G \cdot [(R^{\deg(\Delta)}, 0)]} = \emptyset$$

(the Zariski closure in $\mathbb{P}(\mathbb{S}_{\lambda_{\bullet}} \oplus \mathbb{S}_{\mu_{\bullet}})$).

It follows from Theorem A that the asymptotic expansion of the Mabuchi energy along any algebraic one parameter subgroup of H (a maximal algebraic torus of G)² is completely determined by the *Chow polytope* $\mathcal{N}(R_X)$ and the *hyperdiscriminant polytope* $\mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}})$ (see (2.4)). We remark that these are compact convex lattice polytopes inside $M_{\mathbb{R}} := M_{\mathbb{Z}}(H) \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^N$, where $M_{\mathbb{Z}} = M_{\mathbb{Z}}(H)$ denotes the rank N lattice of rational characters of H . In the statement of Theorem B below l_{λ} denotes the integral linear functional on $M_{\mathbb{R}}$ corresponding to the degeneration $\lambda \in N_{\mathbb{Z}} := M_{\mathbb{Z}}^{\vee}$ (dual lattice).

THEOREM B. *There is an asymptotic expansion as $|t| \rightarrow 0$:*

$$(1.3) \quad \begin{aligned} \nu_{\omega}(\lambda(t)) &= F_P(\lambda) \log |t|^2 + O(1), \\ F_P(\lambda) &:= \deg(R_X) \min_{\{x \in \mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}})\}} l_{\lambda}(x) \\ &\quad - \deg(\Delta_{X \times \mathbb{P}^{n-1}}) \min_{\{x \in \mathcal{N}(R_X)\}} l_{\lambda}(x). \end{aligned}$$

In particular, $\nu_{\omega}(\lambda(t))$ has a logarithmic singularity as $|t| \rightarrow 0$ and the coefficient of blow up is an integer.

Theorem B provides a complete solution to Problem 1.

²In this paper G always denotes $\mathrm{SL}(N+1, \mathbb{C})$.

THEOREM C. *The Mabuchi energy of $(X, \omega_{FS}|_X)$ is bounded from below along all degenerations in G if and only if for all maximal tori H the hyper-discriminant polytope dominates the Chow polytope*

$$(1.4) \quad \deg(\Delta_{X \times \mathbb{P}^{n-1}})\mathcal{N}(R_X) \subseteq \deg(R_X)\mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}}).$$

Theorem C provides a complete solution to Problem 2.

THEOREM D. *The Mabuchi energy of $(X, \omega_{FS}|_X)$ is **proper** along all degenerations in G if and only if for all maximal tori H and all $m \gg 0, m \in \mathbb{Z}$, we have*

$$(1.5) \quad (m - 1) \deg(\Delta_{X \times \mathbb{P}^{n-1}})\mathcal{N}(R_X) + \deg(\Delta_{X \times \mathbb{P}^{n-1}}) \deg(R_X)\mathcal{S}_N \subseteq m \deg(R_X)\mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}}).$$

\mathcal{S}_N is the standard N -simplex in \mathbb{R}^N , and the addition on the left side of (1.5) denotes Minkowski summation of polyhedra.

Theorem D provides a complete solution to Problem 3. The next result provides a weak form of the numerical criterion for the Mabuchi K -energy map.

THEOREM E. *Let H be any maximal algebraic torus of G . Assume that there is a sequence $\{\tau_i\} \subset H$ such that*

$$\liminf_{i \rightarrow \infty} \nu_\omega(\varphi_{\tau_i}) = -\infty.$$

Then there exists a one parameter subgroup $\lambda : \mathbb{C}^ \rightarrow H$ such that*

$$\lim_{|t| \rightarrow 0} \nu_\omega(\lambda(t)) = -\infty.$$

It seems to be a tacit assumption among researchers in the field that the Mabuchi energy is bounded below *generically*. The next result provides a precise quantitative statement to that effect in the context of algebraic degenerations induced from an arbitrary projective embedding.

THEOREM F. *Fix a maximal algebraic torus T of G . Then there is an explicitly computable algebraic hypersurface $Z = Z(T) \subset G$ such that for all $\sigma \in G \setminus Z$, there is a positive constant $C(\sigma)$ such that*

$$(1.6) \quad \nu_\omega(\varphi_\tau) \geq -C(\sigma) \quad \text{for all } \tau \in \sigma T \sigma^{-1}.$$

Applications of Theorem A to canonical Kähler metrics are as follows. The precise definition of K -(semi)stability is new and appears below (see Definitions 2 and 12).

COROLLARY 1.1. i) *If a polarized manifold (X, L) admits a metric of constant scalar curvature in the class $c_1(L)$, then it is K -semistable with respect to all embeddings $X \xrightarrow{L^m} \mathbb{P}^{N_m}$.*

ii) *In particular, a Fano manifold $(X, -K_X)$ admits a Kähler Einstein metric only if all pluri-anticanonical models are K -semistable.*

iii) *If $(X, -K_X)$ has a discrete symmetry group and admits a Kähler Einstein metric, then it is K -stable.*

We single out the following special cases.

COROLLARY 1.2. i) *Any canonically polarized manifold (X, K_X) is K -stable with respect to its pluricanonical embeddings.*

ii) *Any polarized Calabi-Yau manifold (X, L) is K -stable with respect to all embeddings $X \xrightarrow{L^m} \mathbb{P}^{N_m}$.*

iii) *Any compact homogeneous Kähler manifold is K -semistable with respect to any polarization.*

It should be noted that there is no error term Ψ in (1.1), unlike the main results of Tian in [22, Th. 4.1, p. 258], [23, eq. (8.16), p. 34], as well as Tian and the author (see [20, Th. 3.5, p. 2564]). In particular, the Mabuchi energy restricted to the Bergman metrics is *not* a singular, or “degenerate” norm of the Cayley-Chow form of X , but simply the *difference* of two quite honest norms, one involving R_X and the other $\Delta_{X \times \mathbb{P}^{n-1}}$. Consequently the approach of the author is quite down to earth and focuses on *concrete* (tangents, secants, Gauss maps, etc.) projective geometric constructions with subvarieties (not schemes) of \mathbb{P}^N very much in the spirit of F. L. Zak [27] and the seminal paper of Griffiths and Harris [15].

*The new perspective in this paper is that the generalized Futaki invariant should not be considered as a number, but rather be interpreted as a **pair of polytopes** associated to any smooth, linearly normal projective algebraic variety $X \hookrightarrow \mathbb{P}^N$.*

The polytopes in question are the *hyperdiscriminant* and *Chow* polytopes of Cayley and Gelfand, Kapranov, and Zelevinsky (see [10], [12], and [16]). The test configurations in the literature on K -stability are linear functionals on these polytopes. The difference of the minima of these functionals is what controls the K -energy map for any smooth algebraic variety. From the author’s new point of view *degenerating the variety is not necessary*. The problem is to understand the relative positions of these polytopes. This does not require full knowledge of the X -resultant and X -discriminant; only their supports are relevant. We should point out that our expression (1.1) for the K -energy map is given for *all* the Bergman metrics, not merely the diagonal ones.

Theorem B extends the definition of K -stability first introduced by Tian in 1997 (see [23]). This extended definition has two good properties: (i) it does not require smoothness (or normality) of the limit cycle, and (ii) it completely captures the behavior of the Mabuchi energy along the degeneration. In the case of a smooth limit cycle, our definition of the generalized Futaki invariant agrees with the original definition of Ding and Tian (see [6]). The generalized Futaki invariant (and the corresponding notion of stability) proposed by Donaldson in 2002 (see [8]) satisfies (i) but only satisfies (ii) in the special case of reduced limit cycle. However, it is possible that all of these formulations are equivalent to one another, and for this reason the author has not introduced any new terminology.

1.1. *Plan of the Proof of Theorem A.* In order to assist the reader we indicate the steps required to prove the main result. Full details and explanations of notation appear in the sections that follow.

Step 1. Let $X \rightarrow \mathbb{P}^N$ be a smooth, linearly normal *dually nondegenerate* complex projective variety. Let Δ_X denote the X -discriminant; that is, $\{\Delta_X = 0\} = X^\vee$ is the defining polynomial of the projective dual to X . Let $J_1(\mathcal{O}_X(1))^\vee$ denote the bundle of one-jets of the hyperplane bundle restricted to X . Let $D(\sigma)$ denote the *Donaldson functional* associated to the invariant form c_{n+1} , the bundle $J_1(\mathcal{O}_X(1))^\vee$, and the Hermitian metrics H (the standard metric on \mathbb{C}^{N+1}) and $H(\sigma)$ where $\sigma \in G := \mathrm{SL}(N+1, \mathbb{C})$. Then we have the identity

$$(1.7) \quad (-1)^{n+1} D(\sigma) = \log \frac{\|\sigma \cdot \Delta_X\|^2}{\|\Delta_X\|^2}.$$

The norm on the right-hand side was constructed by Tian in [22]. The proof of this identity is established in two steps. The first step is due to Tian (see [22]) and consists of identifying the right-hand side with an integral over X^\vee of *ambient* forms induced from the embedding $X^\vee \rightarrow \mathbb{P}^{N^\vee}$. We should emphasize that this step has nothing to do with duality; it applies to any hypersurface in any projective space (or a more general homogeneous space). The second step consists of transforming this (simple) integral over the dual to a much more complicated integral (the Donaldson functional $D(\sigma)$) over X itself. This new integral involves *intrinsic* curvature forms of X . This step follows, *mutatis mutandis*, the argument from the author's earlier paper [19]. All of this employs Tian's very useful " $\partial\bar{\partial}$ " technique.

Step 2. This step requires Griffith's *second fundamental form*. Precisely, we require the well-known decomposition of the curvature tensor of the middle term in the short exact sequence of *Hermitian holomorphic* vector bundles:

$$(1.8) \quad 0 \rightarrow \mathcal{O}_X(-1) \xrightarrow{\iota} J_1(\mathcal{O}_X(1))^\vee \xrightarrow{\pi} T^{1,0}(X) \otimes \mathcal{O}_X(-1) \rightarrow 0.$$

This enables us to identify the integral on the left-hand side of Step 1:

$$(1.9) \quad D(\sigma) = \int_0^1 \int_X \dot{\varphi}_t c_n(J_1(\mathcal{O}(1)|_X)^\vee; H_t) dt.$$

This is not particularly difficult. On the other hand, unlike Tian’s work in Section 2 of [24], it is more than a formal computation with polynomials. Next, to deal with the integrand we need to show that the Chern forms (with respect to the Euclidean Hermitian metrics) of the short exact sequence split *pointwise*. This is somewhat surprising since the extension is nontrivial. This requires understanding more detailed combinatorial properties of the second fundamental form operator S .

It should be kept in mind that we have been *assuming* that X has a *codimension one* dual variety. Many varieties do *not* possess this property. Moreover one can easily observe that the form $c_n(J_1(\mathcal{O}(1)|_X)^\vee; H_t)$ involves much more curvature than the Ricci curvature (when $n > 1$) and so can *never* be identified with the Mabuchi energy. To deal with these two difficulties we proceed to the third and final step, the *Cayley Trick*. This step is the author’s principal contribution to the subject.

Step 3. We apply the results of the first two steps *not* to $X \rightarrow \mathbb{P}^N$ but to the *Segre image* $X \times \mathbb{P}^{n-1} \rightarrow \mathbb{P}(M_{n \times (N+1)}(\mathbb{C})^\vee)$. This variety enjoys two crucial properties. First, it is *always* dually nondegenerate (as long as X is nonlinear). Second, the integrand in Step 2 applied to $X \times \mathbb{P}^{n-1}$ (and the obvious representation of G on the matrices) involves *no* curvature forms of order higher than the Ricci curvature.

1.2. *Notations and preliminaries.* Let (X, ω) be a Kähler manifold. We always set μ to be the average of the scalar curvature of ω and V to be the volume

$$\mu := \frac{1}{V} \int_X \text{Scal}(\omega) \omega^n, \quad V := \int_X \omega^n.$$

The space of Kähler potentials will be denoted by \mathcal{H}_ω :

$$\mathcal{H}_\omega := \left\{ \varphi \in C^\infty(X) \mid \omega_\varphi := \omega + \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi > 0 \right\}.$$

The *Mabuchi K-energy*, denoted by ν_ω , is a map $\nu_\omega : \mathcal{H}_\omega \rightarrow \mathbb{R}$ and is given by the following expression:³

$$(1.10) \quad \nu_\omega(\varphi) := -(n+1)V \int_0^1 \int_X \dot{\varphi}_t (\text{Scal}(\varphi_t) - \mu) \omega_t^n dt.$$

³We warn the reader that our definition of ν_ω differs from the usual one by a factor of $V^2(n+1)$.

Above, φ_t is a smooth path in \mathcal{H}_ω joining 0 with φ . It is well known that the K -energy does not depend on the path chosen (see [17]). φ is a critical point of the Mabuchi energy if and only if $\text{Scal}_\omega(\varphi) \equiv \mu$.

2. The K -(semi)stability of pairs

Let G be one of the classical subgroups of $\text{GL}(N + 1, \mathbb{C})$. For the most part we shall consider the case

$$G = \text{SL}(N + 1, \mathbb{C}).$$

Let (V, ρ) be a finite dimensional complex rational representation of G . Recall that E is *rational* provided that for all $\alpha \in V^\vee$ (dual space) and $v \in V \setminus \{0\}$, the *matrix coefficient*

$$\varphi_{\alpha,v} : G \longrightarrow \mathbb{C} \quad \varphi_{\alpha,v}(\sigma) := \alpha(\rho(\sigma) \cdot v)$$

is a *regular function* on G ; that is,

$$\varphi_{\alpha,v} \in \mathbb{C}[G] := \text{affine coordinate ring of } G.$$

To begin, let H denote any maximal algebraic torus of G . $M_{\mathbb{Z}} = M_{\mathbb{Z}}(H)$ denotes the *character lattice* of H

$$M_{\mathbb{Z}} := \text{Hom}_{\mathbb{Z}}(H, \mathbb{C}^*).$$

$M_{\mathbb{Z}}$ consists of algebraic homomorphisms $\chi : H \longrightarrow \mathbb{C}^*$. If we fix an isomorphism

$$(2.1) \quad M_{\mathbb{Z}} \cong \mathbb{Z}^N,$$

then we may express each such χ as a *Laurent monomial*

$$\chi(t_1, t_2, \dots, t_N) = t_1^{m_1} t_2^{m_2} \cdots t_N^{m_N}, \quad m_i \in \mathbb{Z}.$$

Therefore we make the identification

$$\chi = (m_1, m_2, \dots, m_N) \in \mathbb{Z}^N.$$

We denote the dual lattice by $N_{\mathbb{Z}}$. It is well known that $N_{\mathbb{Z}}$ consists of the algebraic one parameter subgroups λ of H . These are algebraic homomorphisms $\lambda : \mathbb{C}^* \longrightarrow H$. The duality is given by

$$(2.2) \quad \langle \cdot, \cdot \rangle : N_{\mathbb{Z}} \times M_{\mathbb{Z}} \longrightarrow \mathbb{Z}, \quad \chi(\lambda(t)) = t^{\langle \lambda, \chi \rangle}.$$

Since we have fixed some isomorphism of H with the standard torus in G , we have

$$\lambda(t) = \begin{pmatrix} t^{n_1} & \cdots & \cdots & 0 \\ 0 & t^{n_2} & \cdots & 0 \\ 0 & \cdots & \cdots & t^{n_N} \end{pmatrix}.$$

In this case the pairing is given concretely as follows:

$$\langle \lambda, \chi \rangle = m_1 n_1 + m_2 n_2 + \cdots + m_N n_N.$$

We introduce the corresponding real vector spaces by extending scalars

$$M_{\mathbb{R}} := M_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{R} \cong \mathbb{R}^N,$$

$$N_{\mathbb{R}} := N_{\mathbb{Z}} \otimes_{\mathbb{Z}} \mathbb{R} = M_{\mathbb{R}}^{\vee}.$$

The image of λ in $N_{\mathbb{R}}$ is denoted by l_{λ} . Then l_{λ} is an *integral* linear functional on $M_{\mathbb{R}}$. Since V is rational, it decomposes under the action of H into *weight spaces*

$$(2.3) \quad V = \bigoplus_{\chi \in \text{supp}(V)} V_{\chi},$$

$$V_{\chi} := \{v \in V \mid h \cdot v = \chi(h)v, h \in H\},$$

where we have defined the *support* of V by

$$\text{supp}(V) := \{\chi \in M_{\mathbb{Z}} \mid V_{\chi} \neq 0\}.$$

Given $v \in V \setminus \{0\}$ the projection of v into V_{χ} is denoted by v_{χ} . The support of any (nonzero) vector v is then defined by

$$\text{supp}(v) := \{\chi \in M_{\mathbb{Z}} \mid v_{\chi} \neq 0\}.$$

Definition 1. Let H be any maximal torus in G . Let $v \in V \setminus \{0\}$. The *weight polytope* of v is the compact convex integral polytope $\mathcal{N}(v)$ given by

$$(2.4) \quad \mathcal{N}(v) := \text{convex hull of the lattice points } \{\chi \in \text{supp}(v)\} \subset M_{\mathbb{R}}.$$

In the same vein we define the weight polytope of the module itself by

$$(2.5) \quad \mathcal{N}(V) := \text{convex hull of } \{\text{supp}(V)\} \subset M_{\mathbb{R}}.$$

Obviously $\mathcal{N}(v) \subseteq \mathcal{N}(V)$ for any $v \in V \setminus \{0\}$ and $H \leq G$. When *equality* holds we say that v is *generic* with respect to H .

Let \mathbb{C}^{N+1} denote the standard representation of G , and let H be a maximal algebraic torus. The *standard simplex* denoted by \mathcal{S}_N is defined to be the weight polytope of any H generic vector $u \in \mathbb{C}^{N+1} \setminus \{0\}$:

$$\mathcal{S}_N := \mathcal{N}(u) \subset M_{\mathbb{R}}.$$

This is an N -dimensional polytope containing the origin in its interior. Next fix any $H \leq G$. We define the *degree* $q(V)$ of the representation as follows:

$$(2.6) \quad q(V) := \min \left\{ k \in \mathbb{Z}_+ \mid \mathcal{N}(V) \subseteq k\mathcal{S}_N \right\}.$$

Now we are prepared to introduce our fundamental definition. Below, $G = \text{SL}(N + 1, \mathbb{C})$ and $H \leq G$ is a maximal algebraic torus.

Definition 2. Let V and W be finite dimensional complex rational representations of G . Let $v \in V \setminus \{0\}$ and $w \in W \setminus \{0\}$.

- (1) The pair (v, w) is *K-semistable* with respect to H if and only if $\mathcal{N}(v) \subseteq \mathcal{N}(w)$.

- (2) (v, w) is K -semistable with respect to G if and only if it is K -semistable for all maximal tori H in G .
- (3) (v, w) is K -stable with respect to H if and only if there exists $m_0 \in \mathbb{N}$ such that

$$(v^{(m-1)} \otimes u^{q(V)}, w^m)$$

is K -semistable with respect to H for all $m \geq m_0$, and u is any H -generic vector in the standard representation of G .

- (4) (v, w) is K -stable with respect to G if and only if it is K -stable with respect to all maximal tori H in G .

When V is *irreducible* it is well known that V is located in a unique tensor power of the standard representation

$$(2.7) \quad V \subset (\mathbb{C}^{N+1})^{\otimes p}, \quad p \in \mathbb{Z}_+.$$

In this case we have $p = q(V)$.

That q depends only on (V, ρ) and not on H in the general case follows from

PROPOSITION 2.1. *Fix a maximal torus H , let $v \in V \setminus \{0\}$, and let $\sigma \in G$. Then we have the relation*

$$(2.8) \quad \text{Ad}(\sigma)\left(\mathcal{N}_H(\sigma \cdot v)\right) = \mathcal{N}_{\sigma^{-1}H\sigma}(v),$$

where $\text{Ad}(\sigma)$ denotes the linear extension of the induced equivalence of \mathbb{Z} modules

$$(2.9) \quad \begin{aligned} \text{Ad}(\sigma) : M_{\mathbb{Z}}(H) &\xrightarrow{\cong} M_{\mathbb{Z}}(\sigma^{-1}H\sigma), \\ \text{Ad}(\sigma)(\chi)(\tau) &:= \chi(\sigma\tau\sigma^{-1}) \text{ for all } \tau \in \sigma^{-1}H\sigma. \end{aligned}$$

We have formulated K -stability in terms of arbitrary finite dimensional G -modules V and W . In our main applications the modules are not only both *irreducible* but satisfy further conditions which we will now consider.

To begin, let λ_{\bullet} be a partition consisting of N parts:

$$(2.10) \quad \lambda_{\bullet} = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_N \geq \lambda_{N+1} = 0).$$

We let $\mathbb{S}_{\lambda_{\bullet}}(\mathbb{C}^{N+1})$ denote the corresponding irreducible representation of G with highest weight λ_{\bullet} (with respect to a maximal algebraic torus H). Let W_G denote the Weyl group of G with respect to H . Then the weight polytope of the module is given by

$$(2.11) \quad \mathcal{N}(\lambda_{\bullet}) = \text{convexhull} \{W_G \cdot \lambda_{\bullet}\},$$

where $W_G \cdot \lambda_{\bullet}$ denotes the orbit of the highest weight under the action of the Weyl group. Consider two irreducible G modules $\mathbb{S}_{\lambda_{\bullet}}(\mathbb{C}^{N+1})$ and $\mathbb{S}_{\mu_{\bullet}}(\mathbb{C}^{N+1})$

satisfying the following two conditions (we shall say that the partitions are *admissible*):

$$(2.12) \quad \begin{aligned} \text{i)} \quad & |\lambda_\bullet| = |\mu_\bullet|, \\ \text{ii)} \quad & \lambda_\bullet \preceq \mu_\bullet, \end{aligned}$$

where $|\lambda_\bullet| := \sum_{j=0}^N \lambda_j$ and \preceq denotes *dominance order*:

$$(2.13) \quad \lambda_\bullet \preceq \mu_\bullet \text{ if and only if, for all } 1 \leq i \leq N, \text{ we have } \sum_{k=1}^i \lambda_k \leq \sum_{k=1}^i \mu_k.$$

The following proposition seems to be well known.

PROPOSITION 2.2. *Let $\mathbb{S}_{\lambda_\bullet}(\mathbb{C}^{N+1})$ and $\mathbb{S}_{\mu_\bullet}(\mathbb{C}^{N+1})$ be two irreducible G -modules. Assume that $|\lambda_\bullet| = |\mu_\bullet|$; then*

$$(2.14) \quad \lambda_\bullet \preceq \mu_\bullet \text{ if and only if } \mathcal{N}(\lambda_\bullet) \subseteq \mathcal{N}(\mu_\bullet).$$

Fix $n \in \mathbb{Z}_+$ and choose $d \in \mathbb{Z}_+$ satisfying $d \equiv 0 \pmod{n(n+1)}$. Our main application of K -stability involves the following specific highest weights:

$$(2.15) \quad \lambda_\bullet = \frac{1}{n+1} \left(\overbrace{(d, d, \dots, d)}^{n+1}, \overbrace{(0, \dots, 0)}^{N-n} \right) \text{ and } \mu_\bullet = \frac{1}{n} \left(\overbrace{(d, d, \dots, d)}^n, \overbrace{(0, \dots, 0)}^{N+1-n} \right).$$

Then it is well known that (see [9]) the corresponding irreducible modules are given by

$$(2.16) \quad \begin{aligned} \mathbb{S}_{\lambda_\bullet}(\mathbb{C}^{N+1}) &\cong H^0 \left(\mathbb{G}(N-n-1, \mathbb{P}^N), \mathcal{O} \left(\frac{d}{n+1} \right) \right), \\ \mathbb{S}_{\mu_\bullet}(\mathbb{C}^{N+1}) &\cong H^0 \left(\mathbb{G}(N-n, \mathbb{P}^N), \mathcal{O} \left(\frac{d}{n} \right) \right). \end{aligned}$$

Obviously $|\lambda_\bullet| = |\mu_\bullet|$ and $\lambda_\bullet \preceq \mu_\bullet$. In this case we may verify the polytope inclusion directly. To begin let $0 < k < l$; define

$$(2.17) \quad \mathcal{A}_{k,l} := \{e_{i_1} + e_{i_2} + \dots + e_{i_k} \mid 1 \leq i_1 < i_2 < \dots < i_k \leq l\}.$$

Then the *hypersimplex* $\Delta(k, l)$ of type (k, l) is given by

$$(2.18) \quad \Delta(k, l) := \text{convex hull } \mathcal{A}_{k,l} = \text{convex hull } S_l \cdot (e_1 + e_2 + \dots + e_k).$$

$S_l \cong W_{\text{SL}(l, \mathbb{C})}$ denotes the symmetric group. It is clear that when the weights are given by (2.15), we have

$$(2.19) \quad \begin{aligned} \mathcal{N}(\lambda_\bullet) &= \frac{d}{n+1} \Delta(n+1, N+1), \\ \mathcal{N}(\mu_\bullet) &= \frac{d}{n} \Delta(n, N+1). \end{aligned}$$

The inclusion

$$(2.20) \quad n\Delta(n+1, N+1) \subseteq (n+1)\Delta(n, N+1)$$

follows at once from the equality

$$(2.21) \quad n(e_{i_1} + e_{i_2} + \cdots + e_{i_{n+1}}) = \frac{1}{(n+1)} \left(\sum_{J \subset \{i_1, i_2, \dots, i_{n+1}\} \mid |J|=n} (n+1) \sum_{j \in J} e_j \right).$$

Let $(\lambda_\bullet, \mu_\bullet)$ be admissible; then the K -semistability of a pair (v, w) holds for *generic* maximal algebraic tori. One sees this as follows. Fix $v \in \mathbb{S}_{\lambda_\bullet}(\mathbb{C}^{N+1}) \setminus \{0\}$, where we have fixed a maximal algebraic torus T . Then we define a nontrivial polynomial Q on G as follows:

$$Q_{\lambda_\bullet; v}(\sigma) := \prod_{s \in W_G} \langle \sigma \cdot v, e_{s \cdot \lambda_\bullet} \rangle.$$

$\langle \cdot, \cdot \rangle$ denotes any inner product rendering the weight space decomposition of $\mathbb{S}_{\lambda_\bullet}(\mathbb{C}^{N+1})$ under T as an orthogonal decomposition. Once more, W_G denotes the *Weyl group* of G with respect to T , and $e_{s \cdot \lambda_\bullet}$ denotes the (unique up to scale) weight vector corresponding to the image of the highest weight under the action of $s \in W_G$. In particular, $Q_{\lambda_\bullet; v}$ is given only up to scale. Now we define

$$(2.22) \quad \begin{aligned} Z_{\lambda_\bullet; v} &:= \{ \sigma \in G \mid Q_{\lambda_\bullet; v}(\sigma) = 0 \}, \\ U_{\lambda_\bullet; v} &:= G \setminus Z_{\lambda_\bullet; v}. \end{aligned}$$

Then we have the following

PROPOSITION 2.3. *For all $\sigma \in U_{\lambda_\bullet; v}$, we have the equality of polytopes*

$$(2.23) \quad \mathcal{N}(\sigma \cdot v) = \mathcal{N}(\lambda_\bullet).$$

Given two irreducible G modules with admissible highest weights λ_\bullet and μ_\bullet respectively, we have the following corollary.

COROLLARY 2.1. *Fix a maximal algebraic torus T . Let $v \in \mathbb{S}_{\lambda_\bullet}(\mathbb{C}^{N+1}) \setminus \{0\}$ and $w \in \mathbb{S}_{\mu_\bullet}(\mathbb{C}^{N+1}) \setminus \{0\}$. Then for all $\sigma \in U_{\mu_\bullet; w}$, the pair (v, w) is K -semistable with respect to $\sigma T \sigma^{-1}$.*

Example 1 (Irreducible representations of $\mathrm{SL}(2, \mathbb{C})$). Let \mathbb{V}_e and \mathbb{V}_d be irreducible $\mathrm{SL}(2, \mathbb{C})$ modules with highest weights $e \in \mathbb{N}$ and $d \in \mathbb{N}$ respectively. These are well known to be spaces of homogeneous polynomials in two variables. Let f and g be two such polynomials in $\mathbb{V}_e \setminus \{0\}$ and $\mathbb{V}_d \setminus \{0\}$ respectively. Then the pair (f, g) is K -semistable if and only if

$$(2.24) \quad e \leq d \text{ and for all } p \in \mathbb{P}^1, \text{mult}_p(g) - \text{mult}_p(f) \leq \frac{d-e}{2}.$$

In particular, when $d = 2e$ and $g = f^2$ we see that (f, f^2) is K -semistable if and only if

$$(2.25) \quad \text{mult}_p(f) \leq \frac{e}{2} \text{ for all } p \in \mathbb{P}^1.$$

Obviously $e \leq d$ if and only if $e = d$, and in this case we have that (f, g) is K -semistable if and only if

$$(2.26) \quad \mathbb{C}f = \mathbb{C}g.$$

In words, two polynomials of the *same degree* are K -semistable if and only if they are proportional.

Example 2 (Relation to Hilbert-Mumford stability). The reader may easily verify the following proposition which demonstrates, among other things, that Hilbert-Mumford stability is a *special case* of K -stability. In particular, it provides many examples of K -semistable pairs.

PROPOSITION 2.4. *Let $d \in \mathbb{Z}$, $d \geq 2$. Let V be a rational representation of G , $v \in V \setminus \{0\}$.*

- (1) *$(v, v^{\otimes d})$ is K -semistable if and only if v is Hilbert-Mumford stable in the ordinary sense; that is, $0 \notin \overline{G \cdot v}$.*
- (2) *If $(v, v^{\otimes d})$, then K -stable if and only if v is (strictly) Hilbert Mumford stable in the ordinary sense; that is, $\overline{G \cdot v} = G \cdot v$ and G_v is finite.*
- (3) *Assume that (v, w) is K -semistable. If v is Hilbert-Mumford stable, then so is w .*

Example 3 (Classical discriminant and resultants). Consider two polynomials P and Q in one variable of degrees m and n respectively:

$$\begin{aligned} P(z) &= a_m z^m + a_{m-1} z^{m-1} + \cdots + a_1 z + a_0, \\ Q(z) &= b_n z^n + b_{n-1} z^{n-1} + \cdots + b_1 z + b_0. \end{aligned}$$

Recall that the classical *resultant* of P and Q is the (quasi)homogeneous polynomial of the coefficients $(a_0, \dots, a_m; b_0, \dots, b_n)$ defined by

$$\begin{aligned} R_{m,n}(P, Q) &= R_{m,n}(a_0, \dots, a_m; b_0, \dots, b_n) \\ &:= b_n^m \prod_{\beta_i \in \text{zer}(Q)} P(\beta_i) = (-1)^{mn} R_{n,m}(Q, P). \end{aligned}$$

When $m = n = d \geq 2$, we denote the resultant by R_d . Then

$$R_d \in \mathbb{C}_{2d}[M_{2 \times (d+1)}].$$

$G = \text{SL}(d+1, \mathbb{C})$ acts on R_d by the rule

$$\sigma \cdot R_d(A) := R_d(A \cdot \sigma), \quad \sigma \in G, \quad A \in M_{2 \times (d+1)}.$$

The *discriminant*, Δ_d , of a polynomial P of degree d is defined by

$$\Delta_d(a_0, \dots, a_d) := R_{d,d-1} \left(P, \frac{\partial P}{\partial z} \right),$$

$$\Delta_d \in \mathbb{C}_{2d-2}[M_{1 \times (d+1)}].$$

The action of G is given by

$$\sigma \cdot \Delta_d(a) = \Delta_d(a \cdot \sigma).$$

It follows from beautiful work of Gelfand, Kapranov and Zelevinsky ([10]) that the pair $(R_d^{\deg(\Delta_d)}, \Delta_d^{\deg(R_d)})$ is K -semistable with respect to the *standard* torus, i.e., the torus corresponding to the d^{th} Veronese embedding of \mathbb{P}^1 .

CLAIM 2.1. $(R_d^{\deg(\Delta_d)}, \Delta_d^{\deg(R_d)})$ is K -semistable with respect to $\text{SL}(d+1, \mathbb{C})$.

The claim follows from part three of Corollary 1.2.

K -(semi)stability is formulated in terms of a numerical criterion modeled after Hilbert and Mumford’s geometric invariant theory. We make this explicit by introducing the following

Definition 3. Let V be a finite dimensional rational representation of G , and let λ be any degeneration in H (a maximal algebraic torus of G). The *weight* $w_\lambda(v)$ of λ on $v \in V \setminus \{0\}$ is the integer

$$w_\lambda(v) := \min_{\{x \in \mathcal{N}(v)\}} l_\lambda(x) = \min\{\langle \chi, \lambda \rangle \mid \chi \in \text{supp}(v)\}.$$

Alternatively, $w_\lambda(v)$ is the unique integer such that

$$\lim_{|t| \rightarrow 0} t^{-w_\lambda(v)} \lambda(t)v \text{ exists in } V \text{ and is not zero.}$$

The precise relationship between weights and K -(semi)stability is brought out in the following

PROPOSITION 2.5. (v, w) is K -semistable if and only if

$$(2.27) \quad w_\lambda(w) \leq w_\lambda(v)$$

for all degenerations λ in G .

Next we equip V and W with *Hermitian norms* which we denote by $\| \cdot \|$. Observe that for $v \in V \setminus \{0\}$ (for example), we have the following asymptotic expansion:

$$(2.28) \quad \lim_{|t| \rightarrow 0} \log \|\lambda(t)v\|^2 = w_\lambda(v) \log |t|^2 + O(1).$$

Definition 4. The *energy* of the pair (v, w) is the function on G given by

$$(2.29) \quad p_{w,v}(\sigma) := \log \frac{\|\sigma \cdot w\|^2}{\|w\|^2} - \log \frac{\|\sigma \cdot v\|^2}{\|v\|^2}, \quad \sigma \in G.$$

Whether or not $p_{w,v}$ is bounded from below depends only on the orbit of the pair $(v, w) \in V \oplus W$ and *not* on the norms.

PROPOSITION 2.6. $p_{w,v}$ is bounded below on G if and only if $\overline{G \cdot [(v, w)]} \cap \mathbb{P}(V \oplus \{0\}) = \emptyset$.

It follows at once from (2.28) that the asymptotic behavior of the energy of the pair along any degeneration λ is given by

$$(2.30) \quad p_{w,v}(\lambda(t)) = (w_\lambda(w) - w_\lambda(v)) \log |t|^2 + O(1).$$

Definition 5. Let G be a reductive algebraic group over \mathbb{C} . Consider rational representations $\rho_V : G \rightarrow \text{GL}(V)$ (respectively $\rho_W : G \rightarrow \text{GL}(W)$). Then the data $\{G; (v, w)\}$ has *Property P* if and only if the following statements are equivalent:

- i) There exists a degeneration λ such that $\lim_{|\alpha| \rightarrow 0} p_{w,v}(\lambda(\alpha)) = -\infty$.
- ii) There is a sequence $\{\sigma_j\} \subset G$ such that $\lim_{j \rightarrow \infty} p_{w,v}(\sigma_j) = -\infty$.

PROPOSITION 2.7 (Sun’s lemma). *Let $G \cong (\mathbb{C}^*)^N$ be an algebraic torus. Then $\{G; (v, w)\}$ has Property P for all pairs (v, w) .*

We summarize the relationship among K -semistability, the energy function, and Property P as follows.

PROPOSITION 2.8. *Let G be a reductive algebraic group. Fix (v, w) . Then the following are equivalent:*

- a) $p_{w,v}$ is bounded below along all degenerations.
- b) $p_{w,v}$ is bounded below along all algebraic tori.
- c) (v, w) is K -semistable.

If $\{G; (v, w)\}$ has Property P, then any one of the above implies that

- d) $p_{w,v}$ is bounded below on G .

Definition 6. $p_{w,v}$ is *proper* on $S \subseteq G$ if and only if, for all $m \gg 0$,

$$(2.31) \quad p_{w,v}(\sigma) + \frac{1}{m} \log \frac{\|\sigma \cdot v\|^2}{\|v\|^2} \geq \frac{q(V)}{m} \log \|\sigma\|_{O_p}^2 - B, \quad \sigma \in S.$$

$B = B(v, w, \|\cdot\|, S)$ is a positive constant, and $\|\sigma\|_{O_p}$ denotes the operator norm of σ with respect to some Hermitian metric on the standard representation.

Applications to Kähler Einstein manifolds with discrete automorphism groups require the following proposition.

PROPOSITION 2.9. *The following statements are equivalent:*

- a) *The pair (v, w) is K -stable in the strict sense.*
- b) *$p_{w,v}$ is proper along all degenerations λ in G .*
- c) *$p_{w,v}$ is proper along all algebraic tori $H \leq G$.*

2.1. *K-stability of complex projective varieties.* A nontrivial special case of K -stability arises in connection with complex projective varieties. In order to proceed, let us first recall the Hilbert-Mumford stability theory. The core of this theory consists in associating to a vector bundle \mathcal{E} over a curve X (for example) or a subvariety $X \rightarrow \mathbb{P}^N$, a “projective geometric gadget” that encodes the object up to projective equivalence. More precisely one associates to these data an *orbit* $G \cdot v$ of some nonzero vector v in a finite dimensional complex rational G module E . For example, to $\mathcal{E} \rightarrow X$ one associates the *Gieseker point*, and to a subvariety $X \rightarrow \mathbb{P}^N$ one associates either the *Hilbert point* or the *Chow form*. Similarly, in order to apply K -stability to a smooth projective variety $X \rightarrow \mathbb{P}^N$ we must associate to our embedded variety X a pair $v(X) \in V \setminus \{0\}$, $w(X) \in W \setminus \{0\}$, where V and W are finite dimensional rational G -representations. The notation is intended to suggest that X is “encoded” by the pair (v, w) . As the reader shall see, each vector is *projectively natural* and by this we mean

$$(2.32) \quad \sigma \cdot v(X) = v(\sigma X) \quad \text{for all } \sigma \in G.$$

Definition 7 (Cayley-Chow Forms). Let $X^n \hookrightarrow \mathbb{P}^N$ be an irreducible, linearly normal subvariety of degree d . The Cayley-Chow form of X , denoted by R_X , is the defining polynomial (unique up to scaling) of the divisor

$$(2.33) \quad \{L \in \mathbb{G}(N-n, \mathbb{C}^{N+1}) \mid L \cap X \neq \emptyset\} = \{L \mid R_X(L) = 0\}.$$

R_X has degree d in the Plücker coordinates. Moreover, the irreducibility of X implies that R_X is also irreducible.

Definition 8. Let $X^n \hookrightarrow \mathbb{P}^N$ be a nonlinear, linearly normal subvariety of degree d . The *dual variety* to X , denoted by X^\vee , is the variety of tangent hyperplanes to X :

$$(2.34) \quad X^\vee = \text{Zariski closure}(\{f \in \mathbb{P}^{N^\vee} \mid \mathbb{T}_p(X) \subset \ker(f) \text{ for some } p \in X \setminus X_{\text{sing}}\}).$$

$\mathbb{T}_p(X)$ denotes the *embedded* tangent space to X at the point p . $\mathbb{T}_p(X)$ is an n -dimensional linear subspace of \mathbb{P}^N .

Definition 9. The *dual defect* of $X \hookrightarrow \mathbb{P}^N$ is the nonnegative integer

$$(2.35) \quad \delta(X) := N - \dim(X^\vee) - 1.$$

Most varieties have dual defect equal to 0. There is a well-known upper bound on the defect which we require for the definition of K -stability.

THEOREM (F.L. Zak [27]). *Let $X^n \rightarrow \mathbb{P}^N$ ($n \geq 2$) be a linearly normal irreducible variety which is not a linear space. Then*

$$(2.36) \quad \delta \leq n - 2.$$

When X^\vee is indeed a hypersurface (i.e., $\delta = 0$) following Gelfand, Kapranov and Zelevinsky the defining polynomial, unique modulo scaling is denoted by Δ_X , which we shall call the X -discriminant:

$$(2.37) \quad X^\vee = \{f \in \mathbb{P}^{N^\vee} \mid \Delta_X(f) = 0\}.$$

Just as in the case of resultants and discriminants of polynomials in one variable, we may view the general X -discriminant and Cayley-Chow form as homogeneous polynomials on spaces of matrices:

$$(2.38) \quad \begin{aligned} \Delta_X &\in \mathbb{C}[M_{1 \times (N+1)}], \\ R_X &\in \mathbb{C}[M_{(n+1) \times (N+1)}]. \end{aligned}$$

The action of $\sigma \in \text{GL}(N + 1, \mathbb{C})$ on these two polynomials is given by

$$(2.39) \quad \begin{aligned} \sigma \cdot \Delta_X((a_i)) &= \Delta_X((a_i) \cdot \sigma), \\ \sigma \cdot R_X((c_{ij})) &= R_X((c_{ij}) \cdot \sigma). \end{aligned}$$

Next, fix $k \in \mathbb{N}_+$ and (l_1, l_2, \dots, l_k) with $l_i \in \mathbb{N}_+$. We set $\mathbb{P}^{(l_\bullet)} := \mathbb{P}^{l_1} \times \dots \times \mathbb{P}^{l_k}$. Consider the Segre embedding

$$X \times \mathbb{P}^{(l_\bullet)} \longrightarrow \mathbb{P}(\mathbb{C}^{N+1} \otimes \mathbb{C}^{(l_1+1)} \otimes \dots \otimes \mathbb{C}^{(l_k+1)}).$$

Definition 10. Assume the dual defect of $X \times \mathbb{P}^{(l_\bullet)}$ vanishes. The X -hyperdiscriminant of format (l_\bullet) is the irreducible defining polynomial $\Delta_{(l_\bullet)}$ of $(X \times \mathbb{P}^{(l_\bullet)})^\vee$.

The hyperdiscriminant $\Delta_{(l_\bullet)}$ is an irreducible polynomial in the entries of a “hypermatrix”

$$\Delta_{(l_\bullet)} \in \mathbb{C}[M_{(l_1+1) \times \dots \times (l_k+1) \times (N+1)}].$$

The circumstances which ensure that the dual defect of the Segre image of $X \times \mathbb{P}^{(l_\bullet)}$ is equal to zero has been completely worked out by Weyman and Zelevinsky in [26]. In these cases we say that the hyperdiscriminant is well formed. When $N = n$, and therefore $X = \mathbb{P}^n$, the hyperdiscriminant is the *hyperdeterminant* of Cayley, Gelfand, Kapranov, and Zelevinsky; see [11]. What is relevant for our applications to the Mabuchi energy are the hyperdiscriminants of format $(n - 1)$.

THEOREM (Weyman, Zelevinsky [26]). *Let X^n be an n -dimensional, linearly normal subvariety of \mathbb{P}^N where $N > n$. Then the X -hyperdiscriminant of format (l_\bullet) exists if and only if the following two inequalities hold:*

- a) $l_i \leq n + \sum_{i \neq j} l_j, 1 \leq i \leq n.$
- b) $\delta \leq \sum_{1 \leq i \leq k} l_i.$

In particular, $X \times \mathbb{P}^n, X \times \mathbb{P}^{n-1}, \dots, X \times \mathbb{P}^{\delta(X)}$ are all dually nondegenerate in their Segre embeddings. Moreover,

- i) $\Delta_{X \times \mathbb{P}^n} = R_X$ (the “Cayley trick”).
- ii) $\Delta_{X \times \mathbb{P}^{\delta(X)}} = R_{X^\vee}$ (the “dual Cayley trick”).

When X is a *smooth* subvariety we may make use of a result due to Beltrametti, Fania, and Sommese which exhibits the degree and codimension of the dual in terms of the top Chern class of the *jet bundle* $J_1(\mathcal{O}_X(1))$; see Section 5.2. This result is used extensively in the main argument of the paper, we shall use it to find the degree of the hyperdiscriminant.

THEOREM (Beltrametti, Fania, and Sommese [2]). *Assume X is smooth. Then X^\vee is a hypersurface if and only if $c_n(J_1(\mathcal{O}_X(1))) \neq 0$. Moreover,*

$$\text{i) } \deg(\Delta_X) = \int_X c_n(J_1(\mathcal{O}_X(1))).$$

More generally, when $\delta(X) > 0$, we have the following:

- ii) $\deg(X^\vee) = \int_X c_{n-\delta(X)}(J_1(\mathcal{O}_X(1)))\omega^{\delta(X)}$.
- iii) $\delta(X) = \min\{k \mid c_{n-k}(J_1(\mathcal{O}_X(1))) \neq 0\}$.

Definition 11. Let $X \hookrightarrow \mathbb{P}^N$ be a linearly normal n -dimensional variety with degree $d \geq 2$. Fix a maximal algebraic torus $H \leq G$. The weight polytope of the X -resultant $\mathcal{N}(R_X)$ is called the *Chow polytope* of X , and the weight polytope of the X -hyperdiscriminant $\mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}})$ is the *hyperdiscriminant polytope*.

Remark 3. Once more, the reader should bear in mind that there are smooth varieties X whose dimensions exceed 2 such that $\delta(X) > 0$ (for example $\mathbb{P}^2 \times \mathbb{P}^1$ in its Segre embedding, or $\text{Gr}(2, \mathbb{C}^{2n+1})$ in its Plücker embedding (see [18])). Zaks’ bound $\delta(X) \leq n - 2$ (see 2.36) implies that the hyperdiscriminant $\Delta_{X \times \mathbb{P}^{n-1}}$ is well formed⁴ for *any* X (irreducible, linearly normal, $\deg(X) \geq 2$).

With these preparations we introduce the following new stability concept for complex projective varieties, which we call K -(*semi*)*stability* in order to avoid proliferation of terminology. Our new idea extends the concept of K -semistability proposed by Tian in [23]. Our definition seems to be quite different from that proposed by Donaldson in [8] and developed by his many followers. The reader should keep in mind that the crucial difference between our formulation and the conventional one is that from our new viewpoint *the limit cycle plays no role*.

Definition 12. Let $X \longrightarrow \mathbb{P}^N$ be a nonlinear, linearly normal, complex projective variety (not necessarily smooth). Then X is K -*semistable* provided

⁴The reader should realize that this amounts to the fact that $\Delta_{X \times \mathbb{P}^{n-1}}$ is a *nonconstant* polynomial.

the pair of polynomials

$$\left(R_X^{\deg(\Delta_{X \times \mathbb{P}^{n-1}})}, \Delta_{X \times \mathbb{P}^{n-1}}^{\deg(R_X)} \right) \quad (*)$$

is K -semistable in the sense of Definition 2 with respect to the natural action of $G = \mathrm{SL}(N + 1, \mathbb{C})$ on the irreducible modules

$$(2.40) \quad \begin{aligned} \Delta_{X \times \mathbb{P}^{n-1}}^{\deg(R_X)} &\in \mathbb{C}_{\deg(\Delta) \deg(R)}[M_{n \times (N+1)}]^{\mathrm{SL}(n, \mathbb{C})} =: \mathbb{S}_{\mu_\bullet}(\mathbb{C}^{N+1}), \\ R_X^{\deg(\Delta_{X \times \mathbb{P}^{n-1}})} &\in \mathbb{C}_{\deg(\Delta) \deg(R)}[M_{(n+1) \times (N+1)}]^{\mathrm{SL}(n+1, \mathbb{C})} =: \mathbb{S}_{\lambda_\bullet}(\mathbb{C}^{N+1}). \end{aligned}$$

That is, for all maximal algebraic tori $H \leq G$, the scaled hyperdiscriminant polytope dominates the scaled Chow polytope

$$(2.41) \quad \deg(\Delta_{X \times \mathbb{P}^{n-1}})\mathcal{N}(R_X) \subseteq \deg(R_X)\mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}}).$$

X is K -stable (in the strict sense) if and only if the pair $(*)$ is K -stable where the degree q is given by $q = \deg(\Delta_{X \times \mathbb{P}^{n-1}}) \deg(R_X)$. A polarized algebraic variety (X, L) is *asymptotically K -(semi)stable* provided $X \xrightarrow{L^r} \mathbb{P}^{N_r}$ is K -(semi)stable for all $r \gg 0$ where m_0 is independent of r .

In the definition we have abused notation by setting

$$\deg(\Delta) := \deg(\Delta_{X \times \mathbb{P}^{n-1}}).$$

Remark 4. H must be allowed to vary in our definition. This is due to our requirement that the K stability of X imply (and be implied by) the K stability of any subvariety of \mathbb{P}^N projectively equivalent to X .

Theorem B together with the considerations of the previous section on the energy asymptotics of pairs (see (2.30)) completely justify the following definition.

Definition 13. Let X be a smooth, linearly normal subvariety of \mathbb{P}^N . Fix any maximal torus H of G , and let λ be any degeneration in H . Then the *generalized Futaki invariant* $F_P(\lambda)$ of λ is the integer given by

$$(2.42) \quad \begin{aligned} F_P(\lambda) &:= \deg(R_X) \min_{\{x \in \mathcal{N}(\Delta_{X \times \mathbb{P}^{n-1}})\}} l_\lambda(x) \\ &\quad - \deg(\Delta_{X \times \mathbb{P}^{n-1}}) \min_{\{x \in \mathcal{N}(R_X)\}} l_\lambda(x). \end{aligned}$$

The following is a special case of Proposition 2.5.

PROPOSITION 2.10. $X \hookrightarrow \mathbb{P}^N$ is K -semistable if and only if the generalized Futaki invariant is less than or equal to zero for all degenerations λ in G .

To close this section, we need to discuss the relationship between our encoding process and *limit cycle formation*. As we have mentioned, in Mumford’s

G.I.T. $v(X)$ may be given in terms of Hilbert points or Chow forms. In both cases it is known that the encoding is natural:

$$(2.43) \quad \text{Hilb}_m(\sigma \cdot X) = \sigma \cdot \text{Hilb}_m(X), \quad R_{\sigma \cdot X} = \sigma \cdot R_X.$$

Let λ be an algebraic one parameter subgroup of $G = \text{SL}(N + 1, \mathbb{C})$. For a given $X \subset \mathbb{P}^N$, we let $\lambda(0)X$ denote the flat limit cycle of X under λ . This is considered to be a point in the Hilbert scheme. In Mumford’s theory, a crucial property of Hilbert points and Cayley -Chow forms is the following compatibility with cycle formation:

$$(2.44) \quad \text{Hilb}_m(\lambda(0)X) = \lambda(0) \cdot \text{Hilb}_m(X), \quad R_{\lambda(0)X} = \lambda(0) \cdot R_X.$$

In K -stability this compatibility *fails*. Simply put, $\lambda(0)X$ in general has no meaningful tangent plane, and therefore *the hyperdiscriminant is undefined*.

3. Bott-Chern forms and Donaldson functionals

Let Φ be a $\text{GL}_n(\mathbb{C})$ invariant polynomial on $M_{n \times n}(\mathbb{C})$ which is homogeneous of degree d . The *complete polarization* of Φ is defined as follows. Let $\tau_1, \tau_2, \dots, \tau_d$ be arbitrary real parameters. Then

$$\begin{aligned} \Phi(\tau_1 A_1 + \tau_2 A_2 + \dots + \tau_d A_d) &= \sum_{|\alpha|=d} \Phi_\alpha(A_1, A_2, \dots, A_d) \tau^\alpha, \\ \tau^\alpha &:= \tau_1^{\alpha_1} \tau_2^{\alpha_2} \dots \tau_d^{\alpha_d}. \end{aligned}$$

We let $\Phi_{(1)}(A_1, A_2, \dots, A_d)$ denote the coefficient of $\tau_1 \tau_2 \dots \tau_d$. We define

$$\Phi_{(1)}(A; B) := \Phi_{(1)}(A, \overbrace{B, B, \dots, B}^{d-1}).$$

Let M be an n -dimensional complex manifold. E is a holomorphic vector bundle of rank k over M . H_0 and H_1 are two Hermitian metrics on E . Let H_t be a smooth path joining H_0 and H_1 in \mathcal{M}_E (the space of Hermitian metrics on E). Define $U_t := (\frac{\partial}{\partial t} H_t) \cdot H_t^{-1}$. $F_t := \bar{\partial}\{(\partial H_t) H_t^{-1}\}$ is the curvature of H_t (a purely imaginary (1,1) form). Now suppose that Φ is a homogeneous invariant polynomial on $M_{k \times k}(\mathbb{C})$ of degree d . Then

$$(3.1) \quad \Phi_{(1)}(U_t; F_t)$$

is a form of type $(d - 1, d - 1)$. Observe that the following identity holds (this is used below):

$$(3.2) \quad \text{deg}(\Phi) \Phi_{(1)}(A; B) = \frac{\partial}{\partial b} \Phi(B + bA)|_{b=0}.$$

The Bott Chern form is given as follows:

$$(3.3) \quad \text{BC}(E, \Phi; H_0, H_1) := -\frac{\text{deg}(\Phi)}{(n + 1)!} \int_0^1 \Phi_{(1)}\left(U_t; \frac{\sqrt{-1}}{2\pi} F_t\right) dt.$$

PROPOSITION 3.1 (R. Bott , S. S. Chern [4]).

$$(3.4) \quad \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\text{BC}(E, \Phi ; H_0, H_1) = \Phi \left(\frac{\sqrt{-1}}{2\pi} F_1 \right) - \Phi \left(\frac{\sqrt{-1}}{2\pi} F_0 \right).$$

When $\text{deg}(\Phi)$ has degree $n + 1$ the form $\text{BC}(E, \Phi ; H_0, H_1)$ is *top dimensional* on M and the following integral is well defined:

$$(3.5) \quad D_E(\Phi ; H_0, H_1) := \int_M \text{BC}(E, \Phi ; H_0, H_1).$$

Let $H : Y \rightarrow \mathcal{M}_E$ (the space of C^∞ Hermitian metrics on E) be a smooth map, where Y is a complex manifold of dimension m . Fix a Hermitian metric H_0 on E . Then we are interested in the smooth function on Y :

$$(3.6) \quad Y \ni y \rightarrow D_E(\Phi ; H_0, H(y)).$$

We call this the *Donaldson functional* associated to E and Φ .

Next we let p_2 denote the projection from $Y \times M$ onto M . Then $H(y)$ is a smooth Hermitian metric on $p_2^*(E)$ whose curvature is given by

$$(3.7) \quad F_{Y \times M}(H(y)) = \bar{\partial}_{Y \times M} \{ (\partial_{Y \times M} H(y)) H(y)^{-1} \}.$$

For the proof of the following proposition, see [24, Prop. 1.4, p. 213].

PROPOSITION 3.2. *Let Φ be homogeneous of degree $n + 1$ and H_0 a fixed metric on E . Then for all smooth compactly supported forms η of type $(m - 1, m - 1)$, we have the identity*

$$(3.8) \quad \frac{\sqrt{-1}}{2\pi} \int_Y D_E(\Phi ; H_0, H(y)) \partial_Y \bar{\partial}_Y \eta = \int_{Y \times M} \Phi \left(F_{Y \times X} \left(\frac{\sqrt{-1}}{2\pi} H(y) \right) \right) \wedge p_1^*(\eta).$$

4. The main lemma

Let $X \rightarrow \mathbb{P}^N$ be a smooth, linearly normal, n -dimensional, and dually non-degenerate complex projective variety. Let $\mathbb{G}(n, \mathbb{P}^N)$ denote the Grassmannian of n -dimensional linear subspaces of \mathbb{P}^N , and let $\rho : X \rightarrow \mathbb{G}(n, \mathbb{P}^N)$ denote the *Gauss map* of X

$$(4.1) \quad \rho(p) := \mathbb{T}_p(X) \in \mathbb{G}(n, \mathbb{P}^N),$$

where $\mathbb{T}_p(X)$ denotes the *embedded tangent space* to X at p . Let \mathcal{U} denote the rank $n + 1$ universal (tautological) bundle over $\mathbb{G}(n, \mathbb{P}^N)$. Of basic importance throughout the paper is the pull back of this bundle under the Gauss map $\rho^*(\mathcal{U})$ which we shall denote by $J_1(\mathcal{O}_X(1))^\vee$. This is (dual to) the bundle of *one-jets* of $\mathcal{O}(1)|_X$. We apply the construction of the previous section to the bundle $J_1(\mathcal{O}_X(1))$.

Since $J_1(\mathcal{O}_X(1))^\vee$ is a subbundle of the trivial bundle $X \times \mathbb{C}^{N+1}$ it inherits the standard euclidean (Hermitian) metric $h_{\mathbb{C}^{N+1}}$ from \mathbb{C}^{N+1}

$$h_{\mathbb{C}^{N+1}}(V, W) := v_0\bar{w}_0 + w\bar{w}_1 + \cdots + v_N\bar{w}_N.$$

In this way, as in the previous section, we have a natural map $H : G \rightarrow \mathcal{M}_{J_1(\mathcal{O}_X(1))}$, where G plays the role of Y and $\Phi = c_{n+1}$ is the top Chern class.

MAIN LEMMA. *Let $X \hookrightarrow \mathbb{P}^N$ be a smooth, linearly normal n -dimensional subvariety. Let X^\vee be the dual of X . Assume that X^\vee is a hypersurface with defining polynomial Δ_X . Then there is a continuous norm $\| \cdot \|$ on the vector space of degree $d^\vee := \deg(X^\vee)$ polynomials on $(\mathbb{C}^{N+1})^\vee$ such that for all $\sigma \in G$, we have*

$$(4.2) \quad (-1)^{n+1} D_{J_1(\mathcal{O}_X(1))^\vee}(c_{n+1}; H(\sigma), H(e)) = \log \frac{\|\sigma \cdot \Delta_X\|^2}{\|\Delta_X\|^2},$$

where e denotes the identity in G .

Remark 5. The Main Lemma exhibits the “height” of the defining equation of $Z = X^\vee$ (a global, purely algebro geometric object) as an integral over X of a local curvature quantity derived from the metric ω_σ .

Before we proceed to the proof of the main lemma, let us explain what is meant by a continuous metric (or norm) on $\mathcal{O}_B(-1)$, where

$$B := \mathbb{P}(H^0(\mathbb{P}^{N^\vee}, \mathcal{O}(d^\vee)))$$

and d^\vee denotes the degree of X^\vee . *Up to scaling* we have that

$$\Delta_X \in H^0(\mathbb{P}^{N^\vee}, \mathcal{O}(d^\vee)).$$

In general we write linear form f on \mathbb{P}^N (i.e., a *point* in the dual \mathbb{P}^N) as $f = a_0z_0 + a_1z_1 + \cdots + a_Nz_N$. Therefore we take $[a_0 : a_1 : \cdots : a_N]$ as the homogeneous coordinates of f on \mathbb{P}^{N^\vee} . Therefore we may write

$$\Delta_X(f) = \sum_{|\alpha|=d^\vee} c_{\alpha_0, \dots, \alpha_N} a_0^{\alpha_0} a_1^{\alpha_1} \dots a_N^{\alpha_N}.$$

The *finite dimensional complex vector space* $H^0(\mathbb{P}^{N^\vee}, \mathcal{O}(d^\vee))$ comes equipped with its standard Hermitian inner product $\langle \cdot, \cdot \rangle$ in which the monomials

$$a_0^{\alpha_0} a_1^{\alpha_1} \dots a_N^{\alpha_N}$$

form an orthogonal basis. Under a suitable normalization we have that

$$\|\Delta_X\|_{FS}^2 := \langle \Delta_X, \Delta_X \rangle = \sum_{|\alpha|=d^\vee} \frac{|c_{\alpha_0, \dots, \alpha_N}|^2}{\alpha_0! \alpha_1! \dots \alpha_N!}.$$

Finally, to say that the metric $\| \cdot \|$ on $\mathcal{O}_B(-1)$ is *continuous* means that there is a continuous function θ on B such that

$$(4.3) \quad \exp(\theta) \| \cdot \|_{FS} = \| \cdot \|.$$

Since B is compact, the conformal factor $\exp(\theta)$ is *bounded*. This is the key point.

We first construct the norm appearing on the right-hand side of (4.2). Recall that the *universal hypersurface associated to B* is given by

$$(4.4) \quad \Sigma := \{([F], [a_0 : a_1 : \dots : a_N]) \in B \times \mathbb{P}^{N^\vee} \mid F(a_0, a_1, \dots, a_N) = 0\}.$$

Then Σ is the base locus of the natural section

$$(4.5) \quad \varphi \in H^0(B \times \mathbb{P}^{N^\vee}, p_1^* \mathcal{O}_B(1) \otimes p_2^* \mathcal{O}_{\mathbb{P}^N}(d^\vee)), \quad \Sigma = \{\varphi = 0\}.$$

Let ω denote the Kähler form on the dual \mathbb{P}^N . We consider the $(1, 1)$ current u on B defined by the fiber integral $p_{1*} p_2^*(\omega^N)$:

$$\begin{array}{ccc} \Sigma & \xrightarrow{p_2} & \mathbb{P}^{N^\vee} \\ \downarrow p_1 & & \\ B & & \end{array}$$

That is, for all $C^\infty (b - 1, b - 1)$ forms ψ on B , we have

$$(4.6) \quad \int_B u \wedge \psi = \int_\Sigma p_2^*(\omega^N) \wedge p_1^*(\psi).$$

For the following, see [23, Lemma 8.7, p. 32].

PROPOSITION 4.1. *The cohomology class of the current u coincides with the class of ω_B (the Fubini-Study form). Moreover, there is a continuous function θ on B such that, in the sense of currents, we have*

$$(4.7) \quad u = \omega_B + \frac{\sqrt{-1}}{2\pi} \partial \bar{\partial} \theta.$$

Let $D(\sigma) := D_{J_1(\mathcal{O}_X(1)^\vee)(c_{n+1}; H(\sigma), H(e))}$. The main point is to establish the following proposition.

PROPOSITION 4.2. *Let $\| \cdot \| := \exp(\theta) \| \cdot \|_{FS}$. Then the difference*

$$(4.8) \quad (-1)^{n+1} D(\sigma) - \log \frac{\| \sigma \cdot \Delta_X \|^2}{\| \Delta_X \|^2}$$

is a pluriharmonic function on G .

We require the flag variety

$$(4.9) \quad I_\Delta := \{(L, f) \in \mathbb{G}(n, \mathbb{P}^N) \times \mathbb{P}^{N^\vee} \mid L \subset \ker(f)\}.$$

Proof of Proposition 4.2. We begin the proof with the following lemma.

LEMMA 4.1. *Let p_i denote the projection onto the i th factor of the flag variety I_Δ :*

$$\begin{array}{ccc} I_\Delta & \xrightarrow{p_2} & \mathbb{P}^{N^\vee} \\ p_1 \downarrow & & \\ \mathbb{G}(n, N) & & \end{array}$$

Let $\omega_{\mathbb{P}^{N^\vee}}$ be the Fubini Study Kähler form on \mathbb{P}^{N^\vee} . Then we have the following identity of forms on $\mathbb{G}(n, N)$:

$$(4.10) \quad p_{1*}(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) = c_{n+1}(\mathcal{U}^\vee).$$

To see this, observe that the left-hand side of (4.10) is of type $(n+1, n+1)$ and invariant under the action of the unitary group. The latter implies that it must be a polynomial in the forms $c_1(\mathcal{U}^\vee), c_2(\mathcal{U}^\vee), \dots, c_{n+1}(\mathcal{U}^\vee)$. Let Ω be any invariant form on $\mathbb{G}(n, N)$ of type complementary to $p_{1*}p_2^*\omega_{\mathbb{P}^{N^\vee}}^N$. Then

$$(4.11) \quad \begin{aligned} \int_{\mathbb{G}(n, N)} p_{1*}(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) \wedge \Omega &= \int_{I_\Delta} p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N) \wedge p_1^*(\Omega) \\ &= \int_{\mathbb{G}(n, N) \times \mathbb{P}^{N^\vee}} [I_\Delta] \wedge p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N) \wedge p_1^*(\Omega). \end{aligned}$$

Observe that $I_\Delta = \{s = 0\}$, where s is the section of $p_1^*\mathcal{U}^\vee \otimes p_2^*\mathcal{O}_{\mathbb{P}^{N^\vee}}(+1)$ given by evaluation. Therefore,

$$\begin{aligned} [I_\Delta] &= c_{n+1}(p_1^*\mathcal{U}^\vee \otimes p_2^*\mathcal{O}_{\mathbb{P}^{N^\vee}}(+1)) \\ &= \sum_{i=0}^{n+1} c_1(p_2^*\mathcal{O}_{\mathbb{P}^{N^\vee}}(+1))^{n+1-i} \wedge c_i(p_1^*\mathcal{U}^\vee) \\ &= c_{n+1}(p_1^*\mathcal{U}^\vee) + \sum_{i=0}^n c_1(p_2^*\mathcal{O}_{\mathbb{P}^{N^\vee}}(+1))^{n+1-i} \wedge c_i(p_1^*\mathcal{U}^\vee). \end{aligned}$$

Thus, for *all* invariant forms Ω (of complimentary type), we have

$$\int_{\mathbb{G}(n, N)} p_{1*}(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) \wedge \Omega = \int_{\mathbb{G}(n, N)} c_{n+1}(\mathcal{U}^\vee) \wedge \Omega.$$

Therefore,

$$p_{1*}(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) = c_{n+1}(\mathcal{U}^\vee, h_{FS}). \quad \square$$

Next let GX be given by

$$(4.12) \quad GX := \{(\sigma, y) \in G \times \mathbb{P}^N \mid y \in \sigma X\}.$$

There is a natural map $\rho_G : GX \rightarrow \mathbb{G}(n, \mathbb{P}^N)$

$$(4.13) \quad \rho_G(\sigma, y) = \mathbb{T}_y(\sigma X).$$

Now we consider the diagram

$$\begin{array}{ccccc} \rho_G^*(I_\Delta) & \xrightarrow{\pi_2} & I_\Delta & \xrightarrow{p_2} & \mathbb{P}^{N^\vee} \\ \downarrow \pi_1 & & \downarrow p_1 & & \\ GX & \xrightarrow{\rho_G} & \mathbb{G}(n, N) & & \\ \downarrow \pi & & & & \\ G & & & & \end{array}$$

Let η be a smooth compactly supported form on G of type $(N^2 + 2N, N^2 + 2N)$. An application of Proposition 3.2 and Lemma 4.1 gives

$$\begin{aligned} (4.14) \quad \int_G \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}D \wedge \eta &= \int_{GX} c_{n+1} \left(F_{GX}^{J_1^\vee} \left(\frac{\sqrt{-1}}{2\pi} H(\sigma) \right) \right) \wedge \pi^*(\eta) \\ &= \int_{GX} \rho_G^*(c_{n+1}(\mathcal{U}, h_{FS})) \wedge \pi^*(\eta) \\ &= (-1)^{n+1} \int_{GX} \rho_G^*(p_{1*}(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N))) \wedge \pi^*(\eta) \\ &= (-1)^{n+1} \int_{\rho_G^*(I_\Delta)} \pi_2^*(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) \wedge \pi_1^*(\pi^*(\eta)). \end{aligned}$$

Below, T denotes the evaluation map $T(\sigma) := [\sigma \cdot \Delta_X]$ and Σ denotes the universal hypersurface for the family $B := \mathbb{P}(H^0(\mathbb{P}^{N^\vee}, \mathcal{O}(d^\vee)))$:

$$\begin{array}{ccccc} GX^\vee = T^*(\Sigma) & \xrightarrow{\pi_2} & \Sigma & \xrightarrow{p_2} & \mathbb{P}^{N^\vee} \\ \downarrow \pi_1 & & \downarrow p_1 & & \\ G & \xrightarrow{T} & B & & \end{array}$$

Let u denote the positive current defined in (4.6). Using the notation and commutativity in the diagram above gives

$$\begin{aligned} (4.15) \quad \int_G T^*(u) \wedge \eta &= \int_{T^*(\Sigma)} \pi_2^*(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) \wedge \pi_1^*(\eta) \\ &= \int_{\rho_G^*(I_\Delta)} \pi_2^*(p_2^*(\omega_{\mathbb{P}^{N^\vee}}^N)) \wedge \pi_1^*(\pi^*(\eta)). \end{aligned}$$

We have used that $T^*(\Sigma) \cong \rho_G^*(I_\Delta)$ (birational equivalence). We remark that this holds only because of our assumption that X is dually nondegenerate. By definition, we have that

$$(4.16) \quad T^*(u) = \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial} \log \left(e^{\theta \circ T - \theta \circ T(e)} \frac{\|\sigma \cdot \Delta_X\|_{FS}^2}{\|\Delta_X\|_{FS}^2} \right).$$

Therefore,

$$(4.17) \quad \int_G \partial\bar{\partial} \left((-1)^{n+1} D(\sigma) - \log \left(e^{\theta \circ T - \theta \circ T(e)} \frac{\|\sigma \cdot \Delta_X\|_{FS}^2}{\|\Delta_X\|_{FS}^2} \right) \right) \wedge \eta = 0$$

for all compactly supported forms η . Hence the difference is pluriharmonic. This establishes Proposition 4.2.

Since G is simply connected, there is an entire function F on G such that

$$(4.18) \quad (-1)^{n+1} D(\sigma) - \log \left(e^{\theta \circ T - \theta \circ T(e)} \frac{\|\sigma \cdot \Delta_X\|_{FS}^2}{\|\Delta_X\|_{FS}^2} \right) = \log |F(\sigma)|^2.$$

The argument from [23] (see Lemma 8.8, p. 34) shows that $F \equiv \kappa \in \mathbb{C}$, a constant. Setting $\sigma = e$ (the identity in G) shows that, in fact, $|\kappa| = 1$. This completes the proof of the main lemma. \square

As we mentioned in the introduction, in higher dimensions ($n \geq 2$) two problems with (4.2) arise which reveal that the X -discriminant (the right-hand side of (4.2)) must be *modified* in order to make contact with the Mabuchi energy. The first problem we encounter is that when $n \geq 3$, *the projective dual to X may fail to have codimension one*, e.g., take $\mathbb{P}^1 \times \mathbb{P}^2$ in its Segre embedding. In a situation like this Δ_X is taken to be a conveniently chosen constant. The second problem in higher dimensions ($n \geq 2$) is that the global Donaldson (energy) functional we attach to Δ_X on the left of (4.2) contains too much curvature. The Mabuchi energy involves at most the Ricci curvature. In dimension $n \leq 2$ the only dually degenerate varieties are linearly embedded projective spaces (see [21]). In particular, the dual of a (nonlinear) projective curve is always a hypersurface; moreover, the only curvature available is the Ricci curvature. Therefore the Main Lemma applies, without modification, to space curves. In dimension two the second problem arises but not the first. Miraculously, Cayley’s *X -hyperdiscriminant* (properly formatted) eliminates both difficulties *simultaneously*; moreover, the hyperdiscriminant coincides with the usual discriminant in dimension one. Theorem A follows from working out the left-hand side of (4.2) in the case where X has been replaced by $X \times \mathbb{P}^{n-1}$. We always consider $X \times \mathbb{P}^{n-1}$ as a subvariety of $\mathbb{P}(M_{n \times (N+1)}^\vee(\mathbb{C}))$ via the Segre embedding. Observe that $G = \mathrm{SL}(N+1, \mathbb{C})$ acts on $M_{n \times (N+1)}^\vee(\mathbb{C})$ by the standard action on \mathbb{C}^{N+1} and the trivial action on \mathbb{C}^n .

5. Completion of the proof of Theorem A

Theorem A follows at once from (4.2) and the following proposition.

PROPOSITION 5.1. *Let $X^n \hookrightarrow \mathbb{P}^N$ be a smooth, linearly normal algebraic variety of degree $d \geq 2$. Let R_X denote the X -resultant. Let $\Delta_{X \times \mathbb{P}^{n-1}}$ denote the X -hyperdiscriminant. Then the Donaldson functional associated to the vector bundle $J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})^\vee$ is given by*

$$(5.1) \quad \begin{aligned} \deg(R_X)D_{J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})^\vee}(c_{2n}; H(\sigma), H(e)) \\ = \nu_\omega(\varphi_\sigma) + \deg(\Delta_{X \times \mathbb{P}^{n-1}}) \log \frac{\|\sigma \cdot R_X\|^2}{\|R_X\|^2}. \end{aligned}$$

This entire section is devoted to the proof of this proposition. To begin let

$$w := (w_1, w_2, \dots, w_n) \in \mathbb{C}^n \longrightarrow (1, T_1(w), T_2(w), \dots, T_N(w)) \in \mathbb{C}^{N+1}$$

be a local parametrization of \tilde{X} , where $\tilde{X} \subset \mathbb{C}^{N+1} \setminus \{0\}$ is the affine cone over X . Then (w_1, w_2, \dots, w_n) are local coordinates on X . Observe that

$$\begin{aligned} T(w) &:= (1, T_1(w), T_2(w), \dots, T_N(w)) \\ f_i(w) &:= \left(0, \frac{\partial}{\partial w_i} T_1, \dots, \frac{\partial}{\partial w_i} T_N\right) \end{aligned}$$

locally trivialize the bundle $J_1(\mathcal{O}(1)|_X)^\vee$. As we have remarked, the dual to the bundle of one-jets is naturally a subbundle of the trivial bundle $X \times \mathbb{C}^{N+1}$. Let $\mathcal{I}_X = (F_\alpha)$ denote a (finite) generating set for the homogeneous ideal of X . Then the dual of the jet bundle may be exhibited concretely as follows:

$$(5.2) \quad J_1(\mathcal{O}(1)|_X)^\vee = \{(p, w) \in X \times \mathbb{C}^{N+1} \mid \nabla F_\alpha(p) \cdot w = 0 \text{ for all } \alpha\} \xrightarrow{\hookrightarrow} X \times \mathbb{C}^{N+1}.$$

The (dual of) the jet bundle therefore inherits the standard Hermitian metric $h_{\mathbb{C}^{N+1}}$ from its embedding in $X \times \mathbb{C}^{N+1}$. We make extensive use of the following well-known fact.

PROPOSITION 5.2. *There is an exact sequence of vector bundles on X :*

$$(5.3) \quad 0 \rightarrow \mathcal{O}_X(-1) \xrightarrow{\hookrightarrow} J_1(\mathcal{O}(1)|_X)^\vee \xrightarrow{\pi} T^{1,0}(X) \otimes \mathcal{O}_X(-1) \rightarrow 0.$$

Since we will need an explicit description of the maps in what follows we recall the proof. Below we abuse notation as follows. On the one hand π denotes the map

$$J_1(\mathcal{O}_X(1))^\vee \xrightarrow{\pi} T^{1,0}(X) \otimes \mathcal{O}_X(-1) \rightarrow 0.$$

On the other hand we *also* denote by π the projection onto \mathbb{P}^N :

$$\pi : \mathbb{C}^{N+1} \setminus \{0\} \rightarrow \mathbb{P}^N.$$

Finally we can define π in (5.3) by the formula (where $\pi(v) = p$)

$$J_1(\mathcal{O}_X(1))^\vee \ni (p, w) \rightarrow \pi(p, w) := \pi_*|_v(w) \otimes v \in T^{1,0}(X) \otimes \mathcal{O}_X(-1).$$

The rationale for this follows from the fact that for all $w \in \mathbb{C}^{N+1}$ and $\alpha \in \mathbb{C}^*$, we have

$$\pi_*|_{\alpha v}(w) = \frac{1}{\alpha} \pi_*|_v(w).$$

Let $z \in \mathbb{C}^{N+1} \setminus \{0\}$. We define

$$(5.4) \quad g_{i\bar{j}}(z) := \frac{1}{|z|^4} (\delta_{ij}|z|^2 - \bar{z}_i z_j).$$

We define a Hermitian form $H_{i\bar{j}}(z)$ as follows:

$$H_{i\bar{j}}(z) := |z|^2 g_{i\bar{j}}(z).$$

Then H is a positive definite Hermitian form on $\mathcal{O}_X(-1)^\perp$. Moreover,

$$h_{\mathbb{C}^{N+1}}|_{\mathcal{O}_X(-1)^\perp} = H.$$

Thus the standard Hermitian metric $h_{\mathbb{C}^{N+1}}$ descends to $\omega \otimes h_{FS}$ on $T^{1,0}(X)(-1)$. Observe that $|T(w)|^2$ represents the Fubini Study local metric potential. Therefore the Kähler form on X is given by

$$\omega = \omega_{FS}|_X = \frac{\sqrt{-1}}{2\pi} \partial_w \bar{\partial}_w \log |e(w)|^2.$$

Let f_i^\perp denote the orthogonal projection of f_i onto $\mathcal{O}(-1)^\perp$:

$$f_i^\perp := f_i - \frac{(f_i, T)}{|T|^2} T.$$

Then with respect to the *smooth* basis

$$\{T; f_1^\perp, f_2^\perp, \dots, f_n^\perp\},$$

the matrix presentation of the metric H has the shape

$$H_\infty = \begin{pmatrix} |T|^2 & 0 & \cdots & 0 \\ 0 & |T|^2 g_{1\bar{1}} & \cdots & |T|^2 g_{1\bar{n}} \\ 0 & |T|^2 g_{2\bar{1}} & \cdots & |T|^2 g_{2\bar{n}} \\ \cdots & \cdots & \cdots & \cdots \\ 0 & |T|^2 g_{n\bar{1}} & \cdots & |T|^2 g_{n\bar{n}} \end{pmatrix}.$$

Let $H_{\mathcal{O}}$ be the matrix presentation of H with respect to $\{T; f_1, f_2, \dots, f_n\}$. Then it is easy to see that $H_{\mathcal{O}}$ and H_∞ are related by

$$(5.5) \quad H_{\mathcal{O}} = Q^T H_\infty \bar{Q}.$$

The matrix Q is given by

$$(5.6) \quad Q = \begin{pmatrix} 1 & q_1 & q_2 & \cdots & q_n \\ 0 & 1 & 0 & \cdots & 0 \\ 0 & 0 & \cdots & 1 & 0 \\ 0 & 0 & \cdots & 0 & 1 \end{pmatrix},$$

$$q_i := \frac{(f_i, T)}{|T|^2}.$$

Therefore

$$(5.7) \quad \det(H_\infty) = \det(H_{\mathcal{O}}) = |e|^{2(n+1)} \det(g_{i\bar{j}}(z)).$$

This gives the following pointwise identity of forms:

$$(5.8) \quad c_1(J_1(\mathcal{O}(1)|_X)^\vee, h_{\mathbb{C}^{N+1}}) = -(n+1)\omega_{FS}|_X + \text{Ric}(\omega_{FS}).$$

Equation (5.8) is a special case of a much more general “metric splitting” of the Chern forms of the exact sequence

$$(5.9) \quad 0 \rightarrow \mathcal{O}_X(-1) \xrightarrow{\iota} J_1(\mathcal{O}_X(1))^\vee \xrightarrow{\pi} T^{1,0}(X) \otimes \mathcal{O}_X(-1) \rightarrow 0.$$

Since this is of such importance for the main result of this article and has played a significant role in the field in general, we take time to discuss it.

Let X be a complex manifold, and consider a short exact sequence of analytic vector bundles over X

$$0 \rightarrow \mathcal{S} \xrightarrow{j} \mathcal{E} \xrightarrow{\pi} \mathcal{Q} \rightarrow 0.$$

It is well known that the following identities are valid in $H^\bullet(X, \mathbb{C})$:

$$(5.10) \quad c_\tau(\mathcal{E}) = c_\tau(\mathcal{S})c_\tau(\mathcal{Q}),$$

$$\text{Ch}(\mathcal{E}) = \text{Ch}(\mathcal{S}) + \text{Ch}(\mathcal{Q}).$$

When the terms of the sequence are equipped with Hermitian metrics induced from a fixed metric $h_{\mathcal{E}}$ on \mathcal{E} and corresponding curvatures $\Theta(\mathcal{S}, h|_{\mathcal{S}})$ etc., we may ask if the *pointwise identities* hold:

$$(5.11) \quad \det(\tau I_e + \Theta(\mathcal{E}, h_{\mathcal{E}})) = \det(\tau I_s + \Theta(\mathcal{S}, h|_{\mathcal{S}})) \det(\tau I_q + \Theta(\mathcal{Q}, h|_{\mathcal{Q}})),$$

$$\text{Tr}(\exp(\Theta(\mathcal{E}, h_{\mathcal{E}}))) = \text{Tr}(\exp(\Theta(\mathcal{S}, h|_{\mathcal{S}}))) + \text{Tr}(\exp(\Theta(\mathcal{Q}, h|_{\mathcal{Q}}))).$$

In general they do not. An important example, that has in some sense shaped the field of K -stability, is the following. Let X_F be a smooth hypersurface of degree $d \geq 2$ inside \mathbb{P}^{n+1} . Then we have the standard *adjunction sequence*

$$(5.12) \quad 0 \rightarrow T_{X_F}^{1,0} \rightarrow T_{\mathbb{P}^{n+1}}^{1,0}|_{X_F} \rightarrow \mathcal{O}_{\mathbb{P}^{n+1}}(d)|_{X_F} \rightarrow 0.$$

Equip each term in the sequence with the induced Fubini-Study metric. In [22], Tian has shown that

$$(5.13) \quad \text{Ric}(\omega_{FS}|_{X_F}) = (n + 2 - d)\omega_{FS}|_{X_F} - \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial} \log \left(\frac{\sum_{0 \leq j \leq n+2} |\frac{\partial F}{\partial z_j}|^2}{\|z\|^{2d-2}} \right).$$

This shows that the pointwise identity already fails for c_1 . Building on the famous work of Simon Donaldson (see [7, Prop. 7]), this phenomena has been thoroughly analyzed by Bismut, Gillet, and Soulé in their 1988 paper [3]. The obstructions to splitting are called *Bott-Chern secondary classes*. Precisely, Bismut, Gillet, and Soulé construct forms $\widetilde{\text{Ch}}(\mathcal{E}_\bullet; h_\bullet)$ which are unique modulo ∂ and $\bar{\partial}$ terms satisfying the following:

$$(5.14) \quad \sum_{j=0}^2 (-1)^j \text{Tr}(\exp(\Theta(\mathcal{E}_j, h_j))) = -\frac{\sqrt{-1}}{2\pi} \partial\bar{\partial} \widetilde{\text{Ch}}(\mathcal{E}_\bullet; h_\bullet).$$

They construct similar classes for the total Chern class c_τ . These secondary forms all have the property that, whenever the sequence splits as a *holomorphic Hermitian* sequence, the forms *vanish identically*. Since the jet complex does *not* split metrically (where each term has its natural induced metric), a somewhat surprising fact about this complex is the following.

PROPOSITION 5.3. *The Bott-Chern secondary classes of the jet complex with respect to the natural metrics vanish identically. Precisely, there is a pointwise identity of forms on X :*

$$(5.15) \quad \begin{aligned} \det(\tau I_{n+1} + \Theta(J_1(\mathcal{O}_X(1))^\vee, h_{\mathbb{C}^{N+1}})) &= (\tau - \omega_{FS}|_X) \det((\tau - \omega_{FS}|_X)I_n + F_{\omega_{FS}|_X}), \\ \text{Tr}(\exp(\Theta(J_1(\mathcal{O}_X(1))^\vee, h_{\mathbb{C}^{N+1}}))) &= \exp(-\omega_{FS}|_X) + \text{Tr}(\exp(-\omega_{FS}|_X I_n + F_{\omega_{FS}|_X})). \end{aligned}$$

$F_{\omega_{FS}|_X}$ denotes the full Riemann curvature tensor of (X, ω_{FS}) .

A proof of (5.15) will be provided in the paragraph below for the top Chern class c_n . This is all that is required for our purpose.

Let

$$0 \longrightarrow \mathcal{S} \xrightarrow{j} \mathcal{E} \xrightarrow{\pi} \mathcal{Q} \longrightarrow 0$$

be a short exact sequence of holomorphic vector bundles on some complex manifold X . Assume that \mathcal{E} is equipped with a Hermitian metric h . Then \mathcal{S} acquires a metric by restriction and \mathcal{Q} by the (smooth) isomorphism

$$\mathcal{S}^\perp \xrightarrow{\cong} \mathcal{Q}.$$

The purpose of this paragraph is to analyze the curvature $F^\mathcal{E}$ in terms of $F^\mathcal{S}$ and $F^\mathcal{Q}$. This is due to Griffiths (see [13, §6.3], [14, §2 (d)]). This will then be applied to the jet sequence (5.3). D always denotes the unique holomorphic Hermitian connection:

$$(5.16) \quad \begin{aligned} D^\mathcal{E} &= D^\mathcal{E} \circ j^* + D^\mathcal{E} \circ \pi^* \circ \pi \\ &= D^\mathcal{S} \circ j^* + \pi^* \circ D^\mathcal{Q} \circ \pi + \alpha \circ j^* + \beta \circ \pi^* \circ \pi, \end{aligned}$$

where we have defined

$$(5.17) \quad \begin{aligned} \alpha &:= D^\mathcal{E} \circ j^* - D^\mathcal{S} \circ j^*, \\ \beta &:= D^\mathcal{E} \circ \pi^* \circ \pi - \pi^* \circ D^\mathcal{Q} \circ \pi. \end{aligned}$$

α is the *second fundamental form* of the inclusion $0 \rightarrow \mathcal{S} \xrightarrow{j} \mathcal{E}$.

PROPOSITION 5.4.

$$\begin{aligned} \alpha &\in C^\infty(\Omega_X^{1,0} \otimes \text{Hom}(\mathcal{S}, \mathcal{S}^\perp)), \\ \beta &= -\alpha^* \in C^\infty(\Omega_X^{0,1} \otimes \text{Hom}(\mathcal{S}^\perp, \mathcal{S})). \end{aligned}$$

In particular, we have that

$$(5.18) \quad \begin{aligned} \pi \circ \alpha &\in C^\infty(\Omega_X^{1,0} \otimes \text{Hom}(\mathcal{S}, \mathcal{Q})), \\ \beta \circ \pi^* &\in C^\infty(\Omega_X^{0,1} \otimes \text{Hom}(\mathcal{Q}, \mathcal{S})), \\ (\pi \circ \alpha) \wedge (\beta \circ \pi^*) &\in C^\infty(\Omega_X^{1,1} \otimes \text{Hom}(\mathcal{Q}, \mathcal{Q})), \\ (\beta \circ \pi^*) \wedge (\pi \circ \alpha) &\in C^\infty(\Omega_X^{1,1} \otimes \text{Hom}(\mathcal{S}, \mathcal{S})). \end{aligned}$$

Then we have the basic *curvature formula*.

PROPOSITION 5.5.

$$(5.19) \quad \begin{aligned} F^\mathcal{E} &= F^\mathcal{S} \circ j^* + \pi^* \circ F^\mathcal{Q} \circ \pi + \pi^* \bar{\partial}_{\text{Hom}(\mathcal{S}, \mathcal{Q})}(\pi \circ \alpha) \circ j^* \\ &\quad + D_{\text{Hom}(\mathcal{Q}, \mathcal{S})}^{1,0}(\beta \circ \pi^*) \circ \pi + (\beta \wedge \alpha) \circ j \circ j^* + (\alpha \wedge \beta) \circ \pi^* \circ \pi. \end{aligned}$$

Now we return to our situation, $\mathcal{S} = \mathcal{O}_X(-1)$, $\mathcal{E} = J_1(\mathcal{O}(1)|_X)^\vee$, and $\mathcal{Q} = T_X^{1,0}(-1)$. In this case we have the identifications

$$(5.20) \quad \text{Hom}(\mathcal{S}, \mathcal{Q}) \cong T_X^{1,0}, \quad \text{Hom}(\mathcal{Q}, \mathcal{S}) \cong \Omega_X^{1,0}.$$

The next proposition is crucial. It identifies the second fundamental form α ; in particular it shows that α is *metric independent*.

PROPOSITION 5.6.

$$(5.21) \quad \pi \circ \alpha = dw_1 \otimes \frac{\partial}{\partial w_1} + dw_2 \otimes \frac{\partial}{\partial w_2} + \cdots + dw_n \otimes \frac{\partial}{\partial w_n}.$$

Consequently,

$$(5.22) \quad \beta \circ \pi^* = - \sum_{1 \leq i, j \leq n} g_{i\bar{j}}(w) d\bar{w}_j \otimes dw_i.$$

Therefore α is holomorphic, β is parallel, and the curvature operator reduces to

$$(5.23) \quad F^{\mathcal{E}} = F^{\mathcal{S}} \circ j^* + \pi^* \circ F^{\mathcal{Q}} \circ \pi + (\beta \wedge \alpha) \circ j \circ j^* + (\alpha \wedge \beta) \circ \pi^* \circ \pi.$$

Proof. The proof is a straightforward computation. To begin,

$$(5.24) \quad D^{J_1(\mathcal{O}(1)|_X)^\vee}(T) = \omega_{11} \otimes T + \sum_{2 \leq j \leq n+1} \omega_{j1} \otimes f_{j-1}.$$

The matrix of connection forms is given by the usual rule

$$(5.25) \quad \omega_{ij} = \sum_{1 \leq k \leq n+1} h^{ki} \partial h_{jk}.$$

Therefore, we have

$$(5.26) \quad \omega_{j1} = h^{kj} \frac{\partial h_{1k}}{\partial w_i} dw_i = h^{kj} h_{i+1k} dw_i = \delta_{i+1j} dw_i = dw_{j-1} \quad (j \geq 2),$$

$$\omega_{11} = 0.$$

By the same token,

$$(5.27) \quad \partial \log |e|^2 = \frac{(f_1, T)}{|T|^2} dw_1 + \frac{(f_2, T)}{|T|^2} dw_2 + \cdots + \frac{(f_n, T)}{|T|^2} dw_n.$$

Therefore

$$(5.28) \quad \begin{aligned} \alpha(e) &= \left(f_1 - \frac{(f_1, T)}{|T|^2} T \right) \otimes dw_1 + \left(f_2 - \frac{(f_2, T)}{|T|^2} T \right) \\ &\quad \otimes dw_2 + \cdots + \left(f_n - \frac{(f_n, T)}{|T|^2} T \right) \otimes dw_n \\ &= f_1^\perp \otimes dw_1 + f_2^\perp \otimes dw_2 + \cdots + f_n^\perp \otimes dw_n. \end{aligned}$$

Since $\pi(f_j) = T \otimes \frac{\partial}{\partial w_j}$, we are done. \square

From the above, we have that

$$(5.29) \quad (\beta \wedge \alpha) \circ j \circ j^* = \sum_{1 \leq i, j \leq n} g_{i\bar{j}}(w) dw_i \wedge d\bar{w}_j = \omega_{FS}|_X \otimes I_{\mathcal{O}(-1)},$$

$$(\pi \circ \alpha) \wedge (\beta \circ \pi^*)$$

$$= \begin{pmatrix} -g_{1\bar{1}}(w)dw_1 \wedge d\bar{w}_1 & -g_{2\bar{1}}(w)dw_1 \wedge d\bar{w}_1 & \cdots & -g_{n\bar{1}}(w)dw_1 \wedge d\bar{w}_1 \\ -g_{1\bar{1}}(w)dw_2 \wedge d\bar{w}_1 & -g_{2\bar{1}}(w)dw_2 \wedge d\bar{w}_1 & \cdots & -g_{n\bar{1}}(w)dw_2 \wedge d\bar{w}_1 \\ \cdots & \cdots & \cdots & \cdots \\ -g_{1\bar{1}}(w)dw_n \wedge d\bar{w}_1 & -g_{2\bar{1}}(w)dw_n \wedge d\bar{w}_1 & \cdots & -g_{n\bar{1}}(w)dw_n \wedge d\bar{w}_1 \end{pmatrix},$$

where we sum over repeated indices. Therefore,

$$(5.30) \quad F^{J_1(\mathcal{O}(1)|_X)^\vee} = \pi^* \circ \left(-\omega_{FS}|_X \otimes I_{T^{1,0}} + F_\omega^{T^{1,0}} \right) \circ \pi + (\alpha \wedge \beta) \circ \pi^* \circ \pi.$$

Since α takes values in \mathcal{S}^\perp , we have

$$(5.31) \quad \pi^* \circ (\pi \circ \alpha) \wedge (\beta \circ \pi^*) \circ \pi = (\alpha \wedge \beta) \circ \pi^* \circ \pi.$$

At the center of a normal coordinate system, the second fundamental form operator $S := (\pi \circ \alpha) \wedge (\beta \circ \pi^*)$ takes the shape

$$(5.32) \quad S = \begin{pmatrix} -dw_1 \wedge d\bar{w}_1 & -dw_1 \wedge d\bar{w}_2 & \cdots & -dw_1 \wedge d\bar{w}_n \\ -dw_2 \wedge d\bar{w}_1 & -dw_2 \wedge d\bar{w}_2 & \cdots & -dw_2 \wedge d\bar{w}_n \\ \cdots & \cdots & \cdots & \cdots \\ -dw_n \wedge d\bar{w}_1 & -dw_n \wedge d\bar{w}_2 & \cdots & -dw_n \wedge d\bar{w}_n \end{pmatrix}.$$

Observe that

$$(5.33) \quad \text{Tr}((\pi \circ \alpha) \wedge (\beta \circ \pi^*)) = -\omega_{FS}|_X.$$

Therefore

$$(5.34) \quad \text{Tr}(F^{J_1(\mathcal{O}(1)|_X)^\vee}) = -(n+1)\omega_{FS}|_X + \text{Ric}(\omega_{FS}|_X).$$

Equation (5.34) is consistent with (5.8).

LEMMA 5.1. *Let F denote the full curvature tensor of $\omega_{FS}|_X$. Then, for all $k \geq 1$, we have that*

$$(5.35) \quad \text{Trace}(F^k S) \equiv 0.$$

The proof follows from the usual symmetries of the curvature tensor and is left to the reader.

The definition of S implies at once that

$$(5.36) \quad S^2 = \omega S.$$

Since $\text{Trace}(S) = -\omega$, we have

$$(5.37) \quad \text{Trace}(F + S)^k = \text{Trace}(F^k) - \omega^k.$$

LEMMA 5.2. *For any $A \in M_n(\mathbb{C})$, let $\sigma_k(A)$ denote the k^{th} elementary symmetric function of A . Then*

$$(5.38) \quad \sigma_k(F + S) = \sum_{j=0}^k (-1)^j \sigma_{k-j}(F) \omega^j.$$

Proof. The identity obviously holds when $k = 1$. We proceed by induction. Assume the identity for $1 \leq j \leq k - 1$. Newton's formula relating $\sigma_k(A)$ and

$p_k(A) := \text{Trace}(A^k)$ together with (5.37) imply that

$$(5.39) \quad \sigma_k(F + S) = \frac{1}{k} \left\{ \sum_{j=1}^k (-1)^{j+1} \sigma_{k-j}(F + S)(p_j(F) - \omega^j) \right\},$$

$$\frac{1}{k} \left\{ \sum_{j=1}^k \sum_{i=0}^{k-j} (-1)^{i+j+1} \sigma_{k-j-i}(F) p_j(F) \omega^i \right\} + \frac{1}{k} \left\{ \sum_{j=1}^k \sum_{i=0}^{k-j} (-1)^{i+j} \sigma_{k-j-i}(F) \omega^{i+j} \right\}.$$

Rearrangement shows that

$$(5.40) \quad \sum_{j=1}^k \sum_{i=0}^{k-j} (-1)^{i+j+1} \sigma_{k-j-i}(F) p_j(F) \omega^i = \sum_{i=0}^{k-1} (-1)^i (k-i) \sigma_{k-i}(F) \omega^i,$$

$$\sum_{j=1}^k \sum_{i=0}^{k-j} (-1)^{i+j} \sigma_{k-j-i}(F) \omega^{i+j} = \sum_{i=1}^{k-1} (-1)^i i \sigma_{k-i}(F) \omega^i.$$

Adding these two completes the proof of the proposition. \square

COROLLARY 5.1. *There is a pointwise identity of differential forms*

$$(5.41) \quad c_n(J(\mathcal{O}_X(1))^\vee, \omega) = c_n(T_X^{1,0}(-1), \omega) - c_{n-1}(T_X^{1,0}(-1), \omega) \omega.$$

Proof. To begin, we have that

$$(5.42) \quad c_n(T_X^{1,0}(-1), \omega) - c_{n-1}(T_X^{1,0}(-1), \omega) \omega$$

$$= \sum_{j=0}^n (-1)^j c_{n-j}(F) \omega^j + \sum_{j=0}^{n-1} (-1)^{j+1} (j+1) c_{n-j-1}(F) \omega^{j+1}$$

$$= \sum_{j=0}^n (-1)^j (j+1) c_{n-j}(F) \omega^j.$$

By definition,

$$(5.43) \quad c_n((J(\mathcal{O}_X(1))^\vee, \omega) = \sigma_n(\pi^* \circ \{-\omega_{FS}|_X I_{T^{1,0}} + F_\omega^{T^{1,0}} + S\} \circ \pi)$$

$$= \det(-\omega_{FS}|_X I_{T^{1,0}} + F_\omega^{T^{1,0}} + S).$$

By Lemma 5.2, we have

$$(5.44) \quad \sum_{j=0}^n (-1)^j \omega^j \sigma_{n-j}(F + S) = \sum_{k=0}^n \sum_{j=0}^k (-1)^{n-(k-j)} \omega^{n-(k-j)} c_{k-j}(F).$$

Now the corollary amounts to the following

CLAIM 5.1.

$$(5.45) \quad \sum_{k=0}^n \sum_{j=0}^k (-1)^{n-(k-j)} \omega^{n-(k-j)} c_{k-j}(F) = \sum_{i=0}^n (-1)^i (i+1) c_{n-i}(F) \omega^i.$$

The proof of the claim is similar to the proof of Lemma 5.2 and is left to the reader. This completes the proof of Corollary 5.1. \square

Let $\xi \in \mathfrak{sl}(N + 1, \mathbb{C})$, and let $\sigma = \exp(\xi) \in \mathrm{SL}(N + 1, \mathbb{C})$. We introduce a one parameter family of metrics $H_t = (\cdot, \cdot)_t$ on $J_1(\mathcal{O}_X(1))^\vee$ joining $h_{\mathbb{C}^{N+1}} = H_0$ to $H_\sigma = H_1$ by the rule

$$(5.46) \quad (V, W)_t := (\exp(t\xi)W, \exp(t\xi)V), \quad V, W \in \mathbb{C}^{N+1}.$$

Then

$$(5.47) \quad H_t|_{\mathcal{O}(-1)} = \exp(\varphi_t) |\cdot|^2, \quad H_t|_{\mathcal{O}(-1)^\perp} = \exp(\varphi_t) |\cdot|^2 \otimes \omega_t,$$

where φ_t and ω_t are given by

$$(5.48) \quad \varphi_t := \log \frac{|\exp(t\xi)T|^2}{|T|^2}, \quad \omega_t := \omega_{FS}|_X + \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi_t.$$

Our aim is to compute, for a *general* X , the Donaldson functional

$$(5.49) \quad D_{J_1(\mathcal{O}_X(1))^\vee}(c_{n+1}; H(\sigma), H(e))$$

with respect to the path H_t . Then we will replace X with $X \times \mathbb{P}^{n-1}$. We have

$$(5.50) \quad D_{J_1(\mathcal{O}_X(1))^\vee}(c_{n+1}; H^\bullet(\sigma), H^\bullet(e)) \\ = (-1) \int_0^1 \int_X \frac{\partial}{\partial b} \det(\pi^* \circ \{F_{\omega_t|_X} - \omega_t|_X I_n + S(t)\} \circ \pi + bU(t))|_{b=0} dt,$$

where $F_{\omega_t|_X}$ is the full Riemann curvature tensor of ω_t and $U(t)$ is the endomorphism

$$(5.51) \quad U(t) := \left(\frac{d}{ds} H_s \cdot H_s^{-1} \right)^T \Big|_t.$$

Computation of the determinant in (5.50) at the point (o, t) with respect to the local analytic frame $\{e = T, f_i - \frac{(f_i, e)_t}{|e|_t^2} |_o e\}$ shows at once that

$$(5.52) \quad \frac{\partial}{\partial b} \det(\pi^* \circ \{F_{\omega_t|_X} - \omega_t|_X I_n + S(t)\} \circ \pi + bU(t))|_{b=0} \\ = \dot{\varphi}_t \det(F_{\omega_t|_X} - \omega_t|_X I_n + S(t)) = \dot{\varphi}_t c_n(J_1(\mathcal{O}(1)|_X)^\vee; h_t).$$

The next proposition seems to have been known to Cayley; a modern proof has been provided by Weyman and Zelevinsky. We give a new proof of the result of Weyman and Zelevinsky based on the theorem of Beltrametti, Fania, and Sommese mentioned in Section 2. The ingredients of the proof are required at a later stage in our argument.

PROPOSITION 5.7. *Let $X \hookrightarrow \mathbb{P}^N$ be a smooth linearly normal subvariety of degree $d \geq 2$. Let μ denote the average of the scalar curvature. Then the hyperdiscriminant of format $(n-1)$ is well formed with degree given by*

$$(5.53) \quad \deg(\Delta_{X \times \mathbb{P}^{n-1}}) = n(n+1)d - d\mu.$$

In particular,

$$\deg(\Delta_{X_d^n \times \mathbb{P}^{n-1}}) = n(n+1)(d-1) \quad (X_d^n \text{ is the } d^{\text{th}} \text{ Veronese image on } \mathbb{P}^n),$$

$$\deg(\Delta_{X \times \mathbb{P}^{n-1}}) = n \prod_{i=1}^k d_i \left(\sum_{i=1}^k d_i - k \right) \quad (X \subset \mathbb{P}^{n+k+1} \text{ a complete intersection),}$$

$$\deg(\Delta_X) = 2d - 2 + 2g \quad (X \text{ a smooth curve of genus } g).$$

Proof. Recall the smooth isomorphism

$$(5.54) \quad \Omega_{\mathbb{P}^{n-1}}^{1,0} \oplus \mathcal{O} \cong \overbrace{\bigoplus^n}^n \mathcal{O}(-1).$$

The short exact sequence

$$(5.55) \quad 0 \longrightarrow \Omega_{X \times \mathbb{P}^{n-1}}^{1,0}(1) \longrightarrow J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}}) \longrightarrow \mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}} \longrightarrow 0$$

implies the Chern class identity

$$(5.56) \quad c(J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})) = c(\Omega_{X \times \mathbb{P}^{n-1}}^{1,0}(1))(1 + \omega_{FS} + \omega).$$

Recall that the restriction of the hyperplane from the Segre embedding of $X \times \mathbb{P}^{n-1}$ is the tensor product

$$(5.57) \quad \mathcal{O}_{\mathbb{P}^{(N+1)n-1}}(1)|_{X \times \mathbb{P}^{n-1}} \cong \mathcal{O}(1)|_X \otimes \mathcal{O}_{\mathbb{P}^{n-1}}(1).$$

Next we have the obvious holomorphic splitting

$$(5.58) \quad \Omega_{X \times \mathbb{P}^{n-1}}^{1,0}(1) \cong \Omega_X^{1,0}(1) \otimes \mathcal{O}_{\mathbb{P}^{n-1}}(1) \oplus \Omega_{\mathbb{P}^{n-1}}^{1,0}(1) \otimes \mathcal{O}(1)|_X.$$

Therefore

$$(5.59) \quad c(\Omega_{X \times \mathbb{P}^{n-1}}^{1,0}(1)) = c(\Omega_X^{1,0}(1) \otimes \mathcal{O}_{\mathbb{P}^{n-1}}(1))c(\Omega_{\mathbb{P}^{n-1}}^{1,0}(1) \otimes \mathcal{O}(1)|_X).$$

By (5.54), we have the smooth isomorphism over $X \times \mathbb{P}^{n-1}$

$$(5.60) \quad \Omega_{\mathbb{P}^{n-1}}^{1,0}(1) \otimes \mathcal{O}(1)|_X \oplus \mathcal{O}_{\mathbb{P}^{n-1}}(1) \otimes \mathcal{O}(1)|_X \cong \overbrace{\bigoplus^n}^n \mathcal{O}(1)|_X.$$

Taking the total Chern class then gives

$$(5.61) \quad c(\Omega_{\mathbb{P}^{n-1}}^{1,0}(1) \otimes \mathcal{O}(1)|_X)(1 + \omega_{FS} + \omega) = (1 + \omega_{FS})^n.$$

Therefore, we have that

$$(5.62) \quad c(J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})) = c(\Omega_X^{1,0}(1) \otimes \mathcal{O}_{\mathbb{P}^{n-1}}(1))(1 + \omega_{FS})^n.$$

Next we require the well-known identity. Let E be a rank r vector bundle and L a line bundle and $0 \leq p \leq r$ an integer; then

$$(5.63) \quad c_p(E \otimes L) = \sum_{i=0}^p \binom{r-i}{p-i} c_i(E) c_1(L)^{p-i}.$$

We see that

$$(5.64) \quad \begin{aligned} c_{n-1}(\Omega_X^{1,0}(1) \otimes \mathcal{O}_{\mathbb{P}^{n-1}}(1)) &= \binom{n}{n-1} \omega^{n-1} + O(\omega^{n-2}), \\ c_n(\Omega_X^{1,0}(1) \otimes \mathcal{O}_{\mathbb{P}^{n-1}}(1)) &= c_1(\Omega_X^{1,0}(1)) \omega^{n-1} + O(\omega^{n-2}) \\ &= c_1(\Omega_X^{1,0}) \omega^{n-1} + n\omega_{FS} \omega^{n-1} + O(\omega^{n-2}). \end{aligned}$$

Thus we see that

$$(5.65) \quad \begin{aligned} c(J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})) \\ = (c_1(\Omega_X^{1,0}) \omega^{n-1} + n\omega_{FS} \omega^{n-1} + n\omega^{n-1} + O(\omega^{n-2}))(1 + \omega_{FS})^n. \end{aligned}$$

From this the component of top dimension is easily seen to be

$$(5.66) \quad \begin{aligned} c_{2n-1}(J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})) &= nc_1(\Omega_X^{1,0}) \omega_{FS}^{n-1} \omega^{n-1} + n^2 \omega_{FS}^n \omega^{n-1} + n\omega_{FS}^n \omega^{n-1} \\ &= nc_1(\Omega_X^{1,0}) \omega_{FS}^{n-1} \omega^{n-1} + n(n+1) \omega_{FS}^n \omega^{n-1}. \end{aligned}$$

Next we show that the integral

$$(5.67) \quad \int_{X \times \mathbb{P}^{n-1}} c_{2n-1}(J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})) = n(n+1)d - d\mu > 0$$

if and only if $d \geq 2$. The proof is a simple exercise in the adjunction formula, shown to the author by Lev Borisov. To begin, let H_1, H_2, \dots, H_{n-1} be generic hyperplanes in \mathbb{P}^N . Let \mathcal{C}_g denote the intersection

$$(5.68) \quad \mathcal{C}_g := \bigcap_{1 \leq j \leq n-1} H_j \cap X.$$

Then \mathcal{C}_g is a smooth curve of genus g . Let K denote the canonical bundle of \mathcal{C}_g ; then

$$(5.69) \quad 2g - 2 = \int_{\mathcal{C}_g} c_1(K).$$

There is an exact sequence

$$(5.70) \quad 0 \longrightarrow T_{\mathcal{C}_g}^{1,0} \longrightarrow T_X^{1,0}|_{\mathcal{C}_g} \longrightarrow \overbrace{\bigoplus \mathcal{O}_{\mathbb{P}^N}(1)|_{\mathcal{C}_g}}^{n-1} \longrightarrow 0$$

from which we deduce the isomorphism

$$(5.71) \quad \mathcal{O}_{\mathbb{P}^N}(n-1) \otimes K_X \cong K.$$

Therefore,

$$(5.72) \quad \begin{aligned} 2g - 2 &= \int_X \left(-\text{Ric}(\omega|_X) + (n - 1)\omega \right) \omega^{n-1} \\ &= -\frac{d\mu}{n} + d(n - 1). \end{aligned}$$

Since $g \geq 0$ and $d \geq 2$, we have the inequalities

$$(5.73) \quad 0 \leq n(n - 1)d + 2n - d\mu \leq n(n - 1)d + dn - d\mu < n(n + 1)d - d\mu.$$

Therefore by the result of Beltrametti, Fania and Sommese it follows that $X \times \mathbb{P}^{n-1}$ is codimension one and the degree of the hyperdiscriminant polynomial is $n(n + 1)d - d\mu$. \square

From our previous work on the pointwise splitting of the Chern forms⁵ and 5.66, we have the following

CLAIM 5.2. *There is a pointwise identity of forms on $X \times \mathbb{P}^{n-1}$:*

$$(5.74) \quad c_{2n-1}(J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}}); H_t) = -n\text{Ric}(\omega_{\varphi_t})\omega_{\varphi_t}^{n-1}\omega^{n-1} + n(n + 1)\omega_{\varphi_t}^n\omega^{n-1}.$$

We sum up the result of our work in the following

PROPOSITION 5.8. *Let $J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})$ denote the bundle of one-jets of $\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}}$ associated to $X \times \mathbb{P}^{n-1}$ in its Segre embedding. Then*

$$(5.75) \quad \begin{aligned} D_{J_1(\mathcal{O}(1)|_{X \times \mathbb{P}^{n-1}})^\vee}(c_{2n}; H^\bullet(\sigma), H^\bullet(e)) \\ = \int_0^1 \int_{X \times \mathbb{P}^{n-1}} \dot{\varphi}_t \left\{ -n\text{Ric}(\omega_{\varphi_t})\omega_{\varphi_t}^{n-1}\omega^{n-1} + n(n + 1)\omega_{\varphi_t}^n\omega^{n-1} \right\}. \end{aligned}$$

Next we require the following well-known result.

THEOREM 5.1 (Tian [22], Zhang [28], Paul [19]). *Let X be an n -dimensional subvariety of \mathbb{P}^N , and let R_X denote the X -resultant. Then there is a norm $\| \cdot \|$ on B such that*

$$(5.76) \quad -\deg(X)(n + 1)F_\omega^0(\varphi_\sigma) = \log \frac{\| \sigma \cdot R_X \|^2}{\| R_X \|^2}; \quad B := \mathbb{P}H^0(\mathbb{G}, \mathcal{O}(d)).$$

$\mathbb{G} := \mathbb{G}(N - n - 1, \mathbb{P}^N)$ denotes the Grassmannian of $N - n - 1$ linear subspaces of \mathbb{P}^N , and the energy $F_\omega^0(\varphi)$ is defined as follows:

$$(5.77) \quad F_\omega^0(\varphi) := - \int_0^1 \int_X \dot{\varphi}_t \frac{\omega_{\varphi_t}^n}{V}.$$

⁵We have made tacit use of the fact that the splitting holds for the Fubini-Study metric on \mathbb{P}^n . Precisely, $c(T_{\mathbb{P}^n}^{1,0}; \omega) = (1 + \omega)^{n+1}$ pointwise.

Finally substitute (5.77) into the right-hand side of (5.75). Then apply Theorem 5.1 in order to complete the proof of Proposition 5.1.

The proof of Theorem A is now complete. Theorem B follows at once from Theorem A and Proposition 2.30. Theorem C follows from Proposition 2.8.

Definition 14 (Tian, [23]). Let (X, ω) be a Kähler manifold. The Mabuchi energy is *proper* provided there exists constants $A > 0$ and $B > 0$ such that for all $\varphi \in \mathcal{H}_\omega$, we have

$$(5.78) \quad \nu_\omega(\varphi) \geq AJ_\omega(\varphi) - B,$$

$$J_\omega(\varphi) := \frac{1}{V} \int_X \sum_{i=0}^{n-1} \frac{\sqrt{-1} i + 1}{2\pi n + 1} \partial\varphi \wedge \bar{\partial}\varphi \wedge \omega^i \wedge \omega_\varphi^{n-i-1}.$$

It is known that the Mabuchi energy of any canonically polarized manifold (or Calabi-Yau) is proper; this follows easily from Tian’s alpha invariant and an application of Jensen’s inequality. For details, see [25]. In [23] Tian demonstrates, among other things, that for Fano manifolds properness is equivalent to the existence of a Kähler Einstein metric if the automorphsim group is finite.

We formulate an apparently stronger but equivalent form of Theorem D as follows.

THEOREM D (strong form). *Let $X \rightarrow \mathbb{P}^N$ be a smooth, linearly normal algebraic variety of degree $d \geq 2$. Then X is K -stable if and only if, for all maximal algebraic tori H and all $m \gg 0$, there is a constant $B = B(H) > 0$ such that*

$$(5.79) \quad \nu_\omega(\varphi_\tau) \geq \frac{\deg(\Delta) \deg(R)}{m} J_\omega(\varphi_\tau) - B, \quad \tau \in H.$$

The strong form of Theorem D follows at once from Theorem A, Proposition 2.9, Theorem 5.1, and Sun’s lemma (Proposition 2.7). Theorem E also follows from Proposition 2.7. Theorem F is a consequence of Corollary 2.1. Corollary 1.1 part i) follows from Theorem C and the deep work in [5] (which extends the results of [1]). Part iii) requires Tian’s properness theorem [23]. Corollary 1.2 parts i) and ii) follow from Proposition 2.9, Theorem A, and the remark immediately following Definition 14. We leave further details to the reader.

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